

# TREATISE ON INVERTEBRATE PALEONTOLOGY

Part B

## PROKARYOTA Bacteria and Archaea

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*Prepared under Sponsorship of*

*The Paleontological Society  
The Palaeontographical Society*

*SEPM (Society for Sedimentary Geology)  
The Palaeontological Association*

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THE UNIVERSITY OF KANSAS  
PALEONTOLOGICAL INSTITUTE  
LAWRENCE, KANSAS  
2023

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Library of Congress Catalogue Card Number 53-12913  
ISBN 978-0-99003621-4-2

Distributed by the Paleontological Institute, The University of Kansas, 1475 Jayhawk Blvd., Room 119, Lawrence, Kansas 66045-7594, USA, [www.paleo.ku.edu](http://www.paleo.ku.edu), from which current price lists of parts in print may be obtained and to which all orders and related correspondence should be directed. Editorial office of the *Treatise*: Paleontological Institute, The University of Kansas, 1475 Jayhawk Blvd., Room 119, Lawrence, Kansas 66045-7594, USA, [www.paleo.ku.edu](http://www.paleo.ku.edu).

Citation information: Paul William I. Ausich and Paul A. Selden, eds. 2023. *Treatise on Invertebrate Paleontology. Part B, Prokaryotes (Bacteria and Archaea)*. The University of Kansas Paleontological Institute. Lawrence, Kansas. xxvi + 178 p., 48 fig., 3 tables.

The *Treatise on Invertebrate Paleontology* has been made possible by (1) funding principally from the National Science Foundation of the United States in its early stages, from The Geological Society of America through the bequest of Richard Alexander Fullerton Penrose, Jr., and from The Kansas University Endowment Association through the bequest of Raymond C. and Lillian B. Moore; (2) contribution of the knowledge and labor of specialists throughout the world, working in cooperation under sponsorship of the Paleontological Society, the SEPM (Society for Sedimentary Geology), the Palaeontographical Society, and the Palaeontological Association; (3) acceptance by The University of Kansas of publication without any financial gain to the University; and (4) generous contributions by our individual and corporate sponsors.

**PART B**  
**PROKARYOTA**  
Bacteria and Archaea

Nora Noffke, Coordinating Author

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## INFORMATION ON TREATISE VOLUMES

The *Treatise on Invertebrate Paleontology* is published by the University of Kansas Paleontological Institute, [www.paleo.ku.edu](http://www.paleo.ku.edu). The *Treatise* is organized in lettered Parts (A–W) to indicate their systematic sequence but also allowing publication of units in whatever order each is finalized. It is published in three formats. Individual chapters are published when completed in the *Treatise Online* series. When a volume is complete, it is published both as a book and as an online volume in the *Digital Treatise* series. In addition, *Paleontological Institute Special Publications* series are published periodically. The web address above provides information for ordering *Treatise* publications. Please encourage academic libraries to subscribe to all Paleontological Institute publications.

### PUBLISHED VOLUMES

- Part A. INTRODUCTION: Fossilization (Taphonomy), Biogeography, and Biostratigraphy, xxiii + 569 p., 169 fig., 1979.
- Part B. PROTOCTISTA 1 (Charophyta), xvi + 170 p., 79 fig., 9 tables, 2005.
- Part C. PROTISTA 2 (Sarcodina, Chiefly “Thecamoebians” and Foraminiferida), Volumes 1 and 2, xxxi + 900 p., 653 fig., 1964.
- Part D. PROTISTA 3 (Protozoa: Chiefly Radiolaria, Tintinnina), xii + 195 p., 92 fig., 1954.
- Part E. ARCHAEOCYATHA and PORIFERA, xviii + 122 p., 89 fig., 1955.
- Part E, Revised. ARCHAEOCYATHA, Volume 1, xxx + 158 p., 107 fig., 1972.
- Part E, Revised. PORIFERA, Volume 2 (Introduction to the Porifera), xxvii + 349 p., 135 fig., 10 tables, 2003.
- Part E, Revised. PORIFERA, Volume 3 (Demospongea, Hexactinellida, Heteractinida, Calcarea), xxxi + 872 p., 506 fig., 1 table, 2004.
- Part E, Revised. PORIFERA, Volumes 4 and 5 (Hypercalcified Porifera), liii + 1223 p., 665 fig., 42 tables, 2015.
- Part E, Revised. PORIFERA, Volume 5 (Demospongea, Hexactinellida, Heteractinida, Calcarea), xxxi + 872 p., 506 fig., 1 table, 2004.
- Part F. COELENTERATA, xx + 498 p., 358 fig., 1956.
- Part F. COELENTERATA, Supplement 1 (Rugosa and Tabulata), Volumes 1 and 2, xl + 762 p., 462 fig., 1981.
- Part G. BRYOZOA, xiii + 253 p., 175 fig., 1953.
- Part G, Revised. BRYOZOA, Volume 1 (Introduction, Order Cystoporata, Order Cryptostomata), xxvi + 625 p., 295 fig., 1983.
- Part H. BRACHIOPODA, Volumes 1 and 2, xxxii + 927 p., 746 fig., 1965.
- Part H, Revised. BRACHIOPODA, Volume 1 (Introduction), xx + 539 p., 417 fig., 40 tables, 1997.
- Part H, Revised. BRACHIOPODA, Volumes 2 and 3 (Linguliformea, Craniiformea, Rhynchonelliformea [part]), xxx + 919 p., 616 fig., 17 tables, 2000.
- Part H, Revised. BRACHIOPODA, Volume 4 (Rhynchonelliformea [part]), xxxix + 768 p., 484 fig., 3 tables, 2002.
- Part H, Revised. BRACHIOPODA, Volume 5 (Rhynchonelliformea [part]), xlvi + 631 p., 398 fig., 2006.
- Part H, Revised. BRACHIOPODA, Volume 6 (Supplement), l + 906 p., 461 fig., 38 tables, CD of compiled references from volumes 1–6, 2007.

- Part I. MOLLUSCA 1 (Mollusca General Features, Scaphopoda, Amphineura, Monoplacophora, Gastropoda General Features, Archaeogastropoda, Mainly Paleozoic Caenogastropoda and Opisthobranchia), xxiii + 351 p., 216 fig., 1960.
- Part K. MOLLUSCA 3 (Cephalopoda General Features, Endoceratoidea, Actinoceratoidea, Nautiloidea, Bactritoidea), xxviii + 519 p., 361 fig., 1964.
- Part L. MOLLUSCA 4 (Cephalopoda: Ammonoidea), xxii + 490 p., 558 fig., 1957.
- Part L, Revised. MOLLUSCA 4, Volume 2 (Carboniferous and Permian Ammonoidea), xxix + 258 p., 139 fig., 1 table, 2009.
- Part L, Revised. MOLLUSCA 4, Volume 4 (Cretaceous Ammonoidea), xx + 362 p., 216 fig., 1996.
- Part N. MOLLUSCA 6 (Bivalvia), Volumes 1 and 2 (of 3), xxxvii + 952 p., 613 fig., 1969; Volume 3, iv + 272 p., 153 fig., 1971.
- Part O. ARTHROPODA 1 (Arthropoda General Features, Protarthropoda, Euarthropoda General Features, Trilobitomorpha), xix + 560 p., 415 fig., 1959.
- Part O, Revised. ARTHROPODA 1 (Trilobita: Introduction, Order Agnostida, Order Redlichiida), xxiv + 530 p., 309 fig., 1997.
- Part P. ARTHROPODA 2 (Chelicerata, Pycnogonida, Palaeoisopus), xvii + 181 p., 123 fig., 1955 [1956].
- Part Q. ARTHROPODA 3 (Crustacea, Ostracoda), xxiii + 442 p., 334 fig., 1961.
- Part R. ARTHROPODA 4, Volumes 1 and 2 (Crustacea Exclusive of Ostracoda, Myriapoda, Hexapoda), xxxvi + 651 p., 397 fig., 1969.
- Part R. ARTHROPODA 4, Volumes 3 and 4 (Hexapoda), xxii + 655 p., 265 fig., 1992.
- Part S. ECHINODERMATA 1 (Echinodermata General Features, Homalozoa, Crinozoa, exclusive of Crinoidea), Volumes 1 and 2, xxx + 650 p., 400 fig., 1967 [1968].
- Part T. ECHINODERMATA 2 (Crinoidea), Volumes 1–3, xxxviii + 1,027 p., 619 fig., 1978.
- Part T, Revised. ECHINODERMATA 2 (Crinoidea), Volume 3, xxix + 261 p., 112 fig., 2011.
- Part U. ECHINODERMATA 3 (Asterozoans, Echinozoans), xxx + 695 p., 534 fig., 1966.
- Part V. GRAPTOLITHINA, xvii + 101 p., 72 fig., 1955.
- Part V, Revised. GRAPTOLITHINA, xxxii + 163 p., 109 fig., 1970.
- Part V, Second Revision. HEMICHORDATA (incl. Graptolithina), xxx + 548 p., 310 fig., 2023.
- Part W. MISCELLANEA (Conodonts, Conoidal Shells of Uncertain Affinities, Worms, Trace Fossils, Problematica), xxv + 259 p., 153 fig., 1962.
- Part W, Revised. MISCELLANEA, Supplement 1 (Trace Fossils and Problematica), xxi + 269 p., 110 fig., 1975.
- Part W, Revised. MISCELLANEA, Supplement 2 (Conodonta), xxviii + 202 p., frontis., 122 fig., 1981.

#### THIS VOLUME

- Part B. PROKARYOTA (Bacteria and Archaea), xxvi + 178 p., 48 fig., 3 tables, 2023.

#### VOLUMES IN PREPARATION

- Part B. PROTISTA 1 (Chryomonadida, Coccolithophorida, Diatomacea).
- Part E, Revised. PORIFERA (additional volumes).
- Part F, Revised. CNIDARIA (Scleractinia).
- Part G, Revised. BRYOZOA (additional volumes).
- Part K, Revised. MOLLUSCA 3 (Nautiloidea).
- Part L, Revised. MOLLUSCA 4 (Ammonoidea) (additional volumes).

Part M. MOLLUSCA 5 (Coleoidea).  
Part N. Revised. MOLLUSCA 6 (Bivalvia), Volume 1  
Part O, Revised. ARTHROPODA 1 (Trilobita) (additional volumes).  
Part P, Revised. ARTHROPODA 2 (Chelicerata).  
Part Q, Revised. ARTHROPODA 3 (Ostracoda).  
Part R, Revised. ARTHROPODA 4 (Crustacea Exclusive of Ostracoda).  
Part T, Revised. ECHINODERMATA 2 (Crinoidea) (additional volumes).

## ORIGINAL PUBLICATION IN *TREATISE ONLINE*

All content in this volume was originally published online. Below are the relevant *Treatise Online* chapters with their original titles in the order reflected in this volume (not in date order). Color illustrations can be found in these online versions. Authors wishing to cite the online published material, may follow the suggested citations below.

### **Biofilms:**

Espinosa-Ortiz, Erika J. and Robin Gerlach. 2021. Part B, Chapter 2: Biofilms. *Treatise Online* 147: 1–12, 3 fig.

### **Microbial Mats:**

Visscher, Pieter T., Kimberley L. Gallagher, Anthony Bouton, Emmanuelle Vennin, Christophe Thomazo, Richard A. White III, Brendan P. Burns. 2022. Part B, Chapter 3: Microbial mats. *Treatise Online* 163:1–32, 5 fig.

### **Microfossils of Prokaryotes:**

Xiao, Shuhai, & Qing Tang. 2021. Part B, Chapter 7: Microfossils of Prokaryotes (Bacteria and Archaea): Research History, Taphonomy, and Paleobiology. *Treatise Online* 160:1–37, 9 fig., 1 table.

### **Microbialites:**

Shapiro, R. S. & D. T. Wilmeth. 2020. Part B, Chapter 8: Microbialites. *Treatise Online* 134:1–24, 10 fig.

### **Microbially induced sedimentary structures (MISS):**

Noffke, Nora, Hugo Beraldi-Campesi, Flavia Callefo, Noelia Carmona, Diana G. Cuadrado, Keyron Hickman-Lewis, Martin Homann, Ria Mitchell, Nathan Sheldon, Frances Westall, & Shuhai Xiao. 2022. Part B, Chapter 5: Microbially induced sedimentary structures (MISS). *Treatise Online* 162: 1–29, 17 fig.

### **Banded iron formations:**

Heimann, Adriana. 2021. Part B, Chapter 6: Banded iron formations. *Treatise Online* 158:1–48, 4 fig., 2 tables.



# EDITORIAL PREFACE

WILLIAM I. AUSICH

From the outset, the aim of the *Treatise on Invertebrate Paleontology* has been to present a comprehensive and authoritative, yet compact, statement of knowledge concerning groups of invertebrate fossils. Typically, preparation of early *Treatise* volumes was undertaken by a small group with a synoptic view of the taxa being monographed. Two, or perhaps three, specialists worked together, sometimes co-opting others for coverage of highly specialized taxa. Recently, however, both new *Treatise* volumes and revisions of existing ones have been undertaken increasingly by teams of specialists led by a coordinating author. This volume, Part B, Prokaryotes has been nurtured and guided by Coordinating Author Nora Noffke. The planning began during the editorship of Paul Selden. Most of the text was submitted after I took up the mantle as interim editor. Editorial matters specific to this volume are discussed near the end of this editorial preface.

Because of the nature of its subject matter, this volume does not include the usual *Treatise* systematic information, as is explained in the Coordinating Author's Introduction (p. xxii–xxiii). Nevertheless, it is important to discuss systematic requirements herein for those preparing other *Treatise* volumes.

## ZOOLOGICAL NAMES

Questions about the proper use of zoological names arise continually, especially questions regarding both the acceptability of names and alterations of names that are allowed or even required. Regulations prepared by the International Commission on Zoological Nomenclature (ICZN) and published in the *International Code of Zoological Nomenclature* (4th edition, 1999), hereinafter referred to as the *Code*, provide procedures for answering such questions.

The prime objective of the *Code* is to promote stability and universality in the use of the scientific names of animals, ensuring also that each generic name is distinct and unique, while avoiding unwarranted restrictions on freedom of thought and action of systematists. Priority of names is a basic principle of the *Code*; but, under specified conditions and by following prescribed procedures, priority may be set aside by the Commission. These procedures apply especially where slavish adherence to the principle of priority would hamper or even disrupt zoological nomenclature and the information it conveys.

The Code is updated periodically and is now available online [[www.iczn.org/the-code/the-international-code-of-zoological-nomenclature/the-code-online](http://www.iczn.org/the-code/the-international-code-of-zoological-nomenclature/the-code-online)]. A significant recent change to nomenclatorial practice is that new nomenclatorial acts must be registered on Zoobank [[zoobank.org](http://zoobank.org)] for recognition by the ICZN. Zoobank is the official register of the ICZN and includes registry of nomenclatorial acts of genera and species, authors, publications, and type specimens.

Among other requirements, the revised *Code* is clear that the type genus of family-level taxa must be specified. In this volume we have continued the practice that has characterized most previous volumes of the *Treatise*, namely that the type genus of all family-level taxa is the first listed and diagnosed. In spite of the revisions, the nomenclatorial tasks that confront zoological taxonomists are formidable and have often justified the complaint that the study of zoology and paleontology is too often merely the study of names rather than the study of animals. It is incumbent on all systematists, therefore, at the outset of their work to pay careful attention to the *Code* to enhance stability by minimizing the number of subsequent

changes of names, too many of which are necessitated by insufficient attention to detail. To that end, several pages here are devoted to aspects of zoological nomenclature that are judged to have chief importance in relation to procedures adopted in the *Treatise*. Terminology is explained, and examples are given of the style employed in the nomenclatorial parts of the systematic descriptions.

## GROUPS OF TAXONOMIC CATEGORIES

Each taxon belongs to a category in the Linnaean hierarchical classification. The *Code* recognizes three groups of categories, a species-group, a genus-group, and a family-group. Taxa of lower rank than subspecies are excluded from the rules of zoological nomenclature, and those of higher rank than superfamily are also not regulated by the *Code*. It is both natural and convenient to discuss nomenclatorial matters in general terms first and then to consider each of these three, recognized groups separately. Especially important is the provision that within each group the categories are coordinate, that is, equal in rank, whereas categories of different groups are not coordinate.

## FORMS OF NAMES

All zoological names can be considered on the basis of their spelling. The first form of a name to be published is defined as the original spelling (*Code*, Article 32), and any form of the same name that is published later and is different from the original spelling is designated a subsequent spelling (*Code*, Article 33). Not every original or subsequent spelling is correct.

## ORIGINAL SPELLINGS

If the first form of a name to be published is consistent and unambiguous, the original is defined as correct unless it contravenes some stipulation of the *Code* (Articles 11, 27 to 31, and 34) or unless the original publication contains clear evidence of an inadvertent error in the sense of the *Code*,

or, among names belonging to the family-group, unless correction of the termination or the stem of the type genus is required. An original spelling that fails to meet these requirements is defined as incorrect.

If a name is spelled in more than one way in the original publication, the form adopted by the first reviser is accepted as the correct original spelling, provided that it complies with mandatory stipulations of the *Code* (Articles 11 and 24 to 34).

Incorrect original spellings are any that fail to satisfy requirements of the *Code*, represent an inadvertent error, or are one of multiple original spellings not adopted by a first reviser. These have no separate status in zoological nomenclature and, therefore, cannot enter into homonymy or be used as replacement names. They call for correction. For example, a name originally published with a diacritical mark, apostrophe, dieresis, or hyphen requires correction by deleting such features and uniting parts of the name originally separated by them, except that deletion of an umlaut from a vowel in a name derived from a German word or personal name unfortunately requires the insertion of *e* after the vowel. Where original spelling is judged to be incorrect solely because of inadequacies of the Greek or Latin scholarship of the author, nomenclatorial changes conflict with the primary purpose of zoological nomenclature as an information retrieval system. One looks forward with hope to further revisions of the *Code* wherein rules are emplaced that enhance stability rather than classical scholarship, thereby facilitating access to information.

## SUBSEQUENT SPELLINGS

If a subsequent spelling differs from an original spelling in any way, even by the omission, addition, or alteration of a single letter, the subsequent spelling must be defined as a different name. Exceptions include such changes as an altered termination of adjectival specific names to agree in gender with associated generic names (an unfortunate impediment to stability and retrieval

of information); changes of family-group names to denote assigned taxonomic rank; and corrections that eliminate originally used diacritical marks, hyphens, and the like. Such changes are not regarded as spelling changes conceived to produce a different name. In some instances, however, species-group names having variable spellings are regarded as homonyms as specified in the *Code* (Article 58).

Altered subsequent spellings other than the exceptions noted may be either intentional or unintentional. If “demonstrably intentional” (*Code*, Article 33), the change is designated as an emendation. Emendations may be either justifiable or unjustifiable. Justifiable emendations are corrections of incorrect original spellings, and these take the authorship and date of the original spellings. Unjustifiable emendations are names having their own status in nomenclature, with author and date of their publication. They are junior, objective synonyms of the name in its original form.

Subsequent spellings, if unintentional, are defined as incorrect subsequent spellings. They have no status in nomenclature, do not enter into homonymy, and cannot be used as replacement names.

## AVAILABLE AND UNAVAILABLE NAMES

Editorial prefaces of some previous volumes of the *Treatise* have discussed in appreciable detail the availability of the many kinds of zoological names that have been proposed under a variety of circumstances. Much of that information, while important, does not pertain to the present volume, in which authors have used fewer terms for such names. The reader is referred to the *Code* (Articles 10 to 20) for further details on availability of names. Here, suffice it to say that an available zoological name is any that conforms to all mandatory provisions of the *Code*. All zoological names that fail to comply with mandatory provisions of the *Code* are unavailable and have no status in zoological nomenclature. Both

available and unavailable names may be classified into groups that have been recognized in previous volumes of the *Treatise*, although not explicitly differentiated in the *Code*. Among names that are available, these groups include inviolate names, perfect names, imperfect names, vain names, transferred names, improved or corrected names, substitute names, and conserved names. Kinds of unavailable names include naked names (see *nomina nuda* below), denied names, impermissible names, null names, and forgotten names.

*Nomina nuda* include all names that fail to satisfy provisions stipulated in Article 11 of the *Code*, which states general requirements of availability. In addition, they include names published before 1931 that were unaccompanied by a description, definition, or indication (*Code*, Article 12) and names published after 1930 that (1) lacked an accompanying statement of characters that differentiate the taxon, (2) were without a definite bibliographic reference to such a statement, (3) were not proposed expressly as a replacement (*nomen novum*) of a preexisting available name (*Code*, Article 13.1), or (4) for genus-group names, were unaccompanied by definite fixation of a type species by original designation or indication (*Code*, Article 13.2). *Nomina nuda* have no status in nomenclature, and they are not correctable to establish original authorship and date.

## VALID AND INVALID NAMES

Important considerations distinguish valid from available names on the one hand and invalid from unavailable names on the other. Whereas determination of availability is based entirely on objective considerations guided by articles of the *Code*, conclusions as to validity of zoological names may be partly subjective. A valid name is the correct one for a given taxon, which may have two or more available names but only a single correct, hence valid, name, which is also generally the oldest name that it has been given. Obviously, no valid name can also be

an unavailable name, but invalid names may be either available or unavailable. It follows that any name for a given taxon other than the valid name, whether available or unavailable, is an invalid name.

One encounters a sort of nomenclatorial no-man's land in considering the status of such zoological names as *nomina dubia* (doubtful names), which may include both available and unavailable names. The unavailable ones can well be ignored, but names considered to be available contribute to uncertainty and instability in the systematic literature. These can ordinarily be removed only by appeal to the ICZN for special action. Because few systematists care to seek such remedy, such invalid but available names persist in the literature.

## NAME CHANGES IN RELATION TO GROUPS OF TAXONOMIC CATEGORIES

### SPECIES-GROUP NAMES

Detailed consideration of valid emendation of specific and subspecific names is unnecessary here, both because the topic is well understood and relatively inconsequential and because the *Treatise* deals with genus-group names and higher categories. When the form of adjectival specific names is changed to agree with the gender of a generic name in transferring a species from one genus to another, one need never label the changed name as *nomen correctum*. Similarly, transliteration of a letter accompanied by a diacritical mark in the manner now called for by the *Code*, as in changing originally *bröggeri* to *broeggeri*, or eliminating a hyphen, as in changing originally published *cornu-oryx* to *cornuoryx*, does not require the designation *nomen correctum*. Of course, in this age of computers and electronic databases, such changes of name, which are perfectly valid for the purposes of scholarship, run counter to the requirements of nomenclatorial stability on which the preparation of massive, electronic databases is predicated.

### GENUS-GROUP NAMES

Conditions warranting change of the originally published, valid form of generic and subgeneric names are sufficiently rare that lengthy discussion is unnecessary. Only elimination of diacritical marks and hyphens in some names in this category and replacement of homonyms seem to furnish basis for valid emendation. Many names that formerly were regarded as homonyms are no longer so regarded, because two names that differ only by a single letter or in original publication by the presence of a diacritical mark in one are now construed to be entirely distinct (but see *Code*, Article 58).

As has been pointed out above, difficulty typically arises when one tries to decide whether a change of spelling of a name by a subsequent author was intentional or unintentional, and the decision has to be made often arbitrarily.

### FAMILY-GROUP NAMES

#### Family-Group Names: Authorship and Date

All family-group taxa having names based on the same type genus are attributed to the author who first published the name of any of these groups, whether tribe, subfamily, or family (superfamily being almost inevitably a later-conceived taxon). Accordingly, if a family is divided into subfamilies or a subfamily into tribes, the name of no such subfamily or tribe can antedate the family name. Moreover, every family containing differentiated subfamilies must have a nominate subfamily (*sensu stricto*), which is based on the same type genus as the family. Finally, the author and date set down for the nominate subfamily invariably are identical with those of the family, irrespective of whether the author of the family or some subsequent author introduced subdivisions.

Corrections in the form of family-group names do not affect authorship and date of the taxon concerned, but in the *Treatise*, recording the authorship and date of the correction is desirable, because it provides

a pathway to follow the thinking of the systematists involved.

#### **Family-Group Names:**

##### **Use of *nomen translatum***

The *Code* (Article 29.2) specifies the suffixes for tribe (-ini), subfamily (-inae), family (-idae) and superfamily (-oidea), the formerly widely used ending (-acea) for superfamily having been disallowed. All these family-group categories are defined as coordinate (*Code*, Article 36.1): “A name established for a taxon at any rank in the family group is deemed to have been simultaneously established for nominal taxa at other ranks in the family group; all these taxa have the same type genus, and their names are formed from the stem of the name of the type genus (Art. 29.3) with appropriate change of suffix [Art. 34.1]. The name has the same authorship and date at every rank.” Such changes of rank and concomitant changes of endings as elevation of a subfamily to family rank or of a family to superfamily rank, if introduced subsequent to designation of the original taxon or based on the same nominotypical genus, are *nomina translata*. In the *Treatise*, it is desirable to distinguish the valid alteration in the changed ending of each transferred family-group name by the term *nomen translatum*, abbreviated to *nom. transl.* Similarly for clarity, authors should record the author, date, and page of the alteration, as in the following example.

#### **Family HEXAGENITIDAE**

**Lameere, 1917**

[*nom. transl.* DEMOULIN, 1954, p. 566, ex Hexagenitinae  
LAMEERE, 1917, p. 74]

This is especially important for superfamilies, for the information of interest is the author who initially introduced a taxon rather than the author of the superfamily as defined by the *Code*. For example:

#### **Superfamily AGNOSTOIDEA**

**M’Coy, 1849**

[*nom. transl.* SHERGOLD, LAURIE, & SUN, 1990, p. 32, ex Agnostinae  
M’COY, 1849, p. 402]

The latter is merely the individual who first defined some lower-ranked, family-group taxon that contains the nominotypical genus of the superfamily. On the other hand, the publication that introduces the superfamily by *nomen translatum* is likely to furnish the information on taxonomic considerations that support definition of the taxon.

#### **Family-Group Names:**

##### **Use of *nomen correctum***

Valid name changes classed as *nomina correctata* do not depend on transfer from one category of the family group to another but most commonly involve correction of the stem of the nominotypical genus. In addition, they include somewhat arbitrarily chosen modifications of endings for names of tribes or superfamilies. Examples of the use of *nomen correctum* are the following.

#### **Family STREPTELASMATIDAE**

**Nicholson, 1889**

[*nom. correct.* WEDEKIND, 1927, p. 7, pro Streptelasmidae  
NICHOLSON in NICHOLSON & LYDEKKER, 1889, p. 297]

#### **Family PALAEOSCORPIDAE**

**Lehmann, 1944**

[*nom. correct.* PETRUNKEVITCH, 1955, p. 73, pro Palaeoscorpionidae  
LEHMANN, 1944, p. 177]

#### **Family-Group Names:**

##### **Replacements**

Family-group names are formed by adding combinations of letters, which are prescribed for all family-group categories, to the stem of the name belonging to the nominotypical genus first chosen as type of the assemblage. The type genus need not be the first genus in the family to have been named and defined, but among all those included it must be the first published as name giver to a family-group taxon. Once fixed, the family-group name remains tied to the nominotypical genus even if the generic name is changed by reason of status as a junior homonym or junior synonym, either objective or subjective. Seemingly, the *Code* requires replacement of a family-group name only if the

nominotypical genus is found to have been a junior homonym when it was proposed (*Code*, Article 39), in which case “. . . it must be replaced either by the next oldest available name from among its synonyms [Art. 23.3.5], including the names of its subordinate family-group taxa, or, if there is no such synonym, by a new name based on the valid name . . . of the former type genus.” Authorship and date attributed to the replacement family-group name are determined by first publication of the changed family-group name. Recommendation 40A of the *Code*, however, specifies that for subsequent application of the rule of priority, the family-group name “. . . should be cited with its original author and date (see Recommendation 22A.2.2), followed by the date of its priority as determined by this Article; the date of priority should be enclosed in parentheses.” Many family-group names that have been in use for a long time are *nomina nuda*, because they fail to satisfy criteria of availability (*Code*, Article 11.7). These demand replacement by valid names.

The aim of family-group nomenclature is to yield the greatest possible stability and uniformity, just as in other zoological names. Both taxonomic experience and the *Code* (Article 40) indicate the wisdom of sustaining family-group names based on junior subjective synonyms if they have priority of publication, for opinions of the same worker may change from time to time. The retention of first-published, family-group names that are found to be based on junior objective synonyms, however, is less clearly desirable, especially if a replacement name derived from the senior objective synonym has been recognized very long and widely. Moreover, to displace a widely used, family-group name based on the senior objective synonym by disinterring a forgotten and virtually unused family-group name based on a junior objective synonym because the latter happens to have priority of publication is unsettling.

A family-group name may need to be replaced if the nominotypical genus is trans-

ferred to another family group. If so, the first-published of the generic names remaining in the family-group taxon is to be recognized in forming a replacement name.

#### SUPRAFAMILIAL TAXA: TAXA ABOVE FAMILY-GROUP

International rules of zoological nomenclature as given in the *Code* affect only lower-rank categories: subspecies to superfamily. Suprafamilial categories (suborder to kingdom) are either not mentioned or explicitly placed outside of the application of zoological rules. The *Copenhagen Decisions on Zoological Nomenclature* (1953, Articles 59 to 69) proposed adopting rules for naming suborders and higher taxa up to and including phylum, with provision for designating a type genus for each, in such manner as not to interfere with the taxonomic freedom of workers. Procedures were outlined for applying the rule of priority and rule of homonymy to suprafamilial taxa and for dealing with the names of such taxa and their authorship, with assigned dates, if they should be transferred on taxonomic grounds from one rank to another. The adoption of terminations of names, different for each category but uniform within each, was recommended.

The Colloquium on Zoological Nomenclature, which met in London during the week just before the 15th International Congress of Zoology convened in 1958, thoroughly discussed the proposals for regulating suprafamilial nomenclature, as well as many others advocated for inclusion in the new *Code* or recommended for exclusion from it. A decision that was supported by a wide majority of the participants in the colloquium was against the establishment of rules for naming taxa above family-group rank, mainly because it was judged that such regulation would unwisely tie the hands of taxonomists. For example, a class or order defined by an author at a given date, using chosen morphologic characters (*e.g.*, gills of bivalves), should not be allowed to freeze nomenclature, taking precedence over

another class or order that is proposed later and distinguished by different characters (*e.g.*, hinge teeth of bivalves). Even the fixing of type genera for suprafamilial taxa would have little, if any, value, hindering taxonomic work rather than aiding it. Beyond mere tidying up, no basis for establishing such types and for naming these taxa has yet been provided.

The considerations just stated do not prevent the editors of the *Treatise* from making rules for dealing with suprafamilial groups of animals described and illustrated in this publication. Some uniformity is needed, especially for the guidance of *Treatise* authors. This policy should accord with recognized general practice among zoologists; but where general practice is indeterminate or nonexistent, our own procedure in suprafamilial nomenclature needs to be specified as clearly as possible. This pertains especially to decisions about names themselves, about citation of authors and dates, and about treatment of suprafamilial taxa that, on taxonomic grounds, are changed from their originally assigned rank. Accordingly, a few rules expressing *Treatise* policy are given here, some with examples of their application.

1. The name of any suprafamilial taxon must be a Latin or Latinized, uninominal noun of plural form or treated as such, with a capital initial letter and without diacritical mark, apostrophe, diaeresis, or hyphen. If a component consists of a numeral, numerical adjective, or adverb, this must be written in full.

2. Names of suprafamilial taxa may be constructed in almost any manner. A name may indicate morphological attributes (*e.g.*, Lamellibranchiata, Cyclostomata, Toxoglossa) or be based on the stem of an included genus (*e.g.*, Bellerophontina, Nautilida, Fungiina) or on arbitrary combinations of letters (*e.g.*, Yuania); none of these, however, can end in -oidea, -idae or -inae, which terminations are reserved for family-group taxa. No suprafamilial name identical in form to that of a genus or to another published

suprafamilial name should be employed (*e.g.*, order Decapoda LATREILLE, 1803, crustaceans, and order Decapoda LEACH, 1818, cephalopods; suborder Chonetoidea MUIR-WOOD, 1955, and genus *Chonetoidea* JONES, 1928). Worthy of notice is the classificatory and nomenclatorial distinction between suprafamilial and family-group taxa that, respectively, are named from the same type genus, because one is not considered to be transferable to the other (*e.g.*, suborder Bellerophontina ULRICH & SCOFIELD, 1897 is not coordinate with superfamily Bellerophontacea MCCOY, 1851 or family Bellerophontidae MCCOY, 1851).

3. The rules of priority and homonymy lack any force of international agreement as applied to suprafamilial names, yet in the interest of nomenclatorial stability and to avoid confusion these rules are widely applied by zoologists to taxa above the family-group level wherever they do not infringe on taxonomic freedom and long-established usage.

4. Authors who accept priority as a determinant in nomenclature of a suprafamilial taxon may change its assigned rank at will, with or without modifying the terminal letters of the name, but such changes cannot rationally be judged to alter the authorship and date of the taxon as published originally. A name revised from its previously published rank is a transferred name (*nomen translatum*), as illustrated in the following.

## Order CORYNEXOCHIDA Kobayashi, 1935

[*nom. transl.* MOORE, 1959, p. 217, ex suborder Corynexochida KOBAYASHI, 1935, p. 81]

A name revised from its previously published form merely by adoption of a different termination without changing taxonomic rank is a *nomen correctum*.

## Order DISPARIDA Moore & Laudon, 1943

[*nom. correct.* MOORE in MOORE, LALICKER, & FISCHER, 1952, p. 613, pro order Disparata MOORE & LAUDON, 1943, p. 24]

A suprafamilial name revised from its previously published rank with accompanying change of termination, which signals the change of rank, is recorded as a *nomen translatum et correctum*.

## Order HYBOCRINIDA Jaekel, 1918

[*nom. transl. et correct.* MOORE in MOORE, LALICKER, & FISCHER, 1952, p. 613, *ex suborder* Hybocrinites JAEKEL, 1918, p. 90]

5. The authorship and date of nominate subordinate and supraordinate taxa among suprafamilial taxa are considered in the *Treatise* to be identical because each actually or potentially has the same type. Examples are given below.

## Subclass ENDOCERATOIDEA Teichert, 1933

[*nom. transl.* TEICHERT in TEICHERT & others, 1964, p. 128, *ex order* Endoceroidea TEICHERT, 1933, p. 214]

## Order ENDOCERIDA Teichert, 1933

[*nom. correct.* TEICHERT in TEICHERT & others, 1964, p. 165, *pro order* Endoceroidea TEICHERT, 1933, p. 214]

## TAXONOMIC EMENDATION

Emendation has two distinct meanings as regards zoological nomenclature. These are alteration of a name itself in various ways for various reasons, as has been reviewed, and alteration of the taxonomic scope or concept for which a name is used. The *Code* (Article 33.1 and Glossary) concerns itself only with the first type of emendation, applying the term to intentional, either justified or unjustified changes of the original spelling of a name. The second type of emendation primarily concerns classification and inherently is not associated with change of name. Little attention generally has been paid to this distinction in spite of its significance.

Most zoologists, including paleontologists, who have emended zoological names refer to what they consider a material change in application of the name such as may be expressed by an importantly altered diagnosis of the assemblage covered by the

name. The abbreviation *emend.* then must accompany the name with statement of the author and date of the emendation. On the other hand, many systematists think that publication of *emend.* with a zoological name is valueless because alteration of a taxonomic concept is introduced whenever a subspecies, species, genus, or other taxon is incorporated into or removed from a higher zoological taxon. Inevitably associated with such classificatory expansions and restrictions is some degree of emendation affecting diagnosis. Granting this, still it is true that now and then somewhat more extensive revisions are put forward, generally with a published statement of the reasons for changing the application of a name. To erect a signpost at such points of most significant change is worthwhile, both as an aid to subsequent workers in taking account of the altered nomenclatorial usage and to indicate where in the literature cogent discussion may be found. Authors of contributions to the *Treatise* are encouraged to include records of all especially noteworthy emendations of this nature, using the abbreviation *emend.* with the name to which it refers and citing the author, date, and page of the emendation. Examples from *Treatise* volumes follow.

## Order ORTHIDA Schuchert & Cooper, 1932

[*nom. transl. et correct.* MOORE in MOORE, LALICKER, & FISCHER, 1952, p. 220, *ex suborder* Orthoidea SCHUCHERT & COOPER, 1932, p. 43; *emend.*, WILLIAMS & WRIGHT, 1965, p. 299]

## Subfamily ROVEACRININAE Peck, 1943

[Roveacrininae PECK, 1943, p. 465; *emend.*, PECK in MOORE & TEICHERT, 1978, p. 921]

## STYLE IN GENERIC DESCRIPTIONS

### CITATION OF TYPE SPECIES

In the *Treatise*, the name of the type species of each genus and subgenus is given immediately following the generic name with its accompanying author, date, and page reference or after entries needed

for definition of the name if it is involved in homonymy. The originally published combination of generic and trivial names of this species is cited, accompanied by an asterisk (\*), with notation of the author, date, and page of original publication, except if the species was first published in the same paper and by the same author as that containing definition of the genus of which it is the type. In this instance, the initial letter of the generic name followed by the trivial name is given without repeating the name of the author and date. Examples of these two sorts of citations follow.

*Orionastraea* SMITH, 1917, p. 294 [\**Sarcinula phillipsi* MCCOY, 1849, p. 125; OD].

*Schoenophyllum* SIMPSON, 1900, p. 214 [\**S. aggregatum*; OD].

If the cited type species is a junior synonym of some other species, the name of this latter is given also, as follows.

*Actinocyathus* D'ORBIGNY, 1849, p. 12 [\**Cyathophyllum crenulate* PHILLIPS, 1836, p. 202; M; =*Lonsdaleia floriformis* (MARTIN), 1809, pl. 43; validated by ICZN Opinion 419].

In some instances the type species is a junior homonym. If so, it is cited as shown in the following example.

*Prionocyclus* MEEK, 1871b, p. 298 [\**Ammonites serrotocarينات* MEEK, 1871a, p. 429, non STOLICZKA, 1864, p. 57; =*Prionocyclus wyomingensis* MEEK, 1876, p. 452].

In the *Treatise*, the name of the type species is always given in the exact form it had in the original publication except that diacritical marks have been removed. Where other mandatory changes are required, these are introduced later in the text, typically in the description of a figure.

### Fixation of Type Species Originally

It is desirable to record the manner of establishing the type species, whether by original designation (OD) or by subsequent designation (SD). The type species of a genus or subgenus, according to provisions of the *Code*, may be fixed in various ways in the original publication; or it may

be fixed subsequently in ways specified by the *Code* (Article 68) and described in the next section. Type species fixed in the original publication include (1) *original designation* (in the *Treatise* indicated by OD) when the type species is explicitly stated or (before 1931) indicated by n. gen., n. sp. (or its equivalent) applied to a single species included in a new genus; (2) defined by use of *typus* or *typicus* for one of the species included in a new genus (adequately indicated in the *Treatise* by the specific name); (3) established by *monotypy* if a new genus or subgenus has only one originally included species (in the *Treatise* indicated as M); and (4) fixed by *tautonymy* if the genus-group name is identical to an included species name not indicated as the type.

### Fixation of Type Species Subsequently

The type species of many genera are not determinable from the publication in which the generic name was introduced. Therefore, such genera can acquire a type species only by some manner of subsequent designation. Most commonly this is established by publishing a statement naming as type species one of the species originally included in the genus. In the *Treatise*, such fixation of the type species by subsequent designation in this manner is indicated by the letters SD accompanied by the name of the subsequent author (who may be the same person as the original author) and the publication date and page number of the subsequent designation. Some genera, as first described and named, included no mentioned species (for such genera established after 1930, see below); these necessarily lack a type species until a date subsequent to that of the original publication when one or more species is assigned to such a genus. If only a single species is thus assigned, it becomes automatically the type species. Of course, the first publication containing assignment of species to the genus that originally lacked any included species is the one concerned in fixation of the type species, and if this

publication names two or more species as belonging to the genus but did not designate a type species, then a later SD designation is necessary. Examples of the use of SD as employed in the *Treatise* follow.

**Hexagonaria** GURICH, 1896, p. 171 [\**Cyathophyllum hexagonum* GOLDFUSS, 1826, p. 61; SD LANG, SMITH, & THOMAS, 1940, p. 69].

**Mesephemera** HANDLIRSCH, 1906, p. 600 [\**Tineites lithophilus* GERMAR, 1842, p. 88; SD CARPENTER, herein].

Another mode of fixing the type species of a genus is through action of the International Commission of Zoological Nomenclature using its plenary powers. Definition in this way may set aside application of the *Code* so as to arrive at a decision considered to be in the best interest of continuity and stability of zoological nomenclature. When made, it is binding and commonly is cited in the *Treatise* by the letters ICZN, accompanied by the date of announced decision and reference to the appropriate numbered opinion.

Subsequent designation of a type species is admissible only for genera established prior to 1931. A new genus-group name established after 1930 and not accompanied by fixation of a type species through original designation or original indication is invalid (*Code*, Article 13.3). Effort of a subsequent author to validate such a name by subsequent designation of a type species constitutes an original publication, making the name available under authorship and date of the subsequent author.

## HOMONYMS

Most generic names are distinct from all others and are indicated without ambiguity by citing their originally published spelling accompanied by name of the author and date of first publication. If the same generic name has been applied to two or more distinct taxonomic units, however, it is necessary to differentiate such homonyms. This calls for distinction between junior homonyms and senior homonyms. Because a junior homonym is invalid, it must be replaced by some other name. For example, *Callophora*

HALL, 1852, introduced for Paleozoic trepostomate bryozoans, is invalid because Gray in 1848 published the same name for Cretaceous–Holocene cheilostomate bryozoans. Bassler in 1911 introduced the new name *Hallophora* to replace Hall's homonym. The *Treatise* style of entry is given below.

**Hallophora** BASSLER, 1911, p. 325, *nom. nov. pro Callophora* HALL, 1852, p. 144, *non* GRAY, 1848.

In like manner, a replacement generic name that is needed may be introduced in the *Treatise* (even though first publication of generic names otherwise in this work is generally avoided). An exact bibliographic reference must be given for the replaced name as in the following example.

**Mysterium** DE LAUBENFELS, herein, *nom. nov. pro Mysterium* SCHRAMMEN, 1936, p. 183, *non* ROGER, 1862 [\**Mysterium porosum* SCHRAMMEN, 1936, p. 183; OD].

Otherwise, no mention is made generally of the existence of a junior homonym.

## Synonymous Homonyms

An author sometimes publishes a generic name in two or more papers of different date, each of which indicates that the name is new. This is a bothersome source of errors for later workers who are unaware that a supposed first publication that they have in hand is not actually the original one. Although the names were published separately, they are identical and therefore definable as homonyms; at the same time they are absolute synonyms. For the guidance of all concerned, it seems desirable to record such names as synonymous homonyms. In the *Treatise*, the junior of one of these is indicated by the abbreviation *jr. syn. hom.*

Not infrequently, identical family-group names are published as new names by different authors, the author of the name that was introduced last being ignorant of previous publication(s) by one or more other workers. In spite of differences in taxonomic concepts as indicated by diagnoses and grouping of genera and possibly in assigned rank, these family-group taxa, being based on the same

type genus, are nomenclatorial homonyms. They are also synonyms. Wherever encountered, such synonymous homonyms are distinguished in the *Treatise* as in dealing with generic names.

A rare but special case of homonymy exists when identical family names are formed from generic names having the same stem but differing in their endings. An example is the family name Scutellidae RICHTER & RICHTER, 1925, based on *Scutellum* PUSCH, 1833, a trilobite. This name is a junior homonym of Scutellidae GRAY, 1825, based on the echinoid genus *Scutella* LAMARCK, 1816. The name of the trilobite family was later changed to Scutelluidae (ICZN, Opinion 1004, 1974).

### SYNONYMS

In the *Treatise*, citation of synonyms is given immediately after the record of the type species. If two or more synonyms of differing date are recognized, these are arranged in chronological order. Objective synonyms are indicated by accompanying designation *obj.*, others being understood to constitute subjective synonyms, of which the types are also indicated. Examples showing *Treatise* style in listing synonyms follow.

**Mackenziophyllum** PEDDER, 1971, p. 48 [*\*M. insolitum*; OD] [= *Zonastrea* TSYGANKO in SPASSKIY, KRAVTSOV, & TSYGANKO, 1971, p. 85, *nom. nud.*; = *Zonastrea* TSYGANKO, 1972, p. 21 (type, *Z. graciosa*, OD)].

**Kodonophyllum** WEDEKIND, 1927, p. 34 [*\*Streptelasma Milne-Edwardsi* DYBOWSKI, 1873, p. 409; OD; = *Madrepora truncata* LINNE, 1758, p. 795, see SMITH & TREMBERTH, 1929, p. 368] [= *Patrophontes* LANG & SMITH, 1927, p. 456 (type, *Madrepora truncata* LINNE, 1758, p. 795, OD); = *Codonophyllum* LANG, SMITH, & THOMAS, 1940, p. 39, *obj.*].

Some junior synonyms of either the objective or the subjective sort may be preferred over senior synonyms whenever uniformity and continuity of nomenclature are served by retaining a widely used but technically rejectable name for a genus. This requires action of the ICZN, which may use its plenary powers to set aside the unwanted name, validate the wanted one, and place the concerned names on appropriate official lists.

## OTHER EDITORIAL MATTERS

### BIOGEOGRAPHY

Purists, *Treatise* editors among them, would like nothing better than a stable world with a stable geography that makes possible a stable biogeographical classification. Global events of the past few years have shown how rapidly geography can change, and in all likelihood we have not witnessed the last of such change as new, so-called republics continue to spring up around the globe. One expects confusion among readers in the future as they try to decipher such geographical terms as USSR, Yugoslavia, or Ceylon. Such confusion is unavoidable, as books must be completed and published at some real time. Libraries would be limited indeed if publication were always to be delayed until the political world had settled down. In addition, such terms as central Europe and western Europe are likely to mean different things to different people. Some imprecision is introduced by the use of all such terms, of course, but it is probably no greater than the imprecision that stems from the fact that the work of paleontology is not yet finished, and the geographical ranges of many genera are imperfectly known.

Other geographic terms can also have varying degrees of formality. In general, *Treatise* policy is to use adjectives rather than nouns to refer to directions. Thus, we use *southern* and *western* in place of *South* and *West* unless a term has been formally defined as a geographic entity (e.g., South America or West Virginia). Note that we have referred to western Texas rather than West Texas, which is said to be not a state but a state of mind.

### NAMES OF AUTHORS:

### TRANSLATION AND TRANSLITERATION

Chinese scientists have become increasingly active in systematic paleontology in the past two decades. Chinese names cause anguish among English-language bibliographers

for two reasons. First, no scheme exists for one-to-one transliteration of Chinese characters into roman letters. Thus, a Chinese author may change the roman-letter spelling of his name from one publication to another. For example, the name Chang, the most common family name in the world reportedly held by some one billion people, has been spelled more recently Zhang. The principal purpose of a bibliography is to provide the reader with entry into the literature. hat Chinese authors have used in each of their publications rather than attempting to adopt a common spelling to be used in all citations of their work. It is entirely possible, therefore, that the publications of a Chinese author may be listed in more than one place under more than one name in the reference section.

Second, most but by no means all Chinese list their family name first followed by given names. People with Chinese names who study in the West, however, often reverse the order, putting the family name last as is the Western custom. In the *Treatise*, authors' names are generally used in the text and listed in the references as they appear in the source being cited.

In previous *Treatise* volumes, traditional Chinese name order was followed when citing a Chinese language publication, in an attempt to list authors as they appear in the source being cited. However, the increasingly global nature of scientific publishing has rendered this past *Treatise* policy cumbersome and prone to error. Therefore, starting with this volume, *Treatise* is using the Western name order style for all authors, regardless of country of origin or language of publishing. The aim is for consistency and should not imply disrespect for any tradition.

In this volume, we also use the full given name for Chinese authors rather than initials when the name is known (Yuandong Zhang instead of Y.-D. Zhang).

Several systems exist for transliterating the Cyrillic alphabet into the roman alphabet. On the recommendation of skilled bibliographic librarians, we have adopted the

American Library Association/Library of Congress romanization table for Russian and other languages using the Cyrillic alphabet.

## MATTERS SPECIFIC TO THIS VOLUME

Authorship entails both credit and responsibility. As the knowledge of paleontology grows and paleontologists become more specialized, preparation of *Treatise* volumes must necessarily involve larger and larger teams of researchers, each focusing on increasingly narrow aspects of the higher taxon under revision. In this volume, we have taken special pains to acknowledge authorship of small subsections. Readers citing the volume are encouraged to pay close attention to the actual authorship of a section or subsection.

Stratigraphic nomenclature in the *Treatise* follows that recommended by the International Commission of Stratigraphy, which updates their International Chronostratigraphic Chart periodically ([www.stratigraphy.org](http://www.stratigraphy.org)).

All sections in this volume first appeared as chapters in *Treatise Online*, published between 2021 and 2022 and uploaded as they were finished. This presented new dilemmas. For instance, credits for previously published figures are identified by publication and date at the end of figure captions; “new” is used if a figure is being published for the first time. In that regard, we treat *Treatise Online* and *Treatise* (the printed volume) as the same entity, therefore “new” will appear herein, even if technically published online earlier.

Color versions of many of the illustrations in this volume are available in *Treatise Online*. A reference to the color version is included in captions when color contributes vital information.

Authors who wish to cite *Treatise* material may choose to cite the online publication, which is the earliest date—often referred to in the text as “originally published as...” A listing of all Part B *Treatise Online* chapters is on p. ix. Please note that editorial changes

have been made subsequent to *Treatise Online* versions. Therefore, the printed volume represents the most accurate and up-to-date information.

## ACKNOWLEDGMENTS

Discussions about the increasing interest in Precambrian paleontology and whether prokaryotes could fit into the traditional framework of the *Treatise* began under the supervision of the late assistant editor Jill Hardesty, editor and director Paul Selden, and Nora Noffke. Assistant editor Elizabeth Black took on the task in 2016 in the early stages of submission of manuscripts. As chapters were finished, they were made available to the community in *Treatise Online*. As interim director, I provided editorial guidance, moving this volume through early manuscripts through the various stages of final editing and into production. In this, able assistance was provided by other members of the editorial team, including Denise Mayse, office Manager and copy editor, with her excellent attention to detail while proofing, checking the references, and various other tasks, and Mike Cormack with his outstanding computer skills and management of sub-

scriptions and *Treatise Online*. Three months before this volume went to the printer, Bruce Lieberman became Director and Editor, and his input was invaluable in the last stages.

This editorial preface and other, recent ones are extensive revisions of the prefaces prepared for previous *Treatise* volumes by former editors, including the late Raymond C. Moore, the late Curt Teichert, Richard A. Robison, and the late Roger L. Kaesler, and most recently, Paul A. Selden. I am indebted to them for preparing earlier prefaces and for the leadership they have provided in bringing the *Treatise* project to its present status.

William I. Ausich

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# INTRODUCTION

NORA NOFFKE and PAUL SELDEN

In 2012, we began discussing the problems associated with prokaryote fossil systematics in paleontology and whether this subject belonged in the *Treatise on Invertebrate Paleontology*. With Precambrian paleontology gaining increasing traction in novel analytical studies, we agreed it would be timely for the *Treatise* to publish a volume dedicated to prokaryotes. One of the problems we encountered was how to categorize prokaryotes and fit this organismic group into the traditional systematic framework of the *Treatise*. In the prokaryote world, speciation in the Darwinian sense does not exist, and, more so, prokaryotes commonly assemble into highly complex communities called biofilms. Microbial cells are rarely preserved, and sedimentary structures arising from prokaryote activity constitute biofilm expressions. Biofilms, however, are complex assemblages of microorganisms and a dominant group cannot always be of geological significance in the sense of causing a visible fossil, texture, or structure. For these reasons, it seemed prudent to explore the topic of Prokaryota in the fossil record by presenting a volume that would include a general overview on the main fossil types that constitute this indisputably largest group of organisms on Earth. Future work may contribute to categorizing taphonomic groups and testing biostratigraphic application, which may well result in additional volumes on Prokaryota.

This volume begins with an introduction into biofilms that have mostly been the subject of medical research before moving into the limelight of geosciences. Biofilms are assemblages of microbes that organize into a three-dimensional structure with the single cells attached to a substrate by their extracellular polymeric substances (EPS)—in colloquial terms also called slime. Traditional sedimentology and paleontology are not familiar with biofilms but are definitively

familiar with microbial mats (algal mats) that are basically large-scale biofilms occurring in aquatic settings. Such mats are well known as producers of microbialites, of which the carbonate buildups (stromatolites) in shallow coastal zones are prominent examples. Such buildups form through the metabolic activity of the biofilm/mat community in which each member is interacting with the next, as well as with environmental parameters. Biofilms and mats also contributed to the enormous quantities of Banded Iron Formations (BIFs), to date the most important ore deposits in the world. Where mats develop in clastic settings of little to no mineral precipitation, microbially induced sedimentary structures (MISS) represent the microbialite spectrum. Microbial mats, microbialites, and BIFs constitute large structures, but they may include myriads of microfossils of the ancient structure-formers. However, the paleontological spectrum of prokaryotes also includes deposits of fossils of cells and filaments preserved *in situ* as carbonaceous matter in rapidly precipitated mineralogies, such as glass-like chert. In some examples, the organic matter had been replaced by minerals such as pyrite.

Prokaryote fossils and structures have modern counterparts that can be studied. Typically, the record spans from the early Archean (perhaps Hadean) to the modern. Indeed, the modern serves as the key to the past and is instrumental for the exploration of Earth history, especially that of the Precambrian.

Compiling a volume such as this requires many colleagues working together. We are most grateful to the diligent staff at the *Treatise* editorial office—editor Jill Hardesty, who guided the project in the beginning; Elizabeth Black, who edited chapters as our team produced them; and interim director William Ausich who oversaw the final stages. We thank our authors for putting their

chapters together despite the daily pressure of research and teaching activities, and we are grateful for the many timely reviews provided by experts in the field. Thank you all!

We look forward to the continuation of this initiative regarding the organismic group Prokaryota in the future of the *Treatise on Invertebrate Paleontology*.

## GLOSSARY OF IMPORTANT TERMS

### General Terms

**Active continental margin:** a continental margin with a subduction zone.

**Alteration:** change.

**Amorphous:** disordered atomic arrangement of a mineral substance.

**Analog:** a model for.

**Authigenic:** formed in place, typically referring to mineralization.

**Banding:** horizontal layers in a rock, differentiated by color or material but not by bedding planes.

**Biofilm:** a layer of microbial cells and their extracellular polymeric substances (EPS).

**Biogenicity:** characteristics of biological origin.

**Biominalization:** process of minerals formed by biotic processes.

**Biosignature:** a sedimentary structure, texture, or chemical signal in a rock bed that has a biological origin.

**Bioturbation:** structure caused by an organism in a sediment.

**Calcification:** calcite mineralization of the organic substance of an organism.

**Cement:** minerals precipitated in void space surrounding sedimentary grains in a sedimentary rock such as a sandstone.

**Chert:** rock formed of microcrystalline silica.

**Crystalline:** comprised of mineral crystals.

**Diagenesis:** post-burial chemical and physical changes of sediments and organisms within.

**Ediacara:** name of a formation of terminal Neoproterozoic age; also name of a fauna occurring in this stratigraphic section.

**Fe-Fenton reaction:** catalytic process that forms hydroxyl free radicals from ferrous Fe.

**Fischer-Tropsch processes:** chemical reactions that convert a mixture of carbon monoxide and hydrogen into hydrocarbons.

**Fluvial:** riverine.

**Graywacke:** type of sandstone that is poorly sorted and comprised of a variety of minerals including quartz.

**Hiatus:** pause in sedimentation, causing a gap in a stratigraphic rock succession

**Hydrothermal vent:** an opening in the Earth's crust where hot and chemical-laden water emerges.

**Intertidal:** zone along the coast affected by daily tides.

**Lacustrine:** in a lake.

**Lamina:** layer in a sediment or sedimentary rock, typically less than a millimeter thick.

**Lithology:** type of rock.

**Micritic:** calcite crystals less than 4 microns in diameter.

**Platform:** a shallow shelf covered by carbonate sediment in a tropical ocean.

**Precipitation:** crystallization of minerals.

**Pustular:** covered with a pimple-like texture.

**Metamorphic facies:** degree of metamorphism.

**Metamorphism:** change of a rock due to high temperature and pressure.

**Microbialite:** build-up caused by microorganisms.

**Microbial mat:** benthic assemblage of microorganisms on the sedimentary surface in an aquatic or moist setting, commonly resembling a soft tissue or carpet.

**Microfossil:** fossil of a microscopic organism, either prokaryotic or eukaryotic.

**Mold and cast:** type of preservation, in which a cast is a positive mineralogical infill of an organism and a mold is an imprint.

**Passive-margin continental shelf:** a continental margin without a subduction zone.

**Peritidal:** along an intertidal flat, landward.

**Quartzite:** rock comprised of quartz minerals, often white in color.

**Rayleigh oxidation:** distillation, kinetic or non-equilibrium oxidation.

**Shale:** slightly metamorphic mudstone.

**Silicification:** process of permeation by silica.

**Snowball Earth:** hypothesis of Earth being completely covered in ice, originally defined for the terminal Neoproterozoic.

**Taphonomy:** process of fossilization.

### Commonly Used Acronyms

**BIF:** banded iron formation.

**DIR:** dissimilatory iron reduction.

**DSR:** dissimilatory sulfate reduction.

**EPS:** extracellular polymeric substances, mucilages, of microbial cells.

**GIF:** granular iron formation.

**GOE:** great oxidation event.

**GSB:** green sulfur bacteria.

**LIP:** large igneous province.

**MAGs:** metagenome-assembled genomes.

**MISS:** microbially induced sedimentary structure.

**MIST:** microbially induced sedimentary texture.

**MSD:** massive sulfide deposit.

**PDB:** Pee Dee Belemnite, a standard used for C isotope analysis, based on the Cretaceous marine fossil *Belemnitella americana* from the Peedee Formation in South Carolina.

**REE:** rare earth element.

**TOS:** textured organic surfaces.

**SRB:** sulfate-reducing bacteria.

# BIOFILMS

ERIKA J. ESPINOSA-ORTIZ and ROBIN GERLACH

## INTRODUCTION

Most microorganisms found in natural, clinical, and industrial environments prevail associated with surfaces rather than as free-living (planktonic) organisms (COSTERTON & others, 1995; FLEMMING & WUERTZ, 2019). These communities can develop as biofilms in a diverse range of environments (e.g. living tissues, indwelling medical devices, water distribution systems, natural aquatic and sediment systems, rocks, surfaces of buildings, stromatolites, etc.). Biofilms are “aggregates of microorganisms in which cells that are frequently embedded within a self-produced matrix of extracellular polymeric substances (EPS) adhere to each other and/or to a surface” (VERT & others, 2012, p. 383). The aggregation of cells can result in highly structured microbial communities that allow for cell-to-cell contact. This proximity of the cells, the intra- and intercellular interactions within the microbial community, and the properties of the EPS matrix can confer distinct emergent properties upon the biofilm substantially different from planktonic communities (FLEMMING & others, 2016). Biofilms are characterized by their unique: 1) physicochemical and biological heterogeneity, which provides habitat diversity; 2) services provided by the EPS matrix, which provides architecture and stability to the biofilm and acts as a protective barrier; 3) physical and social interactions, which in conjunction determine the survival strategies for the community, such as quorum sensing, gene exchange, EPS production, or coordination of metabolic action; and 4) increased tolerance and/or resistance to survive environmental stress (COSTERTON, STEWART, & GREENBERG, 1999; FLEMMING & others, 2016). The biological and physicochemical characteristics of biofilms (e.g., structure, EPS produc-

tion, and cell biomass) are the result of the environment, the nutritional and physical conditions in which the biofilm develops (NIELSEN, JAHN, & PALMGREN, 1997).

The significance of biofilms in the geological record of life was recognized by NOFFKE (2010). Examples of the manifestation of biofilms in the geological record include microbially induced sedimentary structures (MISS) and stromatolites (ASTAFIEVA, 2013; NOFFKE, 2010). These structures suggest that biofilms have existed throughout the geological record of life (COSTERTON & STOODLEY, 2003; NOFFKE, 2010). Considering that cells within a biofilm can exhibit different phenotypes and change their metabolic activities compared to their planktonic counterparts, it is possible that biofilms induce distinct characteristics (e.g., structures, textures, chemical signatures) in the consolidated rock record. Thus, a better understanding of the biofilm way of life can aid in reconstructing the evolution of prokaryotes throughout Earth history.

## BIOFILM FORMATION AND DEVELOPMENT

Biofilm formation follows a number of progressive steps including initial microbial attachment to a surface, microcolony formation, development of a three-dimensional community structure, maturation, and detachment.

### ATTACHMENT OF MICROORGANISMS

The first step in biofilm formation is microbial attachment, which includes planktonic cells being able to find, interact with, and adhere to a surface. Microbial attachment is influenced by several factors, including the type of substratum (e.g. an inert surface or living tissue), hydrodynamics

of the aqueous medium, physicochemical characteristics of the medium (e.g., pH, nutrient levels, temperature), and properties of the cell surface and cell motility (BOUWER & others, 2000; DONLAN, 2002; PALMER, FLINT, & BROOKS, 2007). Attachment is more likely to occur on surfaces that are rough, hydrophobic, and coated by conditioning films (i.e., surfaces in nature and industry are often at least partially coated by compounds—including polymers—from the liquid medium) (DONLAN, 2002; PALMER, FLINT, & BROOKS, 2007).

### FORMATION OF MICROCOLONIES

With the initial attachment of cells, microbial association to the surface (substratum) begins and—given appropriate growth conditions—becomes suitable for microcolony formation. During this stage of biofilm development, microbial cells undergo growth, which is usually accompanied by the excretion of EPS, resulting in the formation of aggregates or microcolonies. EPS production aids in promoting the irreversible attachment of cells to a substratum (FLEMMING & WINGENDER, 2010). Microbial aggregation also occurs as a result of the interaction of already attached cells and the recruitment of planktonic cells from the surrounding medium (MCLEAN & others, 1997). Initial EPS production can be a response to attachment and environmental conditions such as osmotic pressure, pH, temperature, starvation and likely other factors (FLEMMING & others, 2016).

### FORMATION OF THREE-DIMENSIONAL STRUCTURE AND MATURATION

Given suitable growth conditions, microcolonies develop into an organized structure over time and differentiate into true biofilms. Mature biofilms are typically comprised of multilayered microcolonies encased in EPS and separated by interspersed water channels. The EPS matrix has an active role in microbial attachment to surfaces, acts as a

glue that keeps cells together, and allows for the development of a three-dimensional structure (FLEMMING & WINGENDER, 2010).

### DETACHMENT

As the biofilm matures, detachment or dispersal occurs, which is crucial to the biofilm life cycle. Detachment of microbial cells occurs due to multiple factors including the lack of nutrients, competition, hydrodynamic stresses, among others (STEWART, 1993). The release and dispersion of microbial cells can lead to the formation of new biofilms (STEWART, 1993). Detachment can occur as a rapid, extensive loss of parts of the biofilm known as sloughing, or as continuous loss of single cells (small fractions of the biofilm) known as erosion (BRYERS, 1988; STEWART, 1993). Detachment can influence the competition in biofilms (MORGENROTH & WILDERER, 2000) and the biofilm morphology (PICIOREANU, VAN LOOSDRECHT, & HEIJNEN, 2001). For instance, erosion can result in smoother biofilms, whereas sloughing usually increases the morphological heterogeneity of the biofilm (PICIOREANU, VAN LOOSDRECHT, & HEIJNEN, 2001).

### THE BIOFILM MATRIX

The biofilm matrix is a conglomeration of different extracellular biopolymers in which the biofilm cells are embedded. The microbial extracellular material, known as extracellular polymeric substances or EPS, typically accounts for ~90% of the biofilm, and the rest corresponds to biomass as well as minor components such as particulates, gas bubbles, etc. (FLEMMING & WINGENDER, 2010). EPS are comprised mostly of water (up to ~97%) (ZHANG, BISHOP, & KUPFERLE, 1998) and are usually a mixture of polysaccharides, proteins, lipids, nucleic acids, and other organic compounds (FLEMMING & WINGENDER, 2010; MORE & others, 2014). The EPS composition within a biofilm can vary greatly; it can be strain-dependent but can also be affected by the nutritional and physical conditions in which the biofilm develops (NIELSEN, JAHN, &

PALMGREN, 1997). It has also been suggested that the presence of microenvironments within biofilms may lead to the production of various mixtures of polysaccharides by specific subpopulations (SUTHERLAND, 2001).

The presence of EPS does not seem to be key for the initial attachment of microbial cells to surfaces (GAYLARDE & GAYLARDE, 2005). However, EPS production is essential for the development of the architecture of any biofilm (FLEMMING & WINGENDER, 2010; SUTHERLAND, 2001). EPS production appears to begin after the initial attachment of the microbial cells and the formation of the first microcolonies; production of EPS is often associated with the so-called irreversible attachment of cells (FLEMMING & WINGENDER, 2010).

Although the production of EPS can also occur during planktonic growth (e.g., microbial aggregates) (MORE & others, 2014), EPS provide biofilms with many of their unique physical characteristics. The EPS matrix has different functions in biofilms, including: 1) adhesion, cohesion, and aggregation of microbial cells—the EPS immobilize cells and keep them close allowing for cell-cell communication; 2) architecture and stability of the biofilm—formation of the structural support of the biofilm is a continuous and dynamic process that results in the spatial organization of biofilms; 3) protective barrier for cells and retention of water to prevent desiccation, which increases the tolerance and/or resistance to antimicrobials and other stressors; 4) resource capture (nutrients, organic compounds and inorganic ions) by sorption; 5) enzyme retention, which provides digestive capabilities; 6) exchange of genetic information; 7) function as electron donor or acceptor; 8) export of cell components; 9) sink for excess energy; and 10) binding of enzymes (FLEMMING & WINGENDER, 2010; FLEMMING & others, 2016). For excellent reviews summarizing the possible services the EPS matrix can provide to biofilms, see FLEMMING and WINGENDER, 2010; MORE and others, 2014; and SUTHERLAND, 2001.

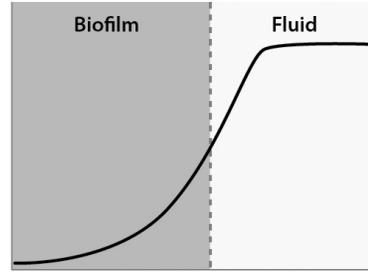
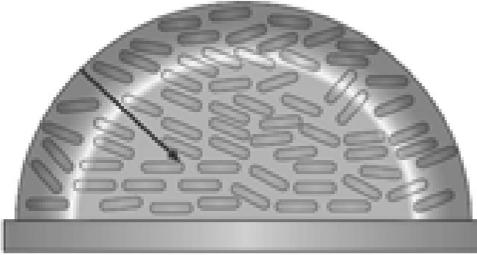
## CHARACTERISTICS OF BIOFILMS HETEROGENEITY

Biofilms are comprised of dense clusters of microbial cells (microcolonies) held together by the EPS matrix with fluid channels formed within the biofilm through which nutrients circulate. This structural organization leads to the formation of numerous microenvironments within the biofilm with different microbial composition, activity, cell density, pH, EPS production, water content, presence of channels, and solute concentrations (STEWART & FRANKLIN, 2008). As a result, biofilms are physically, chemically, and biologically heterogeneous.

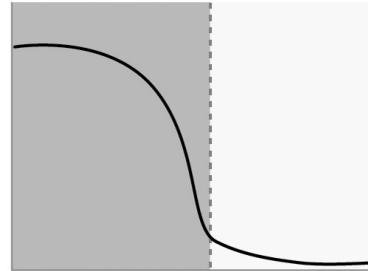
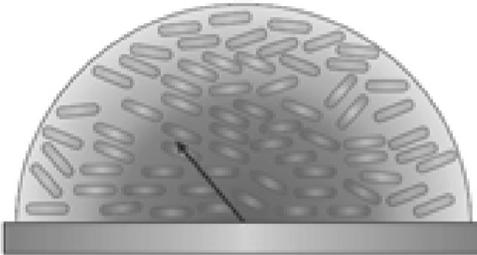
Mature biofilms are characterized by the presence of concentration gradients of metabolic substrates and products, resulting in chemical heterogeneity within the biofilm matrix. Specific patterns of chemical heterogeneity can be observed within biofilms due to reaction-diffusion interactions for metabolic substrates, metabolic products, and metabolic intermediates (STEWART & FRANKLIN, 2008) (Fig. 1). As biofilms grow, the microbial cell density often increases, leading to an increase in the demand of nutrients (metabolic substrate). In general, cells located closest to the substratum are more limited for nutrients, whereas cells closest to the surrounding environment (e.g., farthest from the substratum) have higher availability of nutrients (STEWART & FRANKLIN, 2008). Opposite to nutrients, metabolic products are usually present at higher concentrations inside the biofilm with decreasing concentrations in the outer layers. Metabolic intermediates can be produced and consumed in the biofilms, leading to concentration profiles with maxima somewhere within the biofilm; for instance, in a multi-species biofilm, the waste product of one species can serve as substrate for another species (Fig. 1) (STEWART & FRANKLIN, 2008).

Under well-mixed conditions, planktonic microorganisms show fairly uniform physiological activity, whereas the chemical

## 1 Metabolic substrate



## 2 Metabolic product



## 3 Metabolic intermediate

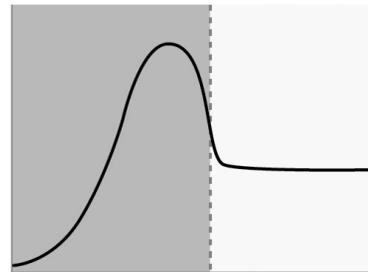
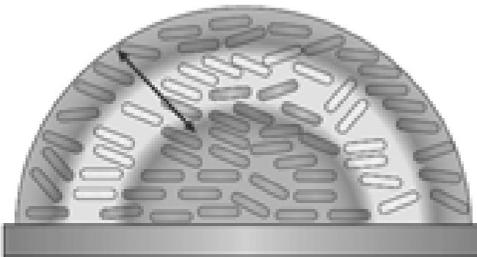


FIG. 1. Chemical heterogeneity in biofilms. Three qualitatively distinct patterns of chemical heterogeneity arise in biofilms owing to reaction-diffusion interactions for a metabolic substrate, *light gray* (1); a metabolic product, *medium gray* (2); and a metabolic intermediate, *darker gray* (3). 1, The concentration of a substrate that is consumed inside the biofilm decreases with depth into the biofilm and distance away from the bulk fluid. 2, Conversely, a metabolic product is more concentrated inside the biofilm. 3, A metabolic intermediate that is both consumed and produced within the biofilm can exhibit concentration profiles that have local maxima (reprinted by permission from Springer Nature Customer Service Center, Nature Reviews Microbiology, Stewart & Franklin, 2008, fig. 2). Color version available in *Treatise Online* 147 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

gradients within biofilms are commonly accompanied by physiological heterogeneity (GU & others, 2013; JENSEN & others, 2017). Due to limitations in metabolic substrates and oxygen (or other electron acceptor) availability, there are usually regions of slow microbial growth and activity within a biofilm. Furthermore, as a response to microenvironments inside a biofilm, microorganisms can modify gene expres-

sion patterns and physiological activities, favor the growth of particular microbial species, and select for fitter strains that can adapt to and survive in particular conditions (STEWART & FRANKLIN, 2008).

As an illustration of the various biogeochemical gradients that can be found in a biofilm, consider a mixed-species microbial mat, which may be viewed as complex biofilms (STOLZ, 2000) growing in (and producing)

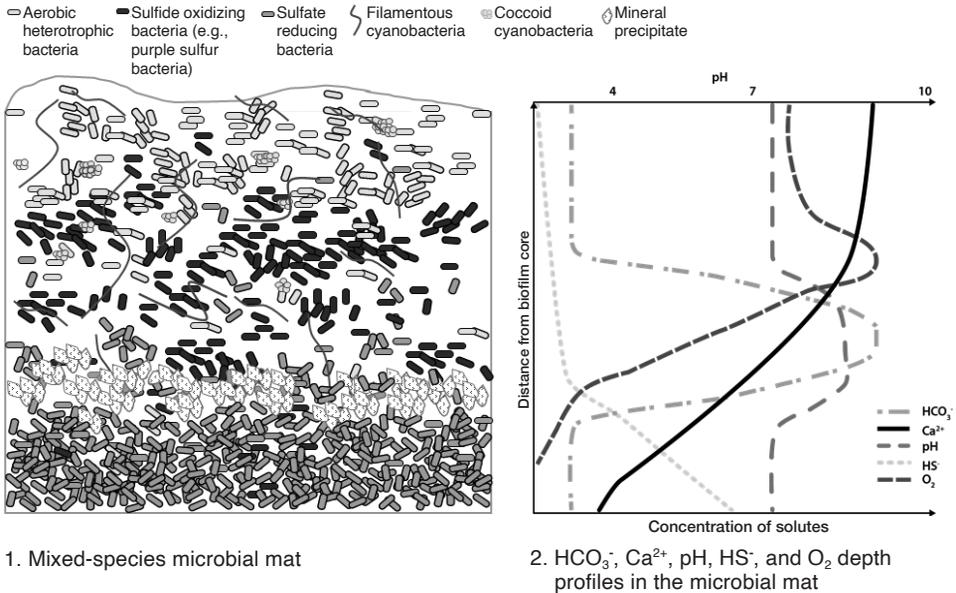


FIG. 2. Microbial diversity in biofilms. 1, Conceptual representation of the microbial diversity observed in a mat similar to the one in the superficial layer of the stromatolite in the Cayo Coco Lagoonal Network described by Pace and others (2018); various groups of microorganisms are distributed within the mat and are located based on their physiological preferences, including photosynthetic microorganisms (filamentous and coccoid cyanobacteria), aerobic heterotrophic bacteria, and sulfate-reducing and sulfide-oxidizing bacteria. 2, Sketch of chemical micro-environments developing within the mat indicated by the HCO<sub>3</sub><sup>-</sup>, Ca<sup>2+</sup>, pH, HS<sup>-</sup>, and O<sub>2</sub> depth profiles. Mineral precipitation is observed in the oxygenic-anoxygenic photosynthetic interface as a result of a pH maximum induced by the microbial activity. (adapted from Pace & others, 2018, fig. 3 and fig. 8). Color version available in *Treatise Online* 147 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

a lithifying stromatolite (Fig. 2) (PACE & others, 2018). Stromatolite growth can be the result of dynamic and successive cycles of sedimentation and microbial lithification in which the metabolism of microbial mats plays a key role (REID & others, 2000). Early studies reported the formation of chemical micro-gradients within microbial mats due to the metabolic activity of various microbial groups (VISSCHER & VAN GEMERDEN, 1993; STAL, GEMERDEN, & KRUMBEIN, 1985; JØRGENSEN, REVSBECH, & COHEN, 1983; JØRGENSEN & REVSBECH, 1983). PACE and others (2018) collected an actively growing microbial mat from a lithifying stromatolite in the hypersaline Cayo Coco Lagoonal Network (Fig. 2.1–2.2). Based on confocal laser scanning microscopy, microbial community analysis, dissolved oxygen (O<sub>2</sub>), sulfide (H<sub>2</sub>S/HS<sup>-</sup>/S<sup>2-</sup>) concentration, and pH profiles, various chemical microenvironments

were observed along a vertical profile in the stromatolite (Fig. 2.2). Microbial activity in the upper layers of the stromatolite is indicated by the O<sub>2</sub> and bicarbonate profiles (Fig. 2.2); and within the first few millimeters from the surface, O<sub>2</sub> concentration peak and bicarbonate concentrations are low due to oxygenic photosynthesis by, most likely, cyanobacteria. Below ~3 mm depth, bicarbonate concentrations increase and O<sub>2</sub> decreases rapidly due to reduced photosynthetic activity and increased net-aerobic respiration creating an oxic-anoxic interface at about 5 mm depth. Sulfide appears below the oxic-anoxic interface.

#### TOLERANCE AND RESISTANCE TO ENVIRONMENTAL STRESS

One of the unique properties of biofilm-grown cells is their enhanced tolerance

and/or resistance to antimicrobials (e.g. disinfectants, toxic compounds, antibiotics) and stresses compared to their planktonic counterparts. FLEMMING and others (2016) described biofilms as fortresses due to the ability of biofilm-grown cells to survive exposure to antimicrobials as well as desiccation. We refer here to resistance as the inherited ability of microorganisms to survive exposure to concentrations of antimicrobials that can be lethal (SHOLAR & PRATT, 2000) and that remains even when cells in the biofilm are dispersed. The term tolerance is described as the ability of the cells to survive transient exposure to compounds or stresses that could be lethal (KESTER & FORTUNE, 2014), a phenomenon that is uniquely observed when cells grow as biofilms (OLSEN, 2015).

Tolerance in biofilms is often attributed to the role of the EPS matrix acting as a protective barrier as well as to the development of regions with low metabolic activity created as a result of the intrinsically heterogeneous nature of biofilms. The EPS matrix acts as a protective barrier by: 1) quenching the activity of antimicrobials that diffuse through the biofilms via diffusion-reaction inhibition (DADDI OUBEKKA & others, 2012); this could involve the binding of the antimicrobials to components of the biofilm matrix or to microbial membranes (CHIANG & others, 2013) as well as degradation of antimicrobials by enzymes contained in the EPS (HØIBY & others, 2010), and 2) acting as a hydrogel that holds water protecting the organisms from desiccation (FLEMMING & WINGENDER, 2010). The intrinsic heterogeneity of the biofilms promotes the creation of zones of low metabolic activity and dormancy, which can decrease the susceptibility of the biofilm to harmful substances and increase the resistance of the biofilm to changing environmental conditions (BROWN, ALLISON, & GILBERT, 1988; STEWART & FRANKLIN, 2008). Cells in these zones of low metabolic activity and dormancy have reduced susceptibility to antimicrobials that depend on the microbial metabolism

for their activities (AMATO & others, 2014). Furthermore, biofilms can contain inactive microbial subpopulations (up to 1%) known as persisters that appear to exhibit unique phenotypic traits that make them more tolerant to antimicrobials (WOOD, KNABEL, & KWAN, 2013).

Microbial diversity within biofilms is a factor that can further increase the tolerance of biofilm-grown cells. Biofilms comprised of multiple species are affected by cross-species interactions, which can influence the development and structure of the microbial species within the biofilms and, in turn, provide an increased tolerance to stresses compared to their single-species biofilms (LEE & others, 2014; MOONS, MICHIELS, & AERTSEN, 2009).

#### DIVISION OF LABOR

Biofilm-grown cells can demonstrate division of labor (ARMBRUSTER & others, 2019; DRAGOŠ & others, 2018; VAN GESTEL, VLAMAKIS, & KOLTER, 2015; VLAMAKIS & others, 2008), which refers to the specialization of subpopulations of cells to perform different tasks within a microbial community. Division of labor appears to be based on three conditions: 1) development of different microbial phenotypes (task allocation); 2) associated microorganisms having a cooperative interaction; and 3) all partners involved in the interactions gaining inclusive fitness benefits (WEST & COOPER, 2016).

An example of division of labor can be found in *Bacillus subtilis* biofilms, which have subpopulations that are genetically similar but are able to perform different specialized activities including motility, matrix production, and sporulation, which in conjunction are key for the successful development of the biofilm (DRAGOŠ & others, 2018; VAN GESTEL, VLAMAKIS, & KOLTER, 2015; VLAMAKIS & others, 2008). In *B. subtilis* biofilms, flagellum-independent migration is achieved by two different cell types: surfactin-producing cells that aid lubricating the substratum and matrix-producing cells, which agglomerate as bundles (van

Gogh bundles) that are able to move away from the colony; these bundles can migrate greater distances compared to what would be possible without the division of labor (VAN GESTEL, VLAMAKIS, & KOLTER, 2015).

## BIOFILMS AS COMPLEX MICROBIAL COMMUNITIES

Biofilms in the environment typically consist of complex microbial communities that host multiple species. Subaerial biofilms, biofilms that grow on solid mineral surfaces exposed to the atmosphere (e.g., rocks, surface of buildings, stromatolites), are perfect examples of complex communities with different cross-species interactions. A diverse community of microorganisms is usually present in subaerial biofilms, including algae, bacteria, fungi, protozoa, and even microscopic animals such as mites and insects (GAYLARDE & GAYLARDE, 2005; GORBUSHINA & PETERSEN, 2000). Interactions among different microbial species in mixed-biofilm communities seem to influence the development, structure, and functions of these communities (MOONS, MICHIELS, & AERTSEN, 2009). Cross-species interactions in mixed biofilms can range from synergistic (cooperative) to antagonistic (competitive) (ELIAS & BANIN, 2012), and they can lead to a number of microbial adaptations by promoting horizontal gene transfer events, cell-cell communication (quorum-sensing abilities) (DAVIES & others, 1998; PARSEK & GREENBERG, 2005), and can induce protein secretion systems resulting in phenotypic changes that can affect the survival, dynamics, spatial distribution, and coexistence of the microbial communities (ELIAS & BANIN, 2012).

Cross-species interactions can influence the development and structure of microbial species within the biofilms, which can provide an increased resistance to stresses compared to their single-species biofilms (LEE & others, 2014; MOONS, MICHIELS, & AERTSEN, 2009). LEE and others (2014) tested the response of mixed-species biofilms, comprised of

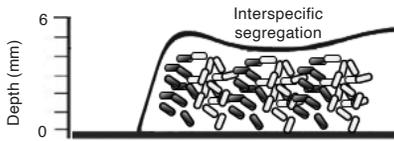
*Pseudomonas aeruginosa*, *Pseudomonas protegens*, and *Klebsella pneumoniae* to their exposure to two antimicrobials—sodium dodecyl sulfate and tobramycin. Compared to single-species biofilms, the mixed-species biofilm was more adept at maximizing and optimizing the use of nutrients to enhance their growth and persistence, which made it more resilient to these antimicrobials. Furthermore, the increased tolerance observed in the mixed-species biofilm was suggested to be a result of a cross protection effect provided by the resistant species to all other members of the microbial community, rather than selecting for the least sensitive species in the biofilm (LEE & others, 2014). The way microorganisms interact within biofilms can indeed influence the spatial organization of the biofilm (see Fig. 3). LIU and others (2016), for instance, described that 1) species exhibiting strong cooperation appear to develop intermixed distributions or layered structures without patchy patterning; 2) in the absence of nutrient or space limitation, species with weak interdependence tend to interspecifically segregate; 3) exploitation by one of the species can result in the formation of layered structures with patchy patterning; and 4) competition appears to lead to an overall decrease in biomass with patchy patterning or interspecific segregation (Fig. 3.1–3.4) (LIU & others, 2016).

Whereas biofilms in the environment can be dominated by a particular species, other secondary species are almost always present. Dominance by one species in a biofilm is determined by: 1) the particular location within the biofilm; 2) the environmental conditions; and 3) the specific stage in the development of the biofilm. In the example of the microbial mat studied from the lithifying stromatolite in the hypersaline Cayo Coco Lagoonal Network, dominance of a particular species varied according to the specific location within the biofilm. The green lamina of the stromatolite (top layer of the biofilm) was dominated by cyanobacteria, whereas deeper layers (mineralized lamina

## 1. Strong interdependence



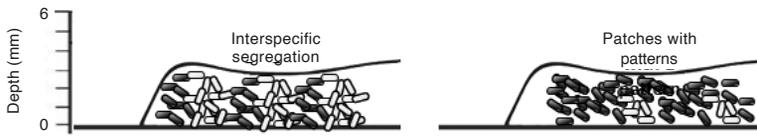
## 2. Weak interdependence



## 3. Exploitation



## 4. Competition



Increased biomass of one or all partners interacting

Decreased biomass of all partners

FIG. 3. Cross-species interactions influence the spatial organization of mixed-species biofilms. 1. Strong interdependence (cooperation) leads to the formation of intermixing or layered structures. 2. Weak interdependence results in interspecific segregation. 3. Exploitation results in layered structures with patches. 4. Competition can lead to species segregation and the formation of patches with patterns. Negative interactions (competition) can result in the overall decrease of biomass (new; based on information in Liu & others, 2016). Color version available in *Treatise Online* 147 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

were dominated by purple sulfur (sulfide-oxidizing) bacteria (PACE & others, 2018) (see Fig. 2.1). The development of freshwater phototrophic biofilms can also be influenced by environmental conditions, such as the presence of light (ROESELERS, VAN LOOSDRECHT, & MUYZER, 2007). For instance, under high light conditions, initial colonizers can predominantly consist of green algae, whereas under low light intensities, heterotrophic bacteria tend to colonize. Moreover, over time, as the biofilm matures, filamentous cyanobacteria can become predominant in these phototrophic

biofilms (ROESELERS, VAN LOOSDRECHT, & MUYZER, 2007).

## BIOFILMS AND MINERAL PRECIPITATION

Microbially induced precipitation of minerals (biomineralization) is a relevant process in various biological, geological, medical, and engineered systems (PHILLIPS & others, 2013). Of importance for the study of the evolution of prokaryotes throughout Earth history, is the understanding of carbonate biomineralization. The formation of carbonate sediments in

different environments (e.g., marine reefs, fluviatile tufas, hot springs, travertines, etc.) seems to be influenced by microbial mineralization.

Various microbial metabolic processes, including photosynthesis, sulfate reduction, urea hydrolysis, ammonification, denitrification, and methane oxidation, affect the solution chemistry of the surrounding environment (e.g., increase carbonate alkalinity, pH values, or dissolved inorganic carbon), which in turn can induce carbonate or other mineral precipitation (DUPRAZ & others, 2009; ZHU & DITTRICH, 2016).

Biomineralization is a common event in microbial mats or biofilms (BRAISSANT & others, 2003; HANDLEY & others, 2008; SHIRAISHI & others, 2008). Chemical heterogeneity in biofilms can lead to the formation of microenvironments that create gradients of alkalinity and/or supersaturation, which can facilitate mineral precipitation within the biofilm. Furthermore, the presence of EPS in biofilms can influence the biomineralization process by providing nucleation sites for mineral precipitation, regulating the patterns of mineralization and the types of minerals produced (BRAISSANT & others, 2003; DECHO, 2010). Certain functional groups in the EPS can inhibit carbonate precipitation: negatively charged groups can bind with mineral ions such as  $\text{Ca}^{2+}$  and  $\text{Mg}^{2+}$ , thus, a high binding capacity of the EPS can potentially inhibit carbonate precipitation (FLEMMING, 1995). Release of cations from the EPS can occur due to EPS degradation or after release from the binding sites through an external trigger (e.g., change in ionic strength, salinity), which can lead to carbonate and other mineral precipitation (DECHO, 2010; DUPRAZ & others, 2009).

As an illustration of the various metabolic processes that can promote mineral precipitation, consider again the example of the stromatolite in the Cayo Coco Lagoonal Network described by PACE and others (2018) (see Fig. 2). As mentioned earlier, stromatolites result from successive cycles

of microbial mineralization triggered by the metabolism of biofilm forming microbiota. PACE and others (2018) suggested that mat formation starts with the development of biofilms comprised of coccoid and filamentous cyanobacteria-fixing  $\text{CO}_2$ , leading to the formation of biomass and the production of  $\text{O}_2$  through oxygenic photosynthesis. Oxygenic photosynthesis also consumes  $\text{CO}_2$  and increases the pH, which can result in the precipitation of (calcium) carbonates. In the top layer of the microbial mat, aerobic heterotrophs consume  $\text{O}_2$ ; in the anoxic depths, sulfate-reducing bacteria produce  $\text{HS}^-$  from sulfate. Sulfate reduction can increase carbonate alkalinity (in the form of bicarbonate,  $\text{HCO}_3^-$ ). In an intermediate zone, both sulfide and  $\text{O}_2$  are present. Purple sulfur (sulfide-oxidizing) bacteria are involved in recycling the sulfide back to sulfate, and other microbes are involved in this process as well. The microbial activity of cyanobacteria, sulfate-reducing and sulfide-oxidizing bacteria creates a daytime pH maximum, which promotes the precipitation of magnesium calcite from dissolved ions in the lagoon. Mineral precipitates are mostly located at the oxygenic-anoxygenic photosynthetic interface. Figure 2.2 shows the different chemical profiles in the microbial that can be created due to the different microbial activities. The repetition of these series of physicochemical and biological steps along with the upward growth of the biofilm led to the formation of stromatolites in the studied lagoon (PACE & others, 2018).

PACE and colleagues suggested a role of the EPS in the different mineralization steps, hypothesizing that cyanobacterial EPS acts as a binding agent for calcium, thus inhibiting carbonate precipitation in the green lamina of the stromatolite (upper layer of the mat). EPS in the oxic-anoxic zone appears to have a decreased cation-binding capacity, which would make  $\text{Ca}^{2+}$  more available for carbonate precipitation or indicate that the EPS in these layers is saturated with multivalent cations.

## SUMMARY

Most microorganisms persist associated with surfaces in the natural environment, most likely in the form of biofilms. Biofilms are complex microbial communities attached to surfaces and embedded in a matrix of extracellular polymeric substances (EPS). The presence of EPS provides architecture, stability, and protection to the microbial communities within the biofilm. Furthermore, these microbial communities typically contain multiple species that interact with each other and with the environment.

Due to the spatial arrangement of the microbial communities, biofilms develop microenvironments, which result in highly physically, chemically, and biologically heterogeneous arrangements. Biofilm-grown cells can exhibit different phenotypes and

change their metabolic activities compared to their planktonic counterparts.

Considering that biofilm-grown cells exhibit characteristics distinct from their corresponding planktonic communities, it is possible that biofilms produce specific marks in the consolidated rock record. Thus, a better understanding of the biofilm way of life can aid in the reconstruction of the evolution of prokaryotes throughout Earth history.

## ACKNOWLEDGEMENTS

This work was supported by the Montana University System Research Initiative (51040-MUSRI2015-03), a grant from Montana NASA EPSCoR Research Infrastructure Development, and by the National Science Foundation under Grant #1736255 (BuG ReMeDEE).

# MICROBIAL MATS

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## INTRODUCTION

Microbial mats are laminated organosedimentary structures that develop as benthic biofilms in a wide range of aquatic environments (Fig. 4). They are robust, complex ecosystems, primarily comprised of bacteria and archaea, semi-isolated from their immediate environment by a self-constructed matrix of extracellular polymer substances (EPS) (NICHOLSON, STOLZ, & PIERSON, 1987). Understanding present-day microbial mat development and preservation is valuable for interpreting signs of life through geologic time. Microbial mats stabilize sediments, precipitate minerals, and typically include remnants of organisms, making them important to the paleontology field. Several processes, such as binding and trapping and *in situ* precipitation of minerals, can lead to complete lithification of microbial mats, forming microbialites,

Microbial mat populations exhibit large metabolic diversities, driven by the availability of various electron donors and acceptors and mediated by environmental controls. Their diverse biochemical pathways convey an extraordinary capacity to fill every available niche. Because the microbial rates utilizing metabolites are higher than the limited diffusion rate afforded by the polymer matrix, elements are recycled rapidly (DES MARAIS, 2003), and nutrients are contained within the mat.

The difference between microbial mats and biofilms is somewhat indistinct. Although some biofilms are referred to as microbial mats, they do not fit the definition of mats as described here. Microbial mats, while often considered a type of biofilm, are generally thicker, more developed and

complex; they host a more diverse microbial community yet exhibit a relatively high degree of structure and permanence, whereas the simplest biofilm could consist of a single bacterial species colonizing any surface, even temporarily (DECHO & GUTIERREZ, 2017; FLEMMING & WINGENDER, 2010). The focus of this review is on photosynthetic microbial mats, their composition, diversity, geochemistry, preservation, and significance for paleontological studies.

## MICROBIAL MAT DISTRIBUTION

Photosynthetic microbial mats develop in a wide variety of environmental conditions and settings. Modern mat environments include hypersaline lagoons, hot springs, alkaline lakes, open marine, shallow intertidal sediments, salt marshes, and mine tailings (SECKBACH & OREN 2010) (Fig. 5). Coherent microbial biofilms also exist in aphotic coastal marine environments (GALLARDO, 1977; SCHULZ & others, 1999), permanently dark environments such as caves (SUMMERS ENGEL & others, 2004), and deep-sea methane seeps (PAUL & others, 2017) and vents (JØRGENSEN & BOETTUS, 2007; CRÉPEAU & others, 2011).

A wide range of physicochemical conditions support development of microbial mats. Prior to the evolution of multicellular life, microbial mats developed in the photic zone of shallow aquatic environments (STAL, VAN GEMERDEN, & KRUMBEIN, 1985; DUPRAZ, REID, & VISSCHER, 2011; KNOLL, 2016), such as hot springs, streams, rivers, and lakes and in the marine environment (WALTER, 1976; GROTZINGER & KNOLL, 1999; NISBET & FOWLER, 1999;

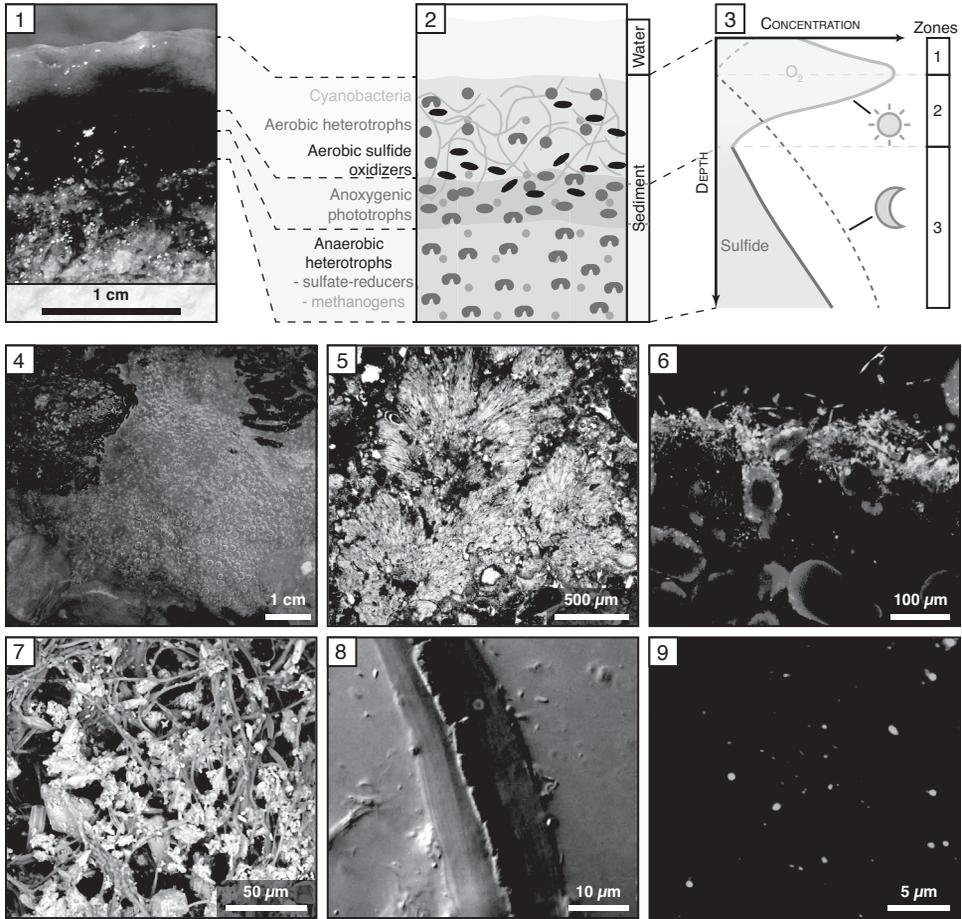


FIG. 4. Typical photosynthetic microbial mat characteristics. 1–3, Properties of marine photosynthetic mats shown in cross section. 1, Hand sample of a typical mat, showing exopolymeric substances near the surface. Top surface layer is dominated by cyanobacteria, the red (in color version) layer underneath by anoxygenic purple sulfur bacteria, and the deeper dark-colored sediment at the bottom is the anoxic part of the mat. 2, Schematic representation showing the depth distribution of the major guilds of mat microbes. These are the key groups involved in element cycling. Note the presence of both sulfate-reducing bacteria and methanogenic bacteria in the oxic surface layer of the mat. 3, Typical daytime (solid lines) and nighttime (dashed lines) depth profiles of oxygen (green in color version) and sulfide (purple in color version). Zones indicated on the right. Zone 1: Permanently oxic during a light-dark cycle; Zone 2: Oxic during the day and anoxic (and sulfidic) during the night; Zone 3: Continuously anoxic. The bottom and top of Zone 2 are defined by the oxic-anoxic ( $O_2/HS^-$ ) interface during the day and night, respectively (new). 4–9, Various imaging techniques used to study microbial mats and microbialites. 4, Top view of a submerged lithifying microbial mat, showing oxygen bubbles at the surface; Great Salt Lake, Utah, USA. 5, Polarized light petrographic thin section of a fossil microbialite (~14,500–12,500 cal  $^{14}C$  BP) showing bundles of filamentous cyanobacteria (*Gloetrichia/Rivularia*-like nitrogen fixing cyanobacteria); pluvial Lake Bonneville; Stansbury Terraces, Oquirrh Mountains, Utah, USA. 6, Confocal scanning laser microscopic image of a surface mat from a modern marine stromatolite (Highborne Cay, Bahamas) showing autofluorescent cyanobacteria (red; note the endoliths in the lower left of the image), sulfate-reducing bacteria, SRB385 probe (green),  $CaCO_3$  ooids (blue) (image courtesy of Alan W. Decho, University of South Carolina, USA, see color image in *Treatise Online* 163). 7, Scanning electron microscope image of filamentous cyanobacteria precipitating carbonate minerals; Green Lakes, NY, USA. 8, Filamentous cyanobacterium *Oscillatoria* sp., surrounded by sheath of exopolymer (parallel to the filament) using Nomarski light microscopy; Cabo Rojo, Puerto Rico. 9, Fluorescence microscopic image of viruses (small dots) and coccoid cyanobacteria (larger dots), *Synechococcus* spp. from a lithifying microbial mat; Green Lake, New York, USA. All images new. Color images available in *Treatise Online* 163 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

TICE & LOWE, 2004; DUPRAZ & others, 2009; DJOKIC & others, 2017). The first microbial mats in the early Archean were most likely built by anoxygenic phototrophs (GUTIÉRREZ-PRECIADO & others, 2018; VISSCHER & others, 2020). Oxygenic photosynthesis that evolved later, likely in microbial mats, resulted in the Great Oxidation Event (GOE) and allowed the development of multicellular life. Ironically, although the GOE created an opportunity for microbial life to develop and diversify beyond benthic biofilms (KNOLL, 2016), it ended hundreds of million years of mats' dominance on Earth. Newly evolved multicellular life forms using aerobic respiration outcompeted mats for resources, such as space and nutrients. This and the burrowing activity and predation of animals (WALTER & HEYS 1985; KNOLL 2016; BOSAK, KNOLL, & PETROFF, 2013) forced mats to extreme environments (SECKBACH & OREN, 2010; DUPRAZ, REID, & VISSCHER, 2011). The physicochemical and geochemical conditions that define environments where mats develop today include:

1) *salinity*—0 to >300 ppt (DES MARAIS, 1995; ROCHE & others, 2019; VISSCHER & others, 2010; PREISNER, FICHOT, & NORMAN, 2016; PERILLO & others, 2019);

2) *temperature*—from below zero (DE LOS RÍOS & others, 2015; PEETERS & others, 2012; SUMNER & others, 2015) to  $-72$ – $-73^{\circ}\text{C}$ , possibly short term up to  $75^{\circ}\text{C}$  (MEEKS & CASTENHOLZ, 1971; VAN DER MEER & others, 2005; BEAM & others, 2016; BENNETT, MURUGAPIRAN, & HAMILTON, 2020);

3) *specific chemical composition of the water and sediments*—iron, arsenic, zinc, copper, mercury, tungsten, sulfide (PIERSON, PARENTEAU, & GRIFFIN, 1999; HÄRTIG & PLANER-FRIEDERICH, 2012; MÉNDEZ-GARCÍA & others, 2014; VISSCHER & VAN GEMERDEN, 1993; BEAM & others, 2016; FERNÁNDEZ & others, 2016; VISSCHER & others 2020);

4) *hydrodynamics*—quiescent pools to rapidly flowing rivers (CUADRADO, PERILLO, & VITALE, 2014; ROCHE & others, 2019);

5) *pH*—pH <1 to >9 (VISSCHER & others, 2010; HÄRTIG & PLANER-FRIEDERICH, 2012;

MÉNDEZ-GARCÍA & others, 2014; BERNSTEIN & others, 2013; PRIETO-BARAJAS, VALENCIA-CANTERO, & SANTOYO, 2017;

6) *desiccation* (POTTS, 1999; NOFFKE, 2008; DUPRAZ, REID, & VISSCHER, 2011; PERILLO & others, 2019);

7) *light regime*—intensity of photosynthetically active radiation, <1 to  $>2000 \mu\text{E}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$  (JØRGENSEN, COHEN, & DES MARAIS, 1987; VISSCHER & others, 1998; FERNÁNDEZ & others, 2016);

8) *UV radiation*—<1 to  $64 \text{W}\cdot\text{m}^{-2}$  (FARIAS & others 2017; VISSCHER & others, 2020);

9) *nutrient availability*—eutrophic to oligotrophic (PAERL, JOYE, & FITZPATRICK, 1993; PINCKNEY, PAERL & FITZPATRICK, 1995; REJMANKOVA & KOMÁRKOVÁ, 2000; SMITH & others, 2010; VARIN & others, 2011; KOHLER & others, 2016).

In summary, contemporary microbial mats have adapted to exist in many diverse environments where they often cope with multiple extremes such as alkaline and hypersaline conditions, elevated heavy metals concentrations and low pH, high UV radiation, arsenic and sulfide concentrations, and permanent anoxia. They have been successful in adapting to environments inhospitable to most multicellular life in many different geographic settings, thriving in early Earth environments and changing over time for billions of years.

#### THE ROLE OF SUBSTRATE IN MAT DEVELOPMENT

The development of microbial mats is influenced by physical and chemical properties of the substrate, which has major implications for their preservation (ROCHE & others, 2019). Some physical properties that affect mat development are grain size and sediment stability. For example, filamentous cyanobacteria form mats faster on the fine fraction (<125  $\mu\text{m}$ ) than on larger particle sizes (ROZENSTEIN & others, 2014). The absence of microbialites developing on mud, silt, or sand in streambeds of rivers is due to a low lithification potential of microbial mats in these conditions (ROCHE & others, 2019). Harder and more extensive substrates

result in more stable microbialites (MOORE & BURNE, 1994). Increasing roughness of the substrate enhances the growth rate of microbial mats and microbialites (TUCHMAN & STEVENSON, 1980). Turbulence and flow velocity over the microbialite surface affect the colonization of grazers, such as ciliates (PRIMC-HABDIJA, HABDIJA, & PLENKOVIC-MORAJ, 2001). In addition, the chemical composition of the substrate may affect the colonization by microbial communities, the ability to mineralize, and preservation potential (GRADZINSKI, 2010; CHAFETZ, RUSH, & UTECH, 1991; PARSIEGLA & KATZ, 2000). For example, microbial deposits grow faster on a limestone substrate than on elemental copper, due to the toxicity of the metal (PARSIEGLA & KATZ, 2000). However, the effects of physical (roughness) and chemical properties of the substrate on mat adherence are often difficult to separate (DIAZ & others, 2007).

## BIOGEOCHEMISTRY

Chemical and physical environmental conditions determine the initial composition of the microbial community (BAAS BECKING, 1934). The metabolisms of this pioneer microbial community in turn change the microenvironmental conditions. This creates a feedback that likely affects the community metabolism and/or composition, possibly leading to a predictable oscillation (DUPRAZ & others, 2009). In a photosynthetic microbial mat lacking major disturbances, this results in a community adapted to extreme diel fluctuations (between daylight and nighttime conditions) of oxygen, sulfide, and pH (see Fig. 4.3).

### THE MICROBIAL MAT COMMUNITY

Within microbial mats, biogeochemical processes can be described by a small number of functional groups or guilds, including oxygenic and anoxygenic phototrophs, aerobic chemoorganoheterotrophs, chemolithoautotrophic sulfur oxidizers, fermenters, and anaerobic chemoorgano-

heterotrophs (notably sulfate reducers and methanogens; see Fig. 4) (VAN GEMERDEN 1993; WARD & others, 1998; DECKER & others 2005; TAFFS & others, 2009; PACE & others, 2018). Their actual diversity is extremely high, exceeding 4,000 operational taxonomic units (OTUs) (LEY & others, 2006; BAUMGARTNER, DUPRAZ, & others, 2009; BAUMGARTNER, SPEAR, & others, 2009; ARMITAGE & others, 2012; BOLHUIS, CRETOIU, & STAL, 2014; WONG, AHMED-COX, & BURNS, 2016). Interactions between mat-inhabiting organisms have been described in detail in several laboratory studies (e.g., DE WIT & VAN GEMERDEN, 1987; VISSCHER & others, 1992; CAUMETTE, 1993; VAN DEN ENDE, LAVERMAN, & VAN GEMERDEN, 1996; MASSÉ, PRINGAULT, & DE WIT, 2002; MÜLLER & OVERMANN, 2011), and the effect of their combined metabolic activities on geochemistry of the mat has been measured *in situ* (e.g., REVSBECH & others, 1983; VISSCHER, TAYLOR, & KIENE, 1995; VISSCHER & others, 1998, 2003, 2010, 2020; FERRIS & others, 1997; JONKERS & others, 2003; WIELAND & others, 2005, PACE & others, 2018; MAEGAARD, NIELSEN, & REVSBECH, 2017). Field measurements using microelectrodes demonstrate the dynamic nature of photosynthetic mats (JØRGENSEN, COHEN, & REVSBECH, 1986; DE WIT & others, 1989; KÜHL, LASSEN, & JØRGENSEN 1994; VISSCHER & VAN GEMERDEN, 1993), and oxygen and sulfide concentrations and depth distribution fluctuate vastly over a diel cycle (see Fig. 4.3).

### EFFECT OF LIGHT REGIME AND PHOTOTROPHY

Layering within the microbial mat is a consequence of the changes in available light with depth (JØRGENSEN, COHEN, & DES MARAIS, 1987). Light of longer wavelengths penetrates deeper into the sediment, with near infrared penetrating the deepest, typically >1 cm (KÜHL & FENCHEL, 2000). This differential irradiance penetration accommodates a discrete vertical distribution of oxygenic and anoxygenic photosynthetic

organisms with light harvesting pigments that absorb different parts of the light spectrum. For example, cyanobacteria near the surface are oxygenic phototrophs with chlorophylls, phycobilins, carotenoids, xanthophyll pigments absorbing light between ~500 nm and 700 nm. Some cyanobacteria in microbial mats contain chlorophyll *d* and *f*, absorbing light in the far-red spectrum and are able to survive in environments with little photosynthetically active radiation (OHKUBO & MIYASHITA, 2017). Green and purple (non)sulfur bacteria are anoxygenic phototrophs constrained to deeper layers and use bacteriochlorophyll pigments (green bacteria: ~680–820 nm; purple bacteria: 820–150 nm) and carotenoids (absorbing around 500–600 nm) (VAN GEMERDEN & MAS, 1995). Some anoxygenic phototrophs contain bacteriochlorophyll *b*, which can absorb infrared light of 1050 nm. The extracellular polymer substances (EPS) themselves typically do not absorb visible wavelengths and even promote the downward (i.e., forward) scattering of light into a mat, which is the so-called biofilm gel effect (DECHO & others, 2003).

Oxygenic photosynthesis occurs in the upper layers and is accompanied by a strong peak of oxygen production during daylight (Fig. 4.1–4.3). It uses two photosystems and electrons from water. In contrast, anoxygenic photosynthesis generally occurs deeper in the mat. It depends on one photosystem and utilizes other electron donors, typically sulfide and other reduced sulfur compounds, although  $H_2$ ,  $Fe(II)$ ,  $NO_2^-$  or  $As(III)$  may also be used to supply electrons for photosynthesis (HAMILTON, 2019). Because water is not the electron donor, oxygen is not produced.

Oxygenic and anoxygenic phototrophs, as well as chemolithoautotrophs (e.g., sulfide oxidizing bacteria), are the primary producers of the microbial mat ecosystem, fixing  $CO_2$  carbon and producing biomass. In most contemporary mats, benthic cyanobacteria are the dominant phototrophs. Cyanobacteria in microbial mats can photo-

synthesize under extremely low light intensities, as low as  $1 \mu E \cdot m^{-2} \cdot s^{-1}$  (JØRGENSEN, COHEN, & DES MARAIS, 1987). Consequently, the peak of photosynthetic activity during the early afternoon is not at the surface, but just beneath it, typically at 1–2 mm depth (VAN GEMERDEN, 1993; DUPRAZ, REID, & VISSCHER, 2011). Microbial filaments, typically cyanobacterial, weave together to form a coherent organic sediment, for which the designation mat is given. Biomass produced during autotrophy fuels a community of heterotrophic organisms that recycle the organic carbon (cellular material, EPS, and exudates of photorespiration like glycolate; FRÜND & COHEN, 1992; BATESON & WARD, 1988) to yield metabolic energy and, to a lesser extent, provide building blocks for biomass. In marine and hypersaline microbial mats, the two major pathways of organic carbon oxidation are aerobic respiration and sulfate reduction ( $O_2$  and  $SO_4^{2-}$  respectively, as electron acceptors; FRÜND & COHEN, 1992; VISSCHER & others, 1998; PACE & others, 2018; WONG & others, 2018). Sulfate reduction (discussed in detail later) yields hydrogen sulfide as a metabolic product, which is used by anoxyphototrophs and colorless sulfide-oxidizing bacteria. Depth profiles of oxygen and sulfide concentrations fluctuate over a diel cycle (Fig. 4) (DE WIT & VAN GEMERDEN, 1987; DUPRAZ & VISSCHER, 2005) as oxygen is only produced during daylight, and sulfide production continues in the dark (provided there is sufficient electron donor, e.g., organic carbon or  $H_2$ ). The deeper mat layers are permanently anoxic, an intermediate depth zone is oxic during the day and anoxic during the night, and a thin surface layer is mostly oxic due to  $O_2$  diffusion from the overlying water or atmosphere and, in daylight, from production by oxygenic photosynthetic organisms below (DE WIT & others, 1989; VISSCHER, BEUKEMA, & VAN GEMERDEN, 1991, VISSCHER & others, 1998). The vertical compartmentalization of the different types of phototrophy results in different availabilities of

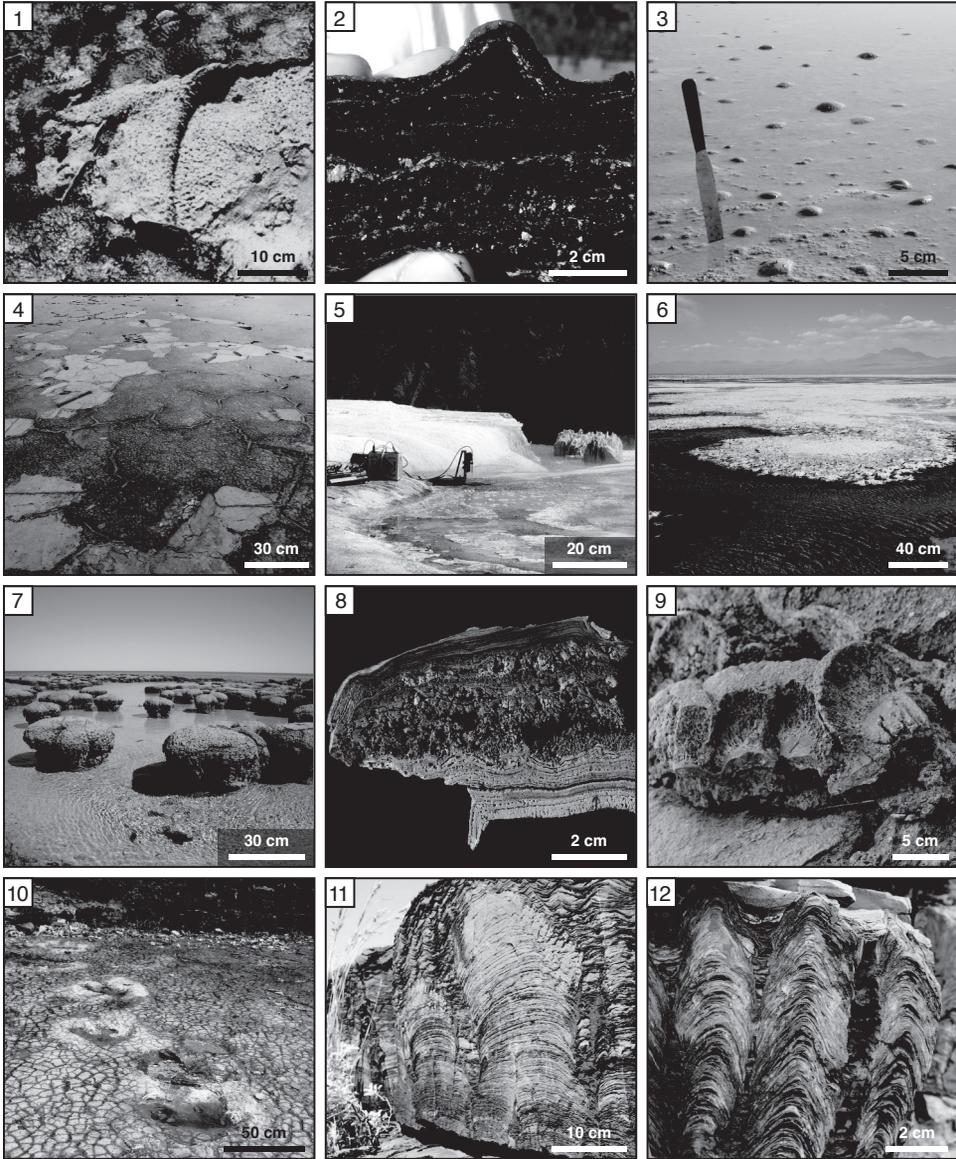


FIG. 5. Examples of contemporary (living) microbial mats (1–6), surface mats associated with microbialite structures (7–8), and recent and fossil microbialites of various ages (9–12) in diverse habitats. 1, Top view of a temperate intertidal microbial mat showing the green cyanobacterial (*Microcoleus* sp.) surface with trapped sand and purple sulfur bacteria (*Thiocapsa* sp.) underneath; Orkney Islands, Scotland, UK. 2, Cross section of a hypersaline, gelatinous mat with trapping and binding and *in situ* precipitation of carbonate minerals; Big Pond, Eleuthera, Bahamas. 3, Microbial mat surface showing gas bubbles, in this particular case of trapped methane. The mat is lifting, showing the filamentous nature of the mats. Trapped sediment and extracellular polymer substances provide a gas-tight seal. This mat undergoes frequent salinity fluctuations from 35 ppt to 350 ppt; Cabo Rojo, Puerto Rico. 4, Hypersaline mat that experiences wetting-drying (desiccation) cycles. During extensive dry periods, polygonal cracks form that often fill with expolymeric substances. Recolonization and regrowth following wetting takes place within hours to days; James Pond, Eleuthera, Bahamas. 5, Mats associated with a hydrothermal spring (72°C, the maximum temperature supporting photosynthesis) near a travertine platform; Mammoth Hot Spring, Montana, USA; image taken during microelectrode measurements. 6, Permanently anoxic high-altitude microbial mats, (continued on facing page)



FIG. 6. Global distribution of microbial mats and microbialites. Both living and fossil photosynthetic microbial mats and microbialites are present in terrestrial and marine settings across the planet within the shallow aquatic environments (including hot springs, lakes, rivers, the intertidal and subtidal zone of coastal marine environments). This is not meant to be a complete survey but merely to show the extensive geographic dispersal pattern of mats and microbialites.

electron donor, primarily low-molecular weight carbon and  $H_2$ , and electron acceptors. This generates characteristic steep geochemical gradients of  $O_2$ , sulfide, and pH that fluctuate depending on the available light (Fig. 4).

#### ELEMENT CYCLING

Microbial mats are among the most productive ecosystems on Earth, with extremely high rates of element cycling (JØRGENSEN, 2001), especially the major elements—carbon (C), oxygen (O), and sulfur (S) (CANFIELD & DES MARAIS, 1993) and, depending on the environment, nitrogen (N), arsenic (As), iron (Fe), and hydrogen (H) (EMERSON & REVSBECH, 1994; JOYE & PAERL, 1994; VISSCHER & others,

1998, 2020; DESNUES & others, 2007). The carbon cycle in microbial mats is coupled to other element cycles, such as O, S, N, Fe, and As (Fig. 7.1–7.3). Reduction of  $CO_2$  is coupled to phototrophic oxidation of  $H_2O$ ,  $HS^-$ ,  $NO_2^-$ ,  $Fe^{2+}$ , or  $As^{3+}$ . In turn, oxidation of organic carbon, including methane, is coupled to reduction of  $O_2$ ,  $SO_4^{2-}$ ,  $NO_3^-$  (producing mainly  $N_2$ ),  $Fe^{3+}$  or  $As^{5+}$ . In most modern marine mats, sulfate is abundant relative to other electron acceptors, and respiratory processes rely mainly on  $O_2$  and  $SO_4^{2-}$  as electron acceptors (Fig. 7.1) (VAN GEMERDEN, 1993). In non-marine environments, other available electron acceptors may be used preferentially. Iron cycling (Fig. 7.3) is abundant in some hot-spring mats (PIERSON, PARENTEAU, & GRIFFIN, 1999)

(continued from facing page) comprising purple-sulfur bacteria (anoxygenic phototroph *Ectothiorhodospira* sp.), cycling sulfur and arsenic; La Brava, Atacama, Chile. 7, Intertidal living stromatolites; Hamelin Pool, Shark Bay, Australia. 8, Cross section of a living hypersaline microbialite; Great Salt Lake, Utah, USA. The surface fabric is laminated (stromatolitic) with a clotted (thrombolitic) fabric underneath. 9, Fossil microbial crust capping Cambrian rocks, (30,000–28,000 cal  $^{14}C$  BP); Buffalo Terrace, Lake Bonneville, Utah, USA. The fabric of the inner part is laminated while the outer part depicts a clotted mesofabric. The microbialite displays a cauliflower-like macrofabric. 10, Fossilized microbial mat showing mud cracks and dinosaur tracks, Late Jurassic; Loulle section, France. 11, Outcrop showing fossil stromatolites (2.72 Ga), Meentheena Member, Tumbiana Formation, Australia; 12, Fossil egg carton-like stromatolites; Meentheena Member, Tumbiana Formation, Australia. All images new, contributed by authors. Images 11 and 12, courtesy of Dr. David Flannery, Queensland Institute of Technology, Australia. Color images available in *Treatise Online* 163 (paleo.ku.edu/treatiseonline).

and seeps (EMERSON & REVSBECH, 1994). Denitrification contributes to respiration in some mne and hypersaline mats (JOYE & PAERL, 1994; BOUTON & others, 2020), and arsenic cycling (Fig. 7.3) occurs in mats surrounding alkaline lakes (HOEFT & others, 2010; VISSCHER & others, 2020).

The distribution of aerobic and anaerobic chemoorganoheterotrophs depends on availability of reactants for their redox reactions. Both types of heterotrophs prefer to be in close proximity to cyanobacteria, which are typically the main carbon-fixing organisms in the mat. There they compete for organic carbon and hydrogen electron donors. Fermentation and other partial degradation pathways of complex carbon molecules support methanogens, acetogens, and sulfate reducers (MEGONIGAL, HINES, & VISSCHER, 2003). A common misconception is that methanogens and sulfate reducers are confined to deeper anoxic layers. In fact, they frequently display maximum metabolic rates in the oxic zone of the mat (CANFIELD & DES MARAIS, 1991; FRÜND & COHEN, 1992; VISSCHER, PRINS, & VAN GEMERDEN, 1992; HOEHLER, BEBOUT, & DES MARAIS, 2001; BUCKLEY, BAUMGARTNER, & VISSCHER, 2008). These anaerobes require physiological adaptations or formation of consortia to survive in the presence of oxygen. For example, sulfate-reducing bacteria (SRB) form microcolonies (POSTGATE, 1979; PETRISOR & others, 2014), the center of which could be anoxic; or they form consortia with sulfide-oxidizers that remove the toxic oxygen by reaction with sulfide (DECHO, NORMAN, & VISSCHER, 2010). Alternatively, some SRB can actually use oxygen or nitrate (DILLING & CYPIONKA, 1990; SIGALEVICH & others 2000; BAUMGARTNER & others, 2006) or disproportionate intermediate sulfur compounds independent of O<sub>2</sub>-sensitive enzymes (BAK & PFENNIG, 1987). SRB can also survive by forming spores (CYPIONKA, WIDDEL, & PFENNIG, 1985). Sulfate reducers and methanogens are at an energetic disadvantage compared to aerobes but can compete where organic carbon is in

abundance (near cyanobacteria) and have an advantage at night when anoxic conditions prevail.

Chemolithoautotrophy is another metabolic strategy important to element cycling, notably through organic carbon production, and is common in microbial mats. It is supported by high concentrations of reduced sulfur compounds (thiosulfate, polysulfides, polythionates, zero-valent sulfur, etc.; STEUDEL & others, 1990; VISSCHER & VAN GEMERDEN, 1993; FINDLAY, 2016) and to some extent by H<sub>2</sub>, NH<sub>4</sub><sup>+</sup>, and Fe<sup>2+</sup> (VISSCHER & STOLZ, 2005; FAN, BOLHUIS, & STAL, 2015; CHAN & others, 2016). Sulfide oxidizing bacteria perform chemolithotrophic sulfide oxidation at the interface of O<sub>2</sub> and S<sup>2-</sup>, but they can also use a range of intermediate sulfur compounds, including organosulfur compounds with oxygen and alternatively nitrate as electron acceptor (KELLY, 1982; VISSCHER & VAN GEMERDEN, 1993; VAN DEN ENDE & VAN GEMERDEN, 1993). Some purple sulfur bacteria can grow as chemolithotrophic sulfur oxidizers (DE WIT & VAN GEMERDEN, 1987), thereby enhancing their competitive position in the mat ecosystem and even outcompete colorless sulfur bacteria (VISSCHER & others, 1992). H<sub>2</sub> is sometimes produced to release excess reducing equivalents (BURROW, CHAPLEN, & ELY, 2011), especially under anoxic conditions. Most of this is rapidly scavenged by sulfate reducers (NIELSEN, REVSBECH, & KÜHL, 2015), but methanogens and acetogens can also use H<sub>2</sub> (MEGONIGAL, HINES, & VISSCHER, 2003). Both hydrogen- and sulfur-oxidizing chemolithotrophs are generally autotrophs and, thus, can contribute to the primary production in the mats.

Other sulfur compounds have a role in microbial mats and are important in global sulfur cycling and climate regulation. Dimethylsulfoniopropionate (DMSP) is a non-competitive solute that also acts among others as an osmolyte and cryoprotectant. DMSP is present in some cyanobacteria and is the precursor to dimethyl sulfide (DMS)

in microbial mats (Fig. 7.1) (VISSCHER & VAN GEMERDEN, 1991; VOGT & others, 1998). DMS is further consumed by aerobic heterotrophs (methylotrophs), sulfate reducers, colorless sulfur bacteria, and methanogens (VISSCHER & KIENE, 1994; VISSCHER, TAYLOR, & KIENE, 1995; DE ZWART & KUENEN, 1997). DMS and several thiols can be used as electron donors for photosynthesis in purple sulfur bacteria (VISSCHER & VAN GEMERDEN, 1991; VISSCHER & TAYLOR, 1993). DMS photooxidation yields dimethylsulfoxide, which is a common electron acceptor in marine mats (TAYLOR & KIENE, 1989). Importantly, the emission of volatile methylated sulfur compounds to the atmosphere has a significant role in climate regulation (LOVELOCK, MAGGS, & RASMUSSEN, 1972; KIENE & others, 1996) by producing a cloud of condensation nuclei. Through the production and consumption of methylated sulfur compounds, methane, and carbon dioxide, microbial mats may therefore have played an important role in climate regulation through geologic time.

The nitrogen cycle (Fig. 7.2) provides metabolic energy but also has a critical role in biomass production. Nitrogen is typically a growth-limiting nutrient in the marine environment, and by fixing atmospheric  $N_2$ , various microorganisms in the mat enhance nitrogen supply (STEPPE & others 1996; PAERL, FITZPATRICK, & BEBOUT, 1996; STEPPE & PAERL, 2002; OLSON, LITAKER, & PAERL, 1999). The breakage of the triple bond of  $N_2$  is energetically costly, and thus the capacity for nitrogen fixation is most prevalent in oxygenic and anoxygenic phototrophs. However, heterotrophs, including some clostridia, hyphomicrobia, azotobacters, sulfate reducers, and methanogens are also capable of nitrogen fixation in microbial mats (FAN, BOLHUIS, & STAL, 2015; FINKE & others, 2019). As biomass is degraded, ammonia can be released and either assimilated or used as an electron donor in energy metabolism. Chemolithotrophic oxidation of ammonium takes place during a two-step nitrification process,

which has been found in coastal microbial mats (FAN, BOLHUIS, & STAL, 2015; BONIN & MICHOTEY, 2006). Under anoxic conditions, ammonium can be oxidized to  $N_2$  with  $NO_2^-$  (anammox) (JAESCHKE & others, 2009) or with sulfate (sulfammox) (RIOS-DEL TORO & others, 2018). However, the sulfammox reaction may be carried out by a consortium of different microbes (BI & others, 2020). Nitrate can be assimilated into cell material or used as an electron acceptor for respiration with organic carbon and reduced sulfur. Based on  $^{15}N$ -labeled isotope experiments, anammox is thought to be insignificant in intertidal mats (BONIN & MICHOTEY, 2006), but may be prevalent in hot spring mats (JAESCHKE & others, 2009). Nitrogen-containing compounds glycine betaine and ectoine are among common osmolytes in hypersaline mats (OREN, 1990; STAL & REED, 1987; WELSH & others, 1996; KARSTEN, 1996; WONG & others, 2018). Ectoine transformations yield various amino acids, and ultimately ammonium as a degradation product (RESHETNIKOV & others, 2020). Glycine betaine catabolism produces trimethylamine (OREN 1990), a non-competitive compound that supports methanogenesis in mats (KING, 1988; ORPHAN & others, 2008).

Concentrations of arsenic in microbial mats have been associated with biogenic metals: zinc (Zn), manganese (Mn), and copper (Cu) following early diagenesis (SFORNA & others, 2017), indicating a biological role for this metalloid. In fact, arsenic provides a biogeochemical alternative to sulfur and iron (Fig. 7.3). Arsenite (As(III)) can be used as an electron donor for photosynthesis by some purple and green (non)sulfur bacteria (KULP & others, 2008; SUMMERS ENGEL, JOHNSON, & PORTER, 2013; HOEFT MCCANN & others, 2016) and anaerobic denitrifying microbes (OREMLAND & STOLZ, 2003). Arsenate (As(V)) is a product of As(III) oxidation, and can be used as an electron acceptor for the oxidation of organic carbon,  $H_2$  or  $H_2S$  (OREMLAND & others, 2000; HOEFT & others, 2004). The

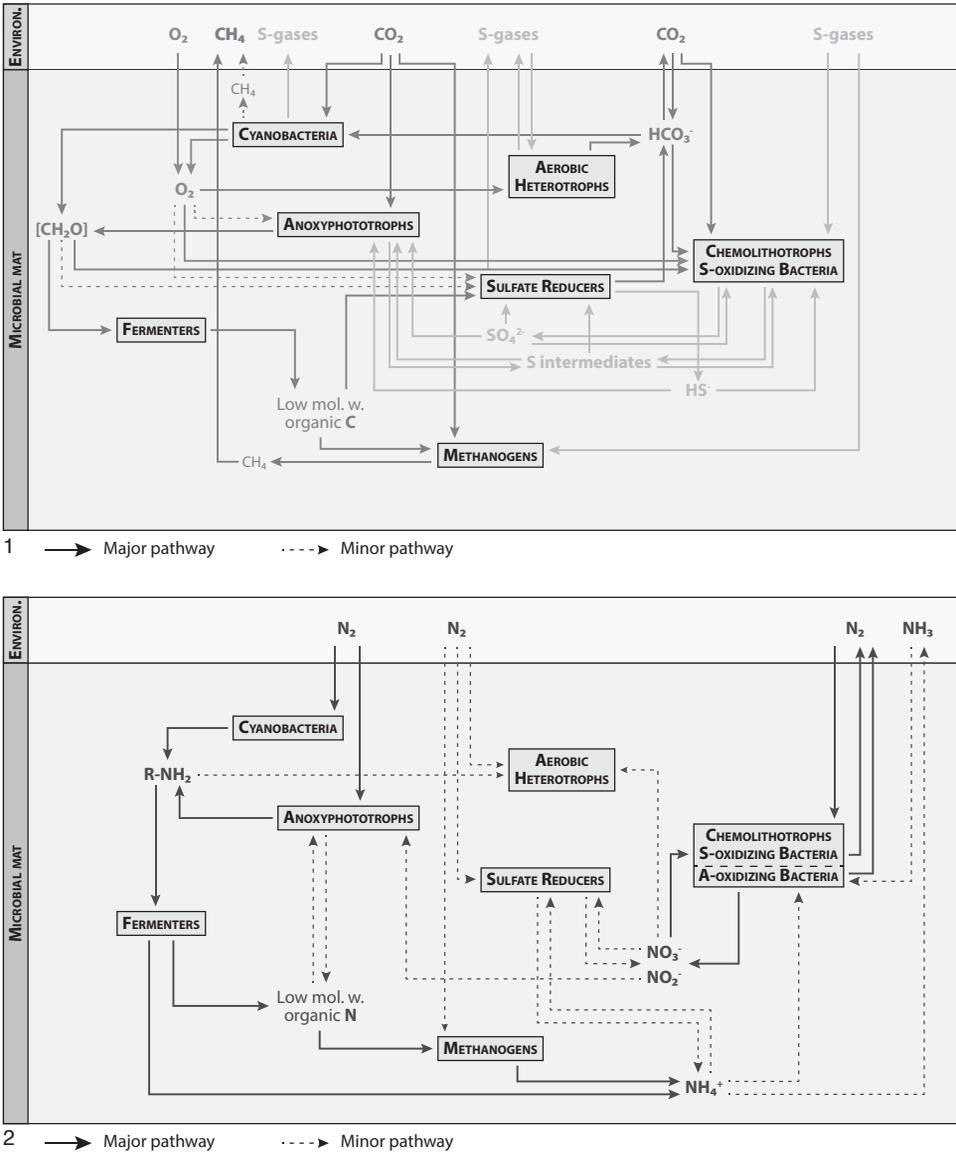


FIG. 7. Major element cycles in microbial mats showing intimate interactions between key guilds of microbes. *Solid lines* depict major biogeochemical transformations, *dashed lines* are minor pathways and *dot/dashed lines* are detoxification mechanisms (3). 1, Cycles of oxygen and sulfur, the main elements supporting carbon cycling in modern photosynthetic microbial mats. 2, Nitrogen cycling. 3, Iron and arsenic cycling (on facing page).

presence of both As(III) and As(V) oxidation states in microbialites suggests that complete arsenic cycling could be involved in the lithification of these structures in Laguna Diamante, Argentina (SANCHO-TOMÁS & others, 2020). Arsenotrophic photosynthesis and arsenate-supported respiration may have

existed before the GOE, supported by the association of arsenic with organic carbon globules in the 2.72-billion-year-old domal stromatolite laminae in the Tumbiana Formation in Australia (SFORNA & others, 2014).

At Laguna La Brava Atacama, Chile, mats proliferate under permanent anoxic condi-

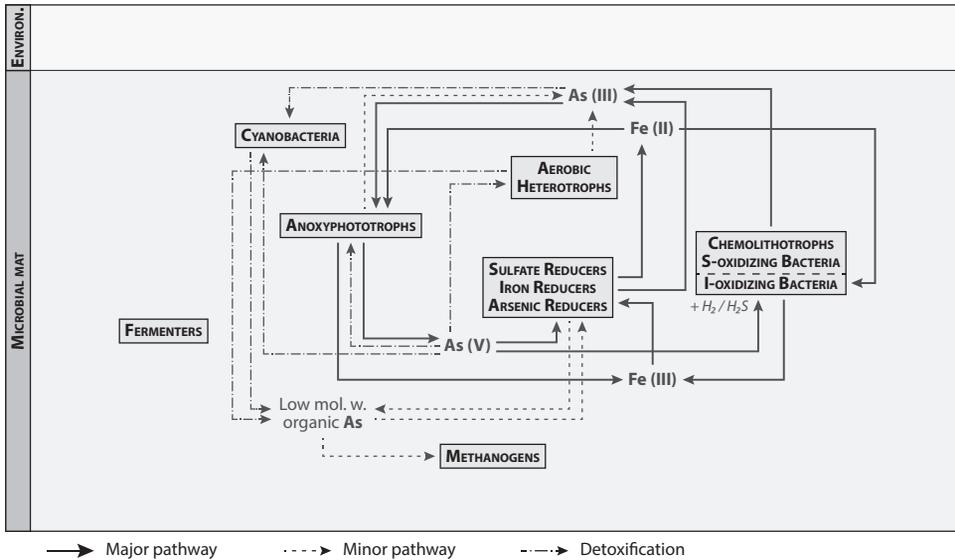


FIG. 7. (continued).

tions, using arsenic and sulfur cycling instead of oxygen (VISSCHER & others, 2020). Arsenic and sulfur cycles may have co-evolved. Several strains of phototrophs (e.g., *Ectothiorhodospira* sp.; HOEFT MCCANN & others, 2016; VISSCHER & others, 2020) that can use arsenite also use reduced sulfur compounds as electron donors. Similarly, known arsenate-respiring bacteria also use sulfate as an electron acceptor.

## MICROBIAL DIVERSITY

Microbial mats are considered as functionally (VAN GEMERDEN, 1993) or biogeochemically simple ecosystems (DES MARAIS, 1995; PRIETO-BARAJAS, VALENCIA-CANTERO, & SANTOYO, 2017), yet are very complex in their diversity and function at the genomic level (KUNIN & others, 2008; VARIN & others, 2011; BONILLA-ROSSO & others, 2012; KHODADAD & FOSTER, 2012; BOLHUIS, CRETOIU & STAL, 2014; RUVINDY & others, 2015; WHITE III & others, 2016; BABILONIA & others, 2018; WONG & others, 2018, 2020). Over past decades, microbial diversity based on 16S rDNA sequencing has been studied extensively in a variety of microbial mats (LEY & others, 2006; BAUMGARTNER, DUPRAZ & others,

2009; BOLHUIS & STAL, 2011; ARMITAGE & others, 2012; FARIAS & others, 2014, 2017; CARDOSO & others, 2017; LOUYAKIS & others, 2017; GRECO & others, 2020) and estimates of operational taxonomic units (OTUs) typically present confirm that these ecosystems are in fact quite complex. In addition to diversity studies at the taxonomic level, several metagenomes of mats have also been assembled, providing a wealth of functional information. Previous metagenome-based studies may have targeted only specific ecophysiological responses (VARIN & others, 2011, LOPÉZ-LOPÉZ & others, 2013; MENDES-MONTEIRO & others, 2019; AUBÉ & others, 2020) or had limited sequencing depth (BOLHUIS, CRETOIU & STAL, 2014; WONG, AHMED-COX, & BURNS, 2016). Furthermore, these studies generally lacked a clear biogeochemical context (BREITBART & others, 2009; MOBBERLEY & others, 2015; PAUL & others, 2017).

With advances in taxnomics, studies linking microbial diversity to mat function are beginning to develop. The spatial and/or temporal resolution of microbial diversity (i.e., species composition) in relation to selective geochemical data has been investigated at a few specific sites (LEY & others,

2006; BAUMGARTNER, DUPRAZ & others, 2009; BAUMGARTNER, SPEAR, & others, 2009; VISSCHER & others, 2010; FARIAS & others, 2014, 2017; LOUYAKIS & others, 2017; FERNÁNDEZ & others, 2016), yet only a few metagenome studies with a similar focus exist (BREITBART & others 2009; MOBBERLEY, KHODADAD, & FOSTER, 2013; WONG & others, 2018; PAUL & others, 2017). Metatranscriptomes of mats are even more sparse and suffer from the same shortcomings as those for metagenomes (LIU & others, 2011; MOBBERLEY & others, 2015; LOUYAKIS & others, 2017; HÖRNLEIN & others, 2018; CAMPBELL & others, 2020). However, studies at the metagenomic/metatranscriptomic level have the potential to delineate key microbial interactions and the role of gene transfer as well as identify novel organisms and pathways in microbial mat systems.

One intriguing area opened up through omic studies in microbial mats is that of understanding so-called microbial dark matter in these systems. Microbial dark matter is defined as the many unculturable community members in an ecosystem, and represents a huge untapped resource of biological information (RINKE & others, 2013). In the case of microbial mats, understanding the ecological roles of this dark matter is crucial for a complete understanding of the functioning of these systems through space and time. The only in-depth study on microbial dark matter in mats was undertaken in Shark Bay in Australia (WONG & others, 2020), with 115 potentially novel metagenome-assembled genomes affiliated with dark matter described. Detailed analyses of metabolic pathways allowed putative inferences about the roles of these microbes in recycling organic carbon and the potential for H<sub>2</sub>, ribose and CO/CO<sub>2</sub> to become major energy currencies. Understanding these metabolic pathways may even provide key insights into the origin of eukaryotes (WONG & others, 2020). Indeed, a novel group of archaea—the Asgard archaea—represents the closest lineage of the archaeal ancestor of eukaryotes (SPANG & others,

2015). The highest abundances of Asgard archaea found so far anywhere in the world are in microbial mats (WONG & others, 2018; WONG & others, 2020).

Viruses are another crucial component of microbial mats. Although modern sequencing approaches have helped our understanding of viral diversity and metabolisms in modern microbial mats and microbialites, their role through geologic time remains elusive. The virome of modern microbialites was first described by comparing viral communities in marine (Highborne Cay, Bahamas) and freshwater (Pozas Azules II and Rio Mesquites, Mexico) microbialites (DESNUES & others, 2008). This study suggested some viruses appeared to be endemic to a given microbialite system, indicating biogeographical variability from one microbialite to another (DESNUES & others, 2008). In a study on Pavillion Lake (British Columbia, Canada) microbialites (WHITE III & others, 2016), most of the viruses within the microbialites were unclassified, consistent with earlier observations (DESNUES & others, 2008). Interestingly, although the water column of Pavillion Lake had a great abundance of viruses, predominantly comprised of T4-like cyanophage (e.g., *Myoviridae*) and large algal viruses (e.g., *Phycodnaviridae*), few viral sequences were found within the microbialites. Instead, large numbers of antiviral phage genes were present (e.g., CRISPR-cas and phage shock proteins), suggesting that microbialites inhibit viral infection from the water column and surrounding sediments (WHITE III & others, 2016). Similarly, a study of viruses in Shark Bay (Australia) stromatolites (WHITE III & others, 2018), revealed few viral sequences and abundant antiviral genes (BREX, CRISPR-cas, DISARM).

The precise role of viruses in microbial mats is not known, although it is thought they may modulate microbial diversity or affect ecosystem function through the recycling of essential nutrients. Most recently, some have proposed that viruses may be key players in regulating the transition from

soft microbial mat to hard stromatolite (WHITE III, VISSCHER, & BURNS, 2021), including through mechanisms affecting carbonate precipitation and possibly also mineral composition (PERRI & others, 2017; SLOWAKIEWICZ & others, 2021). Similarly, viruses may have influenced, transformed, and manipulated ancient microbial communities. Understanding the role of viruses in microbialites is needed to fully understand key biological processes and pathways relevant to early life on Earth, as well as to better interpret mineral biosignatures.

### THE EXOPOLYMERIC MATRIX

All mats, including photosynthetic ones, typically form a slimy biofilm matrix. Large amounts of extracellular polymeric substances (EPS) excreted by cyanobacteria (KLOCK & others, 2007; ROSSI & DE PHILIPPIS, 2015) and other mat inhabitants (BRAISSANT & others, 2007) make the microbial mat gelatinous and sticky. These EPS consist of polysaccharides, proteins, with small amounts of extracellular DNA, exoenzymes, trace metals and minerals. EPS facilitate adhesion to surfaces such as sediments (GRANT & GUST, 1987; YALLOP & others, 1994), protect the cells against desiccation and UV radiation, sequester nutrients such as essential trace metals, localize and facilitate cell-to-cell communication (quorum sensing) (DECHO, 2015), and support gliding motility within the mat for phototaxis in cyanobacteria, and chemotaxis in sulfide-oxidizing bacteria. The EPS matrix also protects the organisms within by reducing predation and trapping viruses (DECHO & GUTIERREZ, 2017; WHITE III, VISSCHER, & BURNS, 2021). The chemical composition of EPS varies with environmental conditions, microbial community, and biofilm maturity. Some EPS components such as uronic acids provide binding sites for calcium and other ions, potentially enhancing carbonate mineral precipitation (DUPRAZ & others, 2004; DECHO, VISSCHER, & REID, 2005; BRAISSANT & others, 2007; GALLAGHER & others, 2011). The presence of authigenic

minerals within the EPS matrix indicates its pivotal role in carbonate precipitation.

### LITHIFICATION

Some microbial mats trap and bind sediments and skeletal grains and precipitate minerals directly within the polymer matrix (DUPRAZ & VISSCHER, 2005) or intracellularly (COURADEAU & others, 2012). Over time, these minerals cement together, resulting in lithification, and enhanced potential for preservation of the mat morphology in the fossil record (DUPRAZ & others, 2009; RIDING, 2011a; FINKE & others, 2019). The oldest lithified examples of mats are stromatolites (WALTER, BUICK, & DUNLOP, 1980), some arguably ~3.7 billion years old (Fig. 5) (NUTMAN & others, 2016; ALLWOOD & others, 2018; SHAPIRO & WILMETH, 2020, see p. 56–60).

Another type, microbially-induced sedimentary structures (MISS), develop as a response of microbial mats to sediment dynamics (NOFFKE & AWRAMIK, 2013; see p. 71–90). Carbonate precipitation and silicification of MISS can result in long term preservation of these sedimentary structures (NOFFKE, 2008; FISCHER & FRALICK, 2020), some dating back to 3.48 (NOFFKE & others, 2013) and 3.47 billion years (HICKMAN-LEWIS & others, 2018), respectively.

### CARBONATE SYSTEMS

Lithification of microbial mats can lead to formation of biogenic carbonate rocks, also known as microbialites (see *Precipitated Microbiolites*, p. 55–70). Of these, stromatolites have layers that are often compared with the lamination of microbial mats. This is deceiving; with only a few exceptions (MARIN-CARBONNE & others, 2018), a single layer in a stromatolite is more likely produced by an entire mat community, rather than by a single mat layer (VISSCHER & others, 1998; REID & others, 2000). The precipitation of minerals within certain mats contributes to eventual mat lithification as does trapping and binding of sediment in the sticky extracellular polymer substances. Therefore, it is

generally accepted that microbial mats are the progenitors of microbialites.

Within mats, the precipitation of carbonate minerals is mediated by 1) the interaction of the EPS with calcium and magnesium ions, and 2) by the effect of combined microbial metabolisms on the carbonate saturation index. First, freshly produced EPS inhibits carbonate precipitation by binding cations such as calcium and magnesium. The maximum calcium binding capacity can reach 280–380 mg/g EPS (BRAISSANT & others, 2007, 2009; PACE & others, 2018), which is about the amount present in six liters of water. The magnesium binding capacity of EPS is typically much lower (~5 times in PACE & others 2018), possibly because magnesium has a much larger hydration shell than calcium (FREYTTET & VERRECCHIA, 1998). EPS concentration decreases with depth in the mat (BRAISSANT & others, 2009; PACE & others, 2018; SFORNA & others, 2017) as it is consumed by aerobic and anaerobic heterotrophs (BRAISSANT & others, 2009; VISSCHER & others, 1998; DECHO, VISSCHER, & REID, 2005). Anaerobic degradation of EPS, facilitated by fermenters and sulfate reducers, is about four to six times slower than aerobic consumption. Maximum potential consumption rates of EPS do not seem to change with depth in the upper four cm of the mat (BRAISSANT & others, 2009).

Microbial degradation of EPS releases  $\text{Ca}^{2+}$  ions and, in case of microbial decomposition, locally produces dissolved inorganic carbon. This increases the saturation index of  $\text{CaCO}_3$ , thus favoring carbonate precipitation. Cryo SEM images of mat materials clearly show mineral precipitation associated with the organic matrix of the EPS, which acts as nucleation site for initial mineral growth (DUPRAZ & others, 2004; DUPRAZ & VISSCHER, 2005). In addition, intracellular carbonate precipitation found in several strains of cyanobacteria can add to the carbonate production in microbial mats (BENZERARA & others, 2014).

## SILICATE SYSTEMS

Similar to carbonate precipitation, silicate mineralization can also be facilitated by microbial mats (ERICKSSON & others, 2010; ZEYEN & others, 2015; PACE & others, 2016). An increase of the pH through photosynthesis facilitates nucleation of a poorly crystallized Mg-Si phase in the EPS of Great Salt Lake (USA) mats. Silica formation within a mat involves the formation and dissolution of carbonate mineral phases. Below the photic zone in these mats, aragonite precipitates first during anaerobic degradation of EPS (PACE & others, 2016). Subsequently, this aragonite dissolves in acidic pockets deeper in the mat, where partially degraded EPS binds calcium (Ca) and magnesium (Mg), ultimately forming dolomite. Some of the liberated Ca and Mg may also form a complex silicon (Si). This sequential formation of aragonite followed by precipitation of a Mg-Si phase has been observed in Lake Clifton (Western Australia) thrombolites (BURNE & others, 2014) and in Mexican lakes (ZEYEN & others, 2015). Amorphous Ca-Mg-Si-Al nanoparticles, presumably permineralized viruses, formed in the surface of sabkha mats (PERRI & others, 2017). These amorphous phases were the precursor of the Mg-rich clay palygorskite.

Micrometer-sized calcite, pyrite nanocrystals and framboids also precipitated in the sabkha mat. These mineral precipitation processes were interpreted as induced organomineralization, and may be a common feature in silica precipitation. However, this active organomineralization process differs from the silicification of microbial mats associated with some silicon-rich hot springs, which can produce stromatolite-like features (SCHULTZE-LAM & others, 1995; JONES, RENAUT, & ROSEN, 1997; BERELSON & others, 2011). In these systems, the EPS of the microbial sheath material may act as passive nucleation site, but microbial metabolisms do not have a role in mineralization (KONHAUSER & others, 2004; YEE &

others, 2013). Regardless of the mechanism, silicification of microbial mats was important in the preservation of early life (AWRAMIK & BARGHOORN, 1977; WALTER & AWRAMIK, 1979; LOWE, 1980; MANNING-BERG & others, 2019), some as old as 3.5–3.3 billion years (HICKMAN-LEWIS, WESTALL, & CAVALAZZI, 2020).

Microbial activities can enhance mineral precipitation by increasing the alkalinity in the microbial mat on a microscale (DUPRAZ & VISSCHER, 2005; PETRISOR & others, 2014). Some metabolic reactions, such as oxygenic and anoxygenic photosynthesis, certain types of sulfate and methanogenesis increase alkalinity (VISSCHER & others, 1998, 2020; VISSCHER & STOLZ, 2005; DUPRAZ & VISSCHER, 2005; GALLAGHER & others, 2012; GALLAGHER, DUPRAZ, & VISSCHER, 2014) (Fig. 5). Other metabolic reactions decrease the alkalinity, e.g., aerobic respiration, fermentation, denitrification, and other types of sulfate reduction (VISSCHER & STOLZ, 2005; GALLAGHER & others, 2012; GALLAGHER, DUPRAZ, & VISSCHER, 2014). In the case of sulfate reduction, the nature of the electron donor can drastically alter alkalinity—the use of hydrogen and formate increases alkalinity and mineral saturation more than other donors (GALLAGHER & others, 2012; GALLAGHER, DUPRAZ, & VISSCHER, 2014). So, if the community produces excess hydrogen (SKYRING, LYNCH, & SMITH, 1988), the sulfate-reducing bacteria are more likely to increase the local mineral saturation leading to precipitation (GALLAGHER & others, 2012; GALLAGHER, DUPRAZ, & VISSCHER, 2014). It should, however, be noted that the metabolic reaction rates of all members of the microbial mat community determine the overall change in alkalinity and the dynamic balance between mineral precipitation and dissolution (VISSCHER & STOLZ, 2005; PACE & others, 2018). In biofilm surface mats of modern stromatolites in the Bahamas, microcolonies—likely clusters of sulfate reducers (PETRISOR & others, 2014)—can have a pronounced impact on the local

alkalinity and could produce microcrystalline carbonates (VISSCHER, REID, & BEBOUT, 2000; REID & others 2000). Significant changes in microbial community metabolisms over a light-dark cycle need to be considered when assessing a potential precipitation or dissolution effect on carbonate minerals (VISSCHER & others, 1998; DUPRAZ & VISSCHER, 2005). In this, it is critical to combine actual *in situ* activity measurements at a micrometer scale with fabrics (VISSCHER, REID, & BEBOUT, 2000) and, when possible, metagenomic information (MOBBERLEY, KHODADAD, & FOSTER, 2013; MOBBERLEY & others, 2015; LOUYAKIS & others, 2017; WONG, AHMED-COX, & BURNS, 2016; PREISNER, FICHOT, & NORMAN, 2016). Multiple analytical techniques are needed to determine the microbial origin of carbonate minerals. For example, the use of stable isotopes ( $\delta^{13}\text{C}$ ) alone can easily lead to misinterpretations due to the extremely high and variable rates of photosynthesis, changing ratio of autotrophic versus heterotrophic carbon cycling or changes in surrounding chemical parameters such as salinity that influence inorganic carbon speciation (ARP & others, 2012; CHAGAS & others, 2016).

Precipitation of minerals associated with mats is merely the onset of lithification. Complete lithification of microbial mats yields microbialites and may involve physicochemical processes, such as evaporation, or influx of alkaline water, in addition to the microbial metabolisms described (DUPRAZ & others, 2009). Although widely varied in shape and origin, ooids, peloids, and oncolites can possibly be used as structural biomarkers (CHAFETZ, 1986; RIDING 1991; DIAZ & others, 2017), but further work is needed. Lithification can either be microbially induced (when the microbial mat community increases the alkalinity through the combined metabolisms) or microbially influenced (when environmentally-driven processes change the alkalinity). In both scenarios, the extracellular polymer matrix

acts as a nucleation site (DUPRAZ & others, 2009).

### MICROBIALITE MORPHOLOGIES THROUGH TIME

Stromatolites formed in the early Paleoarchean (3.6–3.2 Ga; ALLWOOD & others, 2006), but a biotic origin of the earliest formations is still being debated (GROTZINGER & KNOLL, 1999). During the Late Mesoarchean-Early Neoproterozoic (2.94–2.5 Ga), the fossil record shows more diverse stromatolite morphologies that were biogenic (BOSAK, KNOLL & PETROFF, 2013; LEPOT, 2020). For example, aragonite spheroids associated with organic globules were present in 2.72-billion-year-old Archean stromatolites (LEPOT & others, 2008). Thrombolites appeared later, after approximately 2.3–1.9 billion years ago (BARLOW & others, 2016; KAH & GROTZINGER, 1992).

Several mechanisms for transition from a laminated (stromatolitic) to a clotted (thrombolitic) fabric have been proposed: 1) the dominance of coccoid cyanobacteria relative to filamentous morphologies (MOORE & BURNE, 1994); 2) a typical fan-shaped distribution of cyanobacterial filament in open marine thrombolites in the Bahamas (PLANAVSKY & others, 2009), consecutively modified by early diagenesis with secondary cement deposition (PLANAVSKY & GINSBURG, 2009); 3) infaunal boring (TARHAN & others, 2013); 4) disruption of a laminated fabric by animals and/or eukaryotic macrophytes (WALTER & HEYS, 1985); or 5) grazing by reticulopodia (BERNHARD & others, 2013). A combination of the above mechanisms may be at play. Interestingly, modern thrombolites in open marine (Bahamas), hypersaline bay (Hamelin Pool, Western Australia), hypersaline (Lake Clifton, Western Australia, Great Salt Lake, USA, Lake Alchichica, Mexico) and freshwater (Green Lake, New York, USA) lacustrine environments all have a stromatolitic crust overlying a thrombolitic

fabric. This transition from a laminated surface to a clotted fabric at depth observed in modern settings suggests that a revision of the macroscale terminology of microbialites is needed.

Also worth noting, diagenetic overprinting of mineral precipitates can significantly alter and sometimes erase the micro-to macrostructure and mineral composition (FREYDET & VERRECCHIA, 1998; PACE & others, 2018).

### MICROBIAL MAT TAPHONOMY

Mats preserve more than just layers of carbonate or silicate minerals. They may contain microfossils or chemical remnants of cellular structures, such as membrane lipids or rare earth elements (AWRAMIK & BARGHOORN, 1977; WALTER & AWRAMIK, 1979; OEHLER & others, 2009; BENZERARA & MENGUY, 2009; PAWLOWSKA, BUTTERFIELD, & BROCKS, 2012; KNOLL, 2016; JAVAUX & LEPOT, 2018). Their preserved morphology may also include biological imprints and sediment features like ripples, roll-up structures, and cracks because of the stabilizing influence of cyanobacterial filaments on sediment structure (NOFFKE & AWRAMIK, 2013).

Preservation of prokaryotes as microfossils is not widespread, and their interpretation is challenging (KNOLL, 2016; SCHOPF & others, 2017; MANNING-BERG & others, 2019). However, a variety of chemical or molecular fossils aid in the interpretation of lithifying and non-lithifying mats (lipids, such as hopanes, isoprenoids, etc.; DIDYK & others, 1978; ALLEN & others 2010; BRIGGS & SUMMONS, 2014; PAGÈS & others, 2014; KNOLL, 2016; HICKMAN-LEWIS, CAVALLAZZI, & others, 2020). Lipids are excellent biomarkers specific to groups of bacteria and archaea, both in modern (JAHNKE & others, 2008) and in fossil microbial mats (SUMMONS & WALTER, 1990). These complex branched and/or cyclic hydrocarbons are resistant to microbial degradation during early diagenesis. Thus, membrane-associated polar lipid

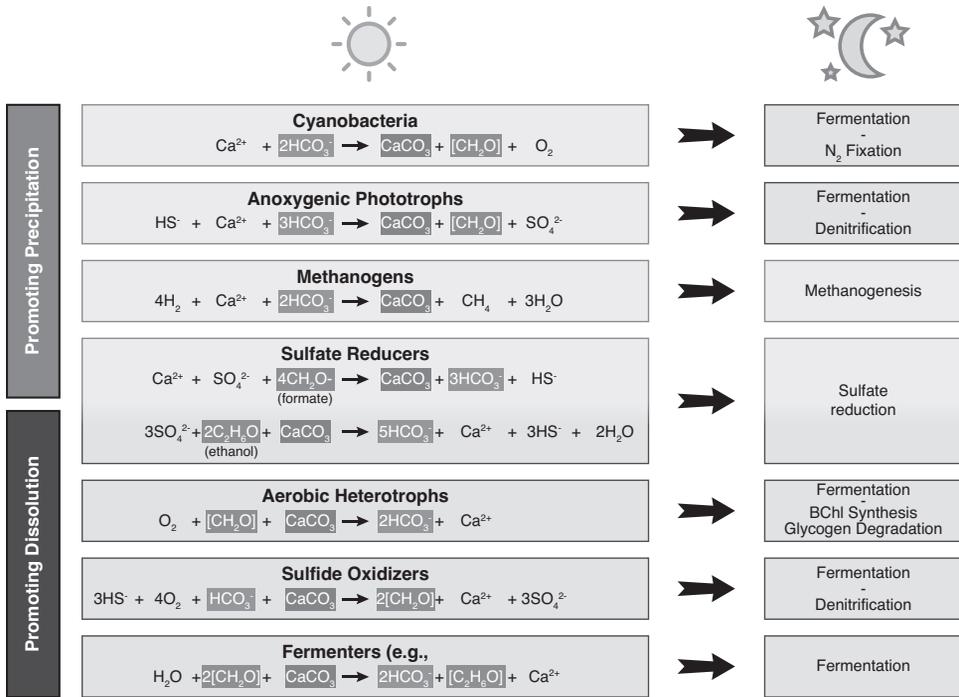


FIG. 8. Key metabolic reactions in a modern photosynthetic microbial mat combined with the precipitation/dissolution of carbonates:  $HCO_3^- + Ca^{2+} \leftrightarrow CaCO_3 + H^+$  (for details, see VISSCHER & STOLZ, 2005; DUPRAZ & VISSCHER, 2005; and DUPRAZ & others, 2009). Reactions (in light gray-shaded boxes) promote precipitation of carbonates; reactions in darker-shaded boxes result in conditions favoring dissolution of carbonates. Note that depending on the electron donor type, sulfate reduction can either promote precipitation or dissolution (GALLAGHER & others, 2012). Photosynthetic microbial mats depend on light for carbon fixation, and several metabolic activities within guilds vary between light (boxes on the left) and dark (boxes on the right) conditions. The actual precipitation potential depends on the sum of actual metabolic activities for each group at each depth during a diel cycle.

biomarkers can be used to identify specific functional groups of microbes, notably those of phototrophs, sulfate reducers (having ester-bound lipids), and methanogens (with ether-linked lipids). Protists and other eukaryotes also contain specific lipids that can be used as diagnostic biomarkers (SUMMONS & WALTER, 1990; JAVAUX & LEPOT, 2018). However, prior to the onset of bioturbation in the Ediacaran (635–542 Ma) microbial mats may have formed a barrier to inclusion of planktonic biomass in sediments where heterotrophic activity fueled by cyanobacterial oxygen largely degraded the freshly deposited biomass (PAWLOWSKA, BUTTERFIELD, & BROCKS, 2012). Most Archean and Proterozoic samples that have been investigated with

respect to lipid biomarkers originated in shallow sediments that were most likely colonized by microbial mats (GEHLING, 1999; EIGENBRODE, FREEMAN & SUMMONS, 2008; HICKMAN-LEWIS, & others, 2018). The presence and specific distribution of Rare Earth Elements (REE), i.e., specific REE anomalies, has also been used to interpret microbial mats and the paleoenvironmental conditions in which they developed (TAKAHASHI & others 2005; LAWRENCE & KAMBER 2006; CENSI & others, 2015; COFFEY & others, 2013; HICKMAN-LEWIS, GOURCEROL, & others, 2020). REE Yttrium (Y) and Thorium (Th) have been used to discriminate between trapping and binding and *in situ* precipitation of minerals in Precambrian stromatolites (CORKERON & others 2012),

but results can be ambiguous (NUTMAN & others, 2016; ALLWOOD & others, 2018). High-resolution stable isotopes of carbon and nitrogen in organic remains and of sulfur in sulfide biominerals provide insight in ancient microbial mat metabolisms (MARIN-CARBONNE & others, 2018; LEPOT & others, 2019).

Precipitation of minerals alone does not guarantee lithification of the mat. Some mats may lithify (TICE & LOWE, 2004; DUDA & others, 2016), but the majority do not and are not preserved or easily recognized in the rock record. Certain surface features of soft mats are found in the fossil record (HAGADORN & BOTTJER, 1997; SCHIEBER, 1999; GERDES, 2007; NOFFKE, 2008; NOFFKE & others 2001, 2013; NOFFKE & AWRAMIK, 2013). So-called microbially-induced sedimentary structures (MISS), typically siliclastic in composition, capture the sediment dynamics in shallow-marine, lacustrine, and riverine settings. Some MISS date to the Archean, such as the 2.9 Ga Pongola Supergroup in South Africa and the 3.5 Ga Dresser Formation in Western Australia. These fossilized surface structures resemble contemporary intertidal ripple marks and erosion pockets, polygonal crack features, gas domes, pinnacles and pustular mat fabrics (GERDES, 2007; HARWOOD & SUMNER, 2011; VISSCHER & others, 2010; McMAHON, DAVIES, & WENT, 2017; MORRIS & others, 2020). For more details, see p. 71–90). Also, a large number of preserved siliclastic mats and MISS images are in an atlas of microbial mat features (SCHIEBER & others 2007). Particularly well-preserved microbial mats from the Bhandar Formation, Vindhyan Supergroup, India, have features that typically only occur in siliclastic rocks (ripples, wrinkle marks, cracks) also preserved in carbonates (SARKAR & others, 2016).

Microbial mats stabilize sediments through their combined network of filamentous microorganisms and sticky, somewhat plastic EPS (NOFFKE, DECHO, & STOODLEY, 2013; DECHO & GUTIERREZ, 2017). This matrix facilitates preservation of both verte-

brates and invertebrates, which occurs by molding morphological features (INIESTO & others, 2016). Typically, successful fossilization occurs during rapid burial in non-cohesive sediments, but the slow incorporation in the mat matrix provides a similarly efficient alternative in low-depositional environments. Soft tissue, such as skin and scales, dating back to the Neoproterozoic, are particularly well preserved in microbial mats (DARROCH & others, 2012). The cohesiveness of microbial mats prevents erosion and, consequently, many fossilized mats from the Ediacaran, Triassic, and Cretaceous that were thriving in hot and humid conditions hold excellent examples of fossils, including *Dickinsonia* sp., *Parvancorina* sp., and burrowing *Yichnus* sp. (GEHLING, 1999; CHEN & others, 2013; XIAO & others, 2019). Other fossils of interest include dinosaur tracks, tetrapods, crustaceans, fish, and possibly plants (INIESTO & others, 2018).

Thick, moist mats have the best preservation potential, sometimes showing exquisite detail (MARTY, STRASSER, & MEYER, 2009), biostabilizing, and preventing reworking by wind or currents (BOUOUGRI & PORADA, 2012. CUADRADO, PERILLO, & VITALE, 2014; KVALE & others, 1995). A hot climate and high evaporation rates may further enhance the preservation potential of mats, supported by increased microbially-influenced organomineralization and elevated saturation indices (NEMATI & VOOWDOUW, 2003).

## CONCLUSION

Life began during the Archean eon, potentially as prebiotic gels associated with minerals. These transitioned to life that may have formed primitive microbial mats (TREVORS, 2011) 3.7–3.4 billion years ago, either in fluvial, shallow tidal, or hot spring environments. Some of these mats may have lithified, forming the first stromatolites preserved in the fossil record. Although microbial mats have undoubtedly evolved through geological time in response to changing environmental conditions, their presence throughout the past 3.5 billion years

makes contemporary mats a potential analog for the past. The appearance of oxygenic photosynthesis and potential to fix atmospheric nitrogen—important milestones in the evolution and diversification of life—is ascribed to microbial mats. Early eukaryotes may have even emerged in these systems via endosymbiosis. The cohesive properties of these laminated organosedimentary ecosystems are most often based on filamentous microorganisms and exopolymeric substances.

Microbial mats are consummate survivors unequaled in Earth's history, and they possess an astonishing array of adaptive metabolisms enabling them to thrive in the harshest of environments. Their preserved remains, billions of years old, provide insight into the origins of life on Earth. The study of living mats is key to understanding these remains and how processes of mineral precipitation may have led to their preservation. Microbial mat ecosystems have played a pivotal role in the preservation of soft and hard tissue and provide a wealth of diverse trace and chemical

fossils, making these benthic biofilms crucial paleontological phenomena.

In addition to having many other roles, microbial mats and microbialites are critical biogeomorphological agents on coastlines that in the near future will aid in the protection of nearshore environments (MORRIS & others, 2020), as they have done from the onset of life on our planet. Their long presence in geologic time makes microbial mats potential targets for the search for evidence of extraterrestrial life (DUPRAZ & VISSCHER, 2005).

### ACKNOWLEDGEMENTS

The authors thank Professors Malcolm R. Walter and Alan W. Decho for their helpful comments on the manuscript. This work was supported by a grant from the National Science Foundation NSF grant OCE 1561173 (USA) to P.T.V. and ISITE project UB18016-BGS-IS (France) to P.T.V., E.V., and A.B.



# MICROFOSSILS OF PROKARYOTES

SHUHAI XIAO and QING TANG

## INTRODUCTION

Bacteria and archaea make up the paraphyletic group of prokaryotes, and together with eukaryotes they form the three major domains of life. One can easily envision a world without eukaryotes, but it is difficult to imagine a biosphere without prokaryotes. Today prokaryotes colonize virtually every corner of the surface Earth system, from human guts to oceanic gyres to hydrothermal vents. Earth is home to millions of prokaryote species (SCHLOSS & others, 2016), which amount to a staggering number of individuals (WHITMAN, COLEMAN, & WIEBE, 1998; FLEMMING & WUERTZ, 2019; LOCEY & LENNON, 2019) and account for ~14–50% of carbon in the biosphere (WHITMAN, COLEMAN, & WIEBE, 1998; BAR-ON, PHILLIPS, & MILO, 2018). In fact, the biochemical capability to fix carbon and to produce oxygen can be evolutionarily traced to prokaryotes (cyanobacteria to be exact), and nitrogen fixation in nature is exclusively carried out by prokaryotes. Thus, it is safe to say that there would not be a biosphere without prokaryotes.

There are no credible reasons to doubt that prokaryotes were as abundant and important in the geological past as they are today. Yet, the fossil record of prokaryotes is extremely poor. This poor record is largely related to the fact that most prokaryotes—with the prominent exception of magnetotactic bacteria (BAZYLINSKI & FRANKEL, 2003) and some cyanobacteria (BENZERARA & others, 2014)—do not perform biologically controlled mineralization. Thus, the preservation of prokaryotes as fossils requires specific taphonomic conditions. Furthermore, the microscopic size and simple morphology of prokaryotic fossils means that they are difficult to study because of potential problems related to contamination from younger microbes, conflation with abiotic

structures, and convergence with eukaryotic microbes. Despite these challenges, there have been many reports of fossil prokaryotes since the late nineteenth century. This chapter is an overview of fossil prokaryotes, with a focus on bacteria, particularly cyanobacteria, preserved in Precambrian rocks.

## HISTORY OF THE STUDY OF BACTERIAL FOSSILS

More detailed accounts of the history of fossil prokaryote research can be found in FENTON (1946), BANKS and others (1967), SCHOPF (1992a), and TAYLOR, TAYLOR, and KRINGS (2009). Prokaryotic fossils had been reported in the literature by the late nineteenth century, although some were not originally identified as such, others may be eukaryotic, and still others were later proven abiotic. For example, the tubular microfossil *Girvanella* NICHOLSON & ETHERIDGE, 1878 was first described as a foraminifer from Ordovician strata but later understood as a cyanobacterium (WOOD, 1957; RIDING, 1991). RENAULT (1896) described coccoidal and rod-shaped microstructures preserved in Carboniferous–Permian plant fossils under the extant bacterial genera *Micrococcus* COHN, 1872 and *Bacillus* EHRENBERG, 1835. These structures were originally interpreted and subsequently accepted as bacterial fossils (PIA, 1927; BANKS & others, 1967), but many of them probably represent inorganic particles (TAYLOR & KRINGS, 2005). During the early twentieth century, definitively biogenic and possibly bacterial fossils were reported in the literature. Worth mentioning are *Gloeocapsomorpha* ZALESSKY, 1917 from Middle Ordovician kukersites of the Baltic Shale Basin in Estonia, as well as the middle Cambrian fossils *Morania* WALCOTT, 1919 and *Marpolia* WALCOTT, 1919 from the Burgess Shale in Canada. *Gloeocapsomorpha* was compared with extant chroococcalean

cyanobacteria such as *Gloeocapsa* KÜTZING, 1843 and *Entophysalis* KÜTZING, 1843 (FOSTER, REED, & WICANDER, 1989; STASIUK & OSADETZ, 1990), but a cyanobacterial interpretation remains uncertain (BLOKKER & others, 2001) and some authors have interpreted *Gloeocapsomorpha* as a eukaryotic organism (e.g., a green alga) on the basis of organic geochemical evidence (HOFFMANN & others, 1987; DERENNE & others, 1991). The interpretation of *Marpolia* is also uncertain. It is commonly regarded as a cyanobacterium (WALCOTT, 1919; STEINER & FATKA, 1996), although WALCOTT (1919) also compared it with modern green and red algae, and fossils described as *Marpolia* may belong to different taxa or indeed different domains (LODUCA & others, 2017). *Morania*, on the other hand, has been generally accepted as a colonial organism consisting of cyanobacterial filaments (WALCOTT, 1919).

In addition to marine prokaryotes mentioned above, terrestrial cyanobacterial fossils have also been known from Phanerozoic deposits since the twentieth century. Among these, the most famous examples are various coccoidal and filamentous bacterial fossils from the Devonian Rhynie chert (KIDSTON & LANG, 1921; see also CROFT & GEORGE, 1959; EDWARDS & LYON, 1983; KRINGS & others, 2007; KRINGS, 2019; KRINGS & HARPER, 2019).

By the first half of the twentieth century, alleged bacterial microfossils had been reported from Precambrian rocks (WALCOTT, 1914, 1915; MOORE, 1918; GRUNER, 1922, 1923, 1924, 1925; ASHLEY, 1937). Many of these were later confirmed to be pseudofossils. For example, tubular structures illustrated in GRUNER (1923) and possibly those in ASHLEY (1937) are likely ambient pyrite trails (TYLER & BARGHOORN, 1963; KNOLL & BARGHOORN, 1974). Such trails are common in cherts and phosphorites ranging from the Archean (WACEY & others, 2008) to the Ediacaran (XIAO & KNOLL, 1999; SHE & others, 2016), and they were likely produced by pyrite crystal movement related to local build-up of degradational gas and pressure

dissolution (KNOLL & BARGHOORN, 1974). However, some of these early reports likely included *bona fide* Precambrian microfossils from the Proterozoic Belcher Supergroup (MOORE, 1918, fig. 14), Gunflint Formation (GRUNER, 1922, pl. 7; GRUNER, 1924, pl. 11), and Belt Supergroup (WALCOTT, 1914, pl. 20, 2–6). In particular, GRUNER's reports were from the same stratigraphic unit—the Gunflint Formation—where paradigm-shifting discoveries were reported three decades later (TYLER & BARGHOORN, 1954; BARGHOORN & TYLER, 1965; CLOUD, 1965). But these earlier reports did not spark much interest at the time, perhaps because the quality of photomicrographs was poor (indeed, some reports had only camera lucida drawings), the great antiquity of these fossils was not appreciated, and preservation of bacterial fossils was not expected, as pointed out by KNOLL, BARGHOORN, and AWRAMIK (1978).

During the second half of the twentieth century, the study of Precambrian prokaryotes opened a new chapter. This was initiated by several high-profile reports of silicified bacterial microfossils from the Paleoproterozoic (~1880 Ma) Gunflint chert in Canada (TYLER & BARGHOORN, 1954; BARGHOORN & TYLER, 1965; CLOUD, 1965). The Gunflint fossils include stromatolite-associated coccoidal and filamentous fossils (Fig. 9.1) (BARGHOORN & TYLER, 1965), as well as coccoidal planktonic microbes (KNOLL, BARGHOORN, & AWRAMIK, 1978). These fossils were compared with extant cyanobacteria, iron-oxidizing bacteria, and fungi (BARGHOORN & TYLER, 1965; CLOUD, 1965). Serving as a search image in the field and in the laboratory, Gunflint-type stromatolitic cherts and microfossils soon opened the floodgates to numerous discoveries of Precambrian microfossils. Within a decade, Precambrian microfossils had been reported from many Precambrian cherts in North America and Australia, including the Neoproterozoic Bitter Springs Formation in Australia (Fig. 9.7) (BARGHOORN & SCHOPF, 1965; SCHOPF, 1968;

SCHOPF & BLACIC, 1971), the Neoproterozoic Skillogalee Dolomite in South Australia (SCHOPF & BARGHOORN, 1969; KNOLL, BARGHOORN, & GOLUBIC, 1975), the Neoproterozoic Beck Springs Formation in eastern California (CLOUD & others, 1969), the Paleoproterozoic Belcher Supergroup in Canada (HOFMANN, 1974; HOFMANN, 1976), Archean strata in South Africa (SCHOPF & BARGHOORN, 1967; KNOLL & BARGHOORN, 1977), and many other units. These were followed by reports of silicified microfossils, many of which are interpreted as cyanobacteria, from Precambrian cherts around the world (see summary in SCHOPF, 1983; SCHOPF & KLEIN, 1992; SERGEEV, SHARMA, & SHUKLA, 2012). Among these, Paleoproterozoic microfossils from Western Australia are the most contentious (AWRAMIK, SCHOPF, & WALTER, 1983; BUICK, 1984; SCHOPF & PACKER, 1987; SCHOPF, 1993; BRASIER & others, 2002; SCHOPF & others, 2002). The combined geochemical, paleontological, and sedimentological data indicate the existence of a microbial ecosystem on Earth at ~3500 Ma or earlier (ROSING, 1999; SCHOPF, 2006a), perhaps with diverse microbial metabolic pathways (SCHOPF & others, 2018).

Since the 1960–1970s, paleontologists have also been investigating Precambrian organic-walled microfossils preserved in fine-grained siliciclastic rocks or shales using hydrofluoric acid maceration techniques (XING & LIU, 1973; TIMOFEEV, HERMANN, & MIKHAILOVA, 1976; VIDAL, 1976), and some of these are filamentous microfossils that are interpreted as cyanobacteria (HERMANN, 1974). This line of research opened a new taphonomic window onto the Precambrian microbial world (VIDAL, 1981; HOFMANN & JACKSON, 1994; GREY, 2005; TANG & others, 2013). Together, microfossils preserved in cherts and shales provide a broader view of the paleoecology and taphonomy of Precambrian microbes.

### MODES OF PRESERVATION

Because most prokaryotic microfossils are preserved in cherts and shales, silicifi-

cation and carbonaceous compression are the main modes of preservation. However, prokaryotic microfossils can also be replicated by phosphate, pyrite, gypsum, and other minerals; and they have been reported from ambers. These taphonomic modes are briefly described below.

### SILICIFICATION

As a major permineralization pathway, silicification is responsible for the preservation of the majority of prokaryotic microfossils (Fig. 9), including those preserved in cherts of the Gunflint Formation (Fig. 9.1–9.3) and Bitter Springs Group in Australia (Fig. 9.7). Generally understood as a taphonomic process through which organisms are replaced by diagenetic silica, silicification of microbes is neither molecule-by-molecule replacement of cellular structures by silica nor wholesale replacement of the entire organism by silica, as sometimes occurs in silicification of animal skeletons (BUTTS, 2014). Rather, at the microscopic level, silicification is fundamentally a casting and molding process, with silica precipitating on organic substrates, such as cell walls and laminae of cyanobacterial sheaths, through chemical bonds between organic functional groups and silicic acids (LEO & BARGHOORN, 1976) and perhaps assisted by the presence of metallic ions (FERRIS, FYFE, & BEVERIDGE, 1988), thus producing molds or casts of microbial cells and sheaths. Thus, the organic substrates are encased within the replicating silica and are subsequently degraded to various degrees. The taphonomic survival of the organic substrates, albeit in degraded forms and in trace amounts, aids the recognition and identification of these fossils in thin section microscopy and is regarded by some geologists as an indispensable criterion for affirmation of biogenicity (BUICK, 1990).

A number of taphonomic experiments have been carried out to understand the silicification process. Degradation experiments have demonstrated that cyanobacterial cells degrade over periods of days to months

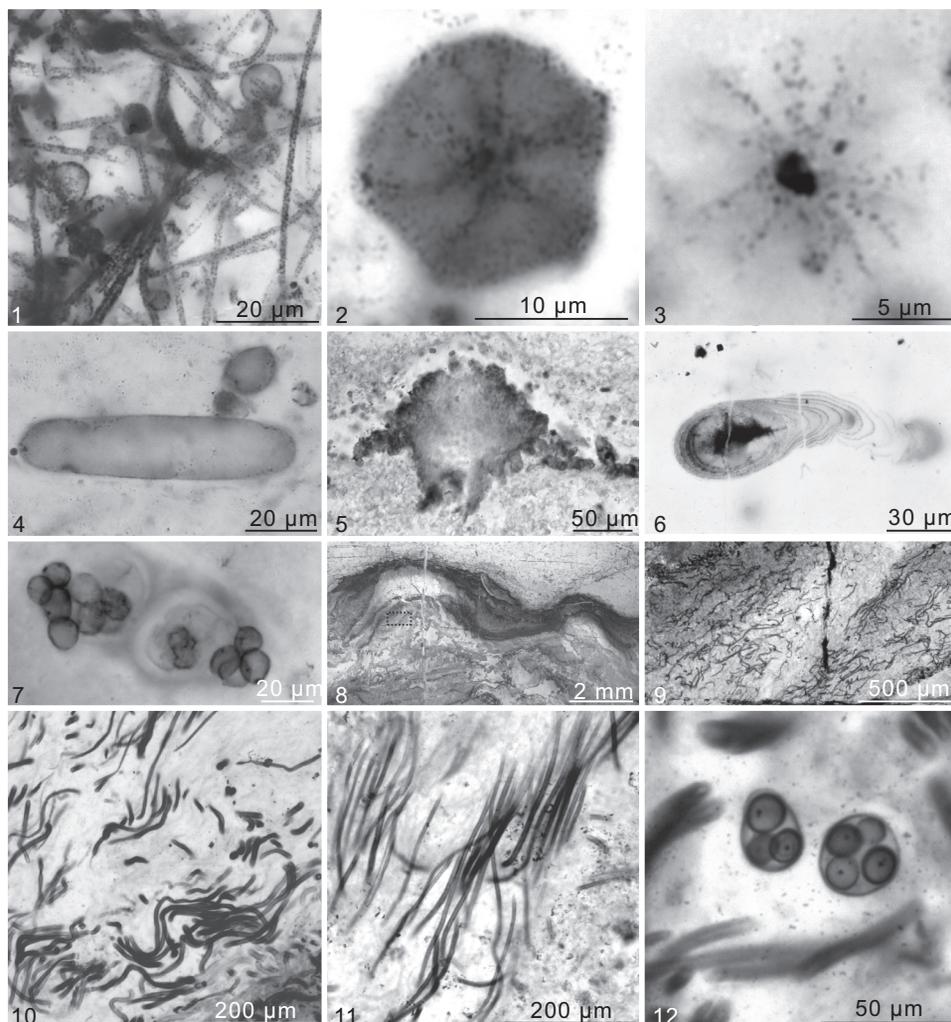


FIG. 9. Thin section photomicrographs of silicified prokaryotic microfossils from the ~1880 Ma Gunflint Formation in Canada (1–3), ~1400–1500 Ma Billyakh Group in Siberia (4–5), Tonian Draken Formation in Svalbard (6), Tonian Bitter Springs Group in Australia (7), and Tonian Jiudingshan Formation in North China (8–12). 1, Coccoidal specimens of *Huroniospora* BARGHOORN in BARGHOORN & TYLER, 1965 and filamentous specimens of *Gunflintia* BARGHOORN in BARGHOORN & TYLER, 1965. Although *Gunflintia* was described as a multicellular filament (BARGHOORN & TYLER, 1965), most specimens do not preserve trichome structure and may be identified as *Siphonophycus*; 2, *Kakabekia* BARGHOORN in BARGHOORN & TYLER, 1965; 3, possibly *Eoastrian* BARGHOORN in BARGHOORN & TYLER, 1965; 4, *Archaeoellipsoides* HORODYSKI & DONALDSON, 1980; 5, *Eoentophysalis* HOFMANN, 1976; 6, *Polybessurus* GREEN & others, 1987; 7, *Myxococcoides* SCHOPF, 1968; 8, stromatolites consisting of filamentous *Siphonophycus* SCHOPF, 1968; 9–11, close-up views of *Siphonophycus* filaments, 9 being a magnification of 8 (dotted line box); 12, *Caryosphaeroides* SCHOPF, 1968 in the center, with coccoidal cells arranged in tetrads and enclosed in a common envelope. Note intracellular inclusions that were interpreted as degraded nuclei (SCHOPF, 1968; but see KNOLL & BARGHOORN, 1975). Also note *Siphonophycus* filaments co-occurring with *Caryosphaeroides*. Fig. 1.1–1.3 and 1.7–1.12, new; Fig. 1.4–1.6 courtesy of Andrew H. Knoll, previously published as fig. 10, 2 and 17, 4 in Sergeev, Knoll, & Grotzinger, 1995, and fig. 12, 5 in Knoll, Swett, & Mark, 1991, respectively. Color version available in *Treatise Online* 160 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

but cyanobacterial sheaths are much more resistant and can remain recognizable over longer time (GOLUBIC & BARGHOORN, 1977; BARTLEY, 1996). These experiments have been borne out by field observations showing the degraded but still recognizable cyanobacterial cells and sheaths in pigment-poor layers of modern microbial mats (GOMES & others, 2020), and they indicate that fossil mineralization must have occurred rapidly during early diagenesis in order to preserve cellular structures. Indeed, field observations of microbial silicification in modern hot spring sinters, which are widely regarded as modern taphonomic analogs of microbial silicification in Precambrian oceans, indicate that cyanobacterial and other microbes can be silicified shortly after death or even *in vivo* (RENAUT, JONES, & TIERCELIN, 1998), and that cyanobacterial sheaths are preferentially preserved through silica encrustation and permeation (RENAUT, JONES, & TIERCELIN, 1998; KONHAUSER & others, 2003). Mineralization experiments have also demonstrated that silica and clay minerals can coat on cyanobacterial sheaths, and silica can permeate cyanobacterial sheaths and cell walls, thus rapidly replicating cyanobacterial morphology in three dimensions (OEHLER & SCHOPF, 1971; WESTALL, BONI, & GUERZONI, 1995; TOPORSKI & others, 2002; NEWMAN & others, 2017). These encrustation and permeation processes may have been facilitated or accelerated by elevated silica concentrations in Precambrian seawaters and pore waters (MALIVA, KNOLL, & SIMONSON, 2005) and photosynthetic activity of cyanobacteria themselves (MOORE & others, 2020). Thus, it is not surprising that microbial silicification was common in Precambrian marine environments, but as biosilicification (e.g., in sponges, radiolarians, and diatoms) became more important and dissolved silica concentrations declined in Phanerozoic oceans (CONLEY & others, 2017), this taphonomic mode declined in and throughout the Phanerozoic, not only for bacterial silicification but for silicification in general (SCHUBERT, KIDDER, & ERWIN,

1997). Nor is it surprising that microbial silicification is common in hydrothermal settings (e.g., modern hot spring and Devonian Rhynie chert) where dissolved silica concentrations are high.

Yet silicification is not ubiquitous in all Precambrian marine environments. KNOLL (1985a) identified three sedimentary and geochemical factors that control microbial silicification: 1) sediment permeability, 2) silica availability in pore waters, and 3) local concentration of organic matter. It is possible that these factors can interact with each other to promote silicification. For example, the degradation of organic matter (and the partial degradation of organic substrates) can activate organic functional groups, thus facilitating the nucleation of silica. It can also drive down local pH values, thus promoting the precipitation of silica as the solubility of silica decreases with pH. These sedimentary and geochemical factors mean that silicification of microbes is environmentally restricted. Indeed, although there are notable exceptions (e.g., the Ediacaran Doushantuo Formation ZHANG & others, 1998; MUSCENTE, HAWKINS, & XIAO, 2015), most silicified microbial assemblages are preserved in either peritidal or hydrothermal environments (KNOLL, 1985a; KNOLL, 1985b; TREWIN, FAYERS, & KELMAN, 2003). As such, silicification provides a limited and probably biased view of the environmental and ecological ranges of prokaryotic microbes (KNOLL, 1985b; BUTTERFIELD & CHANDLER, 1992). Fortunately, this limitation is mitigated to some degree by other taphonomic modes, such as phosphatization and pyritization that are also known to preserve microbial fossils.

### PHOSPHATIZATION

Although a different fossil mineralization process, phosphatization is mechanistically similar to silicification, and fossiliferous phosphorites tend to be siliceous (YAO & others, 2005; DONG & others, 2009; SERGEEV, SCHOPF, & KUDRYAVTSEV, 2020). Like silicification, phosphate encrustation

and impregnation of organic substrates are key processes that are responsible for the three-dimensional preservation of microbial cell morphology (XIAO, ZHANG, & KNOLL, 1998; XIAO & SCHIFFBAUER, 2009). Unlike silicification, however, the phosphatization is largely restricted to subtidal environments (ZHANG & others, 1998; MUSCENTE, HAWKINS, & XIAO, 2015) and occurs mostly in the Ediacaran and the Phanerozoic (SCHIFFBAUER, WALLACE, & others, 2014; MUSCENTE & others, 2017). Phosphatized cyanobacteria, for example, are best known from Ediacaran-Cambrian strata, including the Ediacaran Doushantuo Formation in the South China Craton (Fig. 10) (ZHANG & others, 1998; YUAN, XIAO, & TAYLOR, 2005), the early Cambrian (Terreneuvian) Yurtus Formation in the Tarim Basin of northwestern China (YAO & others, 2005; DONG & others, 2009) and equivalent strata in the South China Craton (WANG & others, 1984; DONG & others, 2009; GUO, LI, & SHU, 2010), and the middle Cambrian (Guzhuangian) Alum Shale Formation in Sweden (CASTELLANI & others, 2018). In addition, many Phanerozoic coprolites and cololites contain micrometer-sized spherical and rod-shaped structures interpreted as bacteria (LAMBOY & others, 1994; COSMIDIS & others, 2013; PESQUERO & others, 2014), although some of these spherical structures may be alternatively interpreted as phosphatic granules that may have been present in the digestive guts of some invertebrate animals (BUTTERFIELD, 2002; HAWKINS & others, 2018).

Relative to silicification, taphonomic experiments of phosphatization have been less successful and mostly focused on invertebrate degradation and mineralization (BRIGGS & McMAHON, 2016). Degradation experiments indicate that animal cells and tissues can be pseudomorphed by heterotrophic microbes and microbial biofilms (RAFF & others, 2008; RAFF & others, 2013; BUTLER & others, 2015), thus helping to stabilize anatomical details to be phosphatized during subsequent fossil mineralization. However, the giant sulfur

bacterium *Thiomargarita* SCHULZ & others, 1999 subjected to similar experiments did not seem to be pseudomorphed by microbial biofilms during degradation (CUNNINGHAM & others, 2012). Mineralization experiments thus far are limited and have only been able to partially phosphatize invertebrate animals (WILBY & BRIGGS, 1997; MARTIN, BRIGGS, & PARKES, 2003; HIPPLER & others, 2011). To our knowledge, no mineralization experiments have been carried out on prokaryotic organisms, and this represents a key gap in the study of prokaryote phosphatization and an area for future research.

Exceptional preservation of microbial fossils through silicification and phosphatization depends on a delicate balance between rapid mineralization and over-mineralization. Over-mineralization results in thick mineral coats that bias and disguise microbial morphologies, making it difficult to recognize mineralized microfossils in microscopy, particularly when organic substrates, such as cell walls and sheaths are completely obliterated. This has been observed in modern hot spring sinters (JONES, RENAUT, & ROSEN, 2001; PENG & JONES, 2012) as well as phosphatized microbes in the Ediacaran Doushantuo Formation in South China (XIAO & SCHIFFBAUER, 2009).

The inhibition of post-mineralization recrystallization is also an integral part of exceptional preservation through phosphatization (XIAO & HOCELLA, 2017). The successful fossilization of microscopic prokaryotic organisms, in particular, is critically dependent on the maintenance of fossilization minerals at micrometers or even nanometers in size; this is analogous to the achievement of the highest resolution in digital imaging by the smallest pixels. Exceptionally phosphatized microfossils from the Ediacaran Doushantuo Formation (Fig. 10), for example, are replicated by apatite minerals of tens to hundreds of nanometers in size (XIAO & SCHIFFBAUER, 2009). It is not completely understood why these apatite nanocrystals were prevented from dissolution and then recrystallization to become

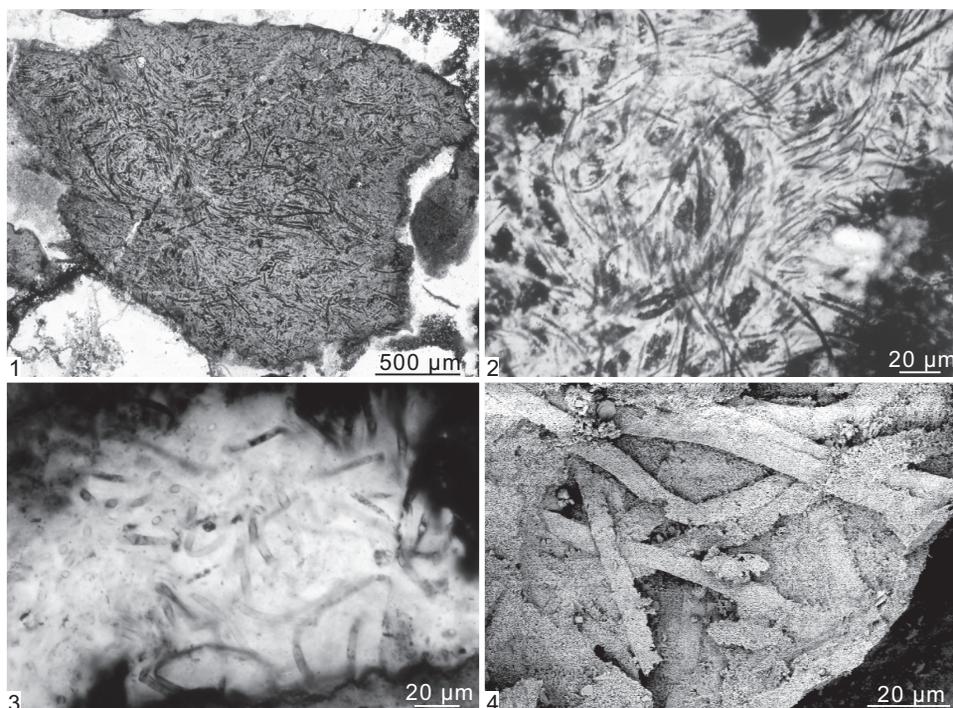


FIG. 10. Phosphatized *Siphonophycus* filaments from the Ediacaran Doushantuo Formation in South China. 1–3, thin section photomicrographs (new; photos taken by and courtesy of Lei Chen); 4, scanning electron microscopic (SEM) image (new; image by Shuhai Xiao). Color version of 1–3, *Treatise Online* 160 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

larger crystals. However, it is possible that the dissolution of phosphate nanocrystals in the size range of tens to hundreds of nanometers is self-suppressed or self-inhibited by the limited formation and growth of dissolution pits, the size of which is constrained by the nanocrystal size (TANG, NANCOLLAS, & ORME, 2001). This may be a fruitful area for future exploration of phosphatization (XIAO & HOCELLA, 2017).

### CALCIFICATION

Microbial calcification can occur as biologically controlled *in vivo* intracellular mineralization, biologically induced *in vivo* extracellular mineralization, or extrinsically induced *in vivo* or post-mortem extracellular mineralization. All three forms of mineralization can be found in cyanobacteria. Some cyanobacteria carry out biologically controlled mineralization and precipitate

intracellular carbonates (COURADEAU & others, 2012; BENZERARA & others, 2014), but thus far these cyano-bacterial biominerals are not known to be preserved and identified in the fossil record. More commonly, metabolic activities of cyanobacteria, particularly photosynthesis and carbon dioxide concentration mechanisms, promote an increase in local pH values and induce *in vivo* precipitation of calcium carbonate that impregnate the sheath (RIDING, 2006). This form of biologically induced mineralization results in extracellular sheath calcification and may be responsible for the preservation of the majority of calcified cyanobacterial fossils, such as *Girvanella* NICHOLSON & ETHERIDGE, 1878 (Fig. 11.1–11.2), *Epiphyton* BORNEMANN, 1886 (Fig. 11.3), and *Renalcis* VOLOGDIN, 1932. Finally, microbes can be entombed *in-vivo* or postmortem in carbonate deposits (Fig. 11.4–11.6) (KREMER & others,

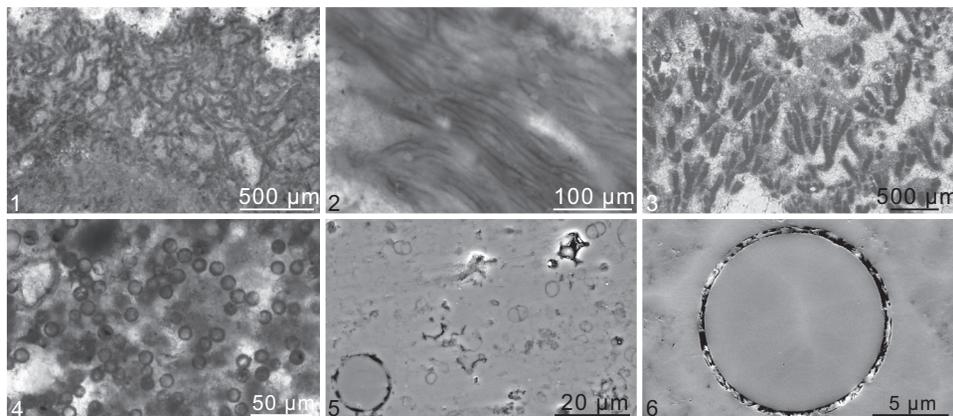


FIG. 11. Prokaryotic microfossils preserved in carbonate rocks. 1–2, *Girvanella* NICHOLSON & ETHERIDGE, 1878 from the Lower Ordovician Fenhshang Formation at the Liujiachang section, Songzi, Hubei Province, South China; 3, *Epiphyton* BORNEMANN, 1886 from Cambrian Stage 3, Zhangxia Formation in Laiwu, Shandong Province, North China. Both *Girvanella* and *Epiphyton* have been interpreted as calcified cyanobacteria (RIDING, 1991); 4–6, Coccoid microfossils, interpreted as methanogens on the basis of extremely high  $\delta^{13}\text{C}_{\text{carb}}$  values up to 20‰ of the host dolomite concretions from the Middle Permian lacustrine deposits of the Lucaogou Formation in Xinjiang, northwestern China (SUN & others, 2020). Note two size classes in 5, representing two different taxa. 1–4 are thin section photomicrographs and 5–6 are SEM images. Images 1–3, new; photos taken by and courtesy of Jianbo Liu; 4–6, courtesy of Funing Sun and Wenxuan Hu, previously published as fig. 2D, 2G, and 2F, respectively, in Sun & others, 2020. Color version of 1–4 in *Treatise Online* 160 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

2012; SUN & others, 2020)—including tufas, travertines, and speleothems whose precipitation is primarily driven by abiotic processes such as  $\text{CO}_2$  degassing, although it is not always possible to determine whether biological processes also play a secondary role in facilitating calcification (JONES & PENG, 2012; LI & others, 2013; JONES & PENG, 2014).

Microbial calcification is not uniformly distributed across geological time, sedimentary environments, and taxonomic groups. As calcification is critically dependent on carbonate supersaturation levels, it is not surprising that microbial calcification tends to be focused on tropical shallow marine realms, for example evaporitic, peritidal, and reefal or mud mound environments. In addition, because various microbial metabolisms have different impacts on the precipitation and dissolution of carbonate minerals (CANFIELD & RAISWELL, 1991), it is anticipated that different groups of microbes have different propensities to induce calcification. As mentioned earlier, photosynthesis and

carbon dioxide concentration mechanisms of cyanobacteria facilitate fossilization through calcification (RIDING, 2006). But calcified cyanobacterial fossils have a non-uniform distribution in warm shallow marine environments across geological history. Although they range from the Meso-Neoproterozoic (KNOLL, FAIRCHILD, & SWETT, 1993; TURNER, NARBONNE, & JAMES, 1993; KAH & RIDING, 2007) to the Cenozoic (ARP, REIMER, & REITNER, 2001), they are mostly concentrated in the Paleozoic and early Mesozoic (ARP, REIMER, & REITNER, 2001). Geochemical, atmospheric, and biological factors have been implicated as controlling factors for the non-uniform distribution of calcified cyanobacterial microfossils in marine environments. For example, RIDING (2006) proposed that  $\text{pCO}_2$  levels fell below  $\sim 0.4\%$  (or  $10\times$  present atmospheric level) at 750–700 Ma, driving the evolution of  $\text{CO}_2$ -concentrating mechanisms and facilitating *in vivo* calcification of cyanobacterial sheaths in the Neoproterozoic and Paleozoic. ARP, REIMER, and REITNER (2001)

suggested that the Paleozoic abundance of cyanobacterial calcification may be related to high calcium concentrations in Paleozoic oceans. Biological factors were in play too. KNOLL, FAIRCHILD, and SWETT (1993), for example, suggested that, whereas the rarity of cyanobacterial calcification in the Precambrian may be attributed to the abundance of micrite (e.g., whiting) that outcompeted cyanobacterial sheaths as nucleation sites for calcite overgrowth in the sediment, the post-Mesozoic decline of cyanobacterial calcification was due to the ecological rise of calcareous phytoplankton.

#### PYRITIZATION AND RELATED PRESERVATION MODES

Bacteria and archaea are key players in the sulfur cycle (EHRlich & NEWMAN, 2009). Thus, it is not surprising that they play direct and indirect roles in the precipitation of sulfur-bearing minerals. Some sulfide-oxidizing bacteria (e.g., *Beggiatoa* TREVISAN, 1842, *Thiomargarita* SCHULZ & others, 1999, and *Thioploca* LAUTERBORN, 1907) produce intracellular sulfur granules (EHRlich & NEWMAN, 2009; BAILEY & others, 2013). Although such sulfur granules are not supposed to be stable in geological time scales, filamentous microfossils from the Ediacaran Doushantuo Formation in South China contain sulfur-rich granules that are interpreted as intracellular sulfur granules produced by sulfide-oxidizing bacteria (BAILEY & others, 2013). More commonly, microbial sulfate reduction promotes the precipitation of pyrite, which can replicate microbes in the fossil record through pyritization; often, it is the organisms that are degraded by sulfate reducing microbes, rather than the sulfate reducing microbes themselves, that are pyritized (SCHIFFBAUER, XIAO, & others, 2014). Pyritized microfossils are common in the geological record (SCHOPF & others, 1965; RASMUSSEN, 2000; MOORE & others, 2017). In some pyritized filamentous microfossils (e.g., those from the Ediacaran Krol Group

in India; Fig. 12), pyrite crystals seem to precipitate within a tubular sheath, thus outlining the filamentous morphology but not faithfully replicating the diameter of the filaments until a full internal mold is formed. Thus, pyritization seems to be initiated within partially degraded filamentous microbes (perhaps after the degradation of trichomes but before the complete destruction of the sheath), and can proceed to form pyritic internal mold of microbes. Finally, microbial fossils can be replicated by gypsum (VAI & LUCCHI, 1977; SCHOPF & others, 2012), the precipitation of which is primarily driven by abiotic processes such as evaporation.

#### PRESERVATION OF BIOMINERALS PRODUCED BY MAGNETOTACTIC BACTERIA

A number of iron bacteria can produce biologically controlled and biologically induced biominerals (BAZYLINSKI & FRANKEL, 2003; FRANKEL & BAZYLINSKI, 2003). Magnetotactic bacteria, for example, produce intracellular minerals such as magnetite ( $\text{Fe}_3\text{O}_4$ ) and greigite ( $\text{Fe}_3\text{S}_4$ ) that can have distinct morphologies and crystallographic features (Fig. 13) (BAZYLINSKI & FRANKEL, 2003; LI & others, 2013, 2020). These distinct crystals allow their identification in the fossil record, and indeed fossil magnetotactic bacteria have been reported in Mesozoic and Cenozoic sediments (CHANG & KIRSCHVINK, 1989; KOPP & KIRSCHVINK, 2008).

Some iron bacteria can also produce biologically induced biominerals with distinct morphologies. For example, the iron bacteria *Gallionella* EHRENBERG, 1838 and *Mariprofundus* EMERSON & others, 2007 can produce extracellular ferric-oxyhydroxide stalks that are twisted, branched, or organized into ribbon-like bands (FRANKEL & BAZYLINSKI, 2003; CHAN & others, 2011; KREPSKI & others, 2013). Morphologically similar stalks have also been identified in the fossil record and interpreted as evidence for iron bacteria

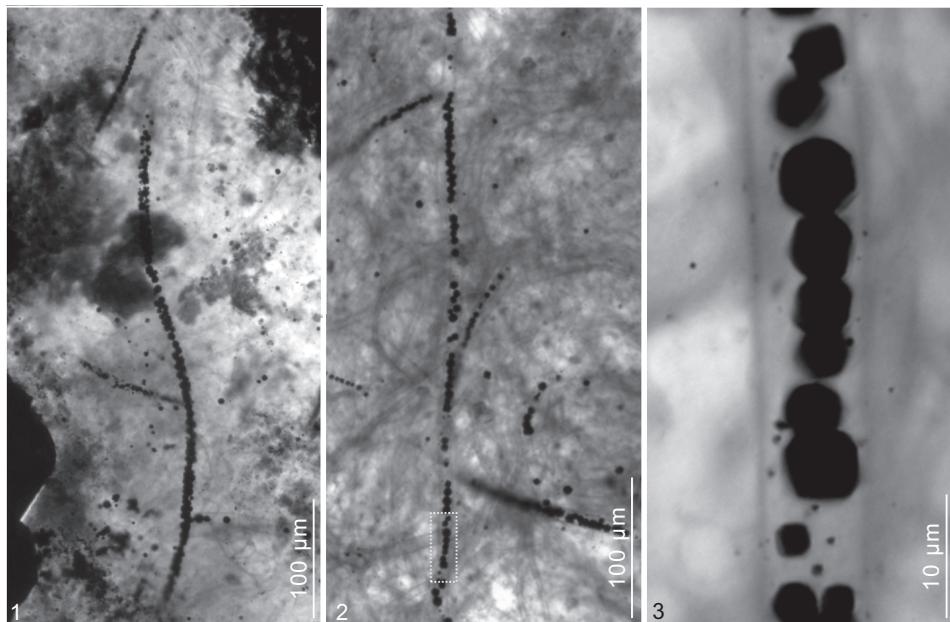


Fig. 12. Thin section photomicrographs of pyritized *Siphonophycus* filaments from the Ediacaran Krol Group in northern India. 3 is magnified view of 2 (yellow dotted-line box). Note that organic sheath is largely degraded in 1 and well preserved in 2–3. All images are new and were taken by Shuhai Xiao. Color version in *Treatise Online* 160.

(HOFMANN & others, 2008; KREPSKI & others, 2013; CROSBY, BAILEY, & SHARMA, 2014).

### CARBONACEOUS PRESERVATION

Although traces of carbonaceous material are commonly found in mineralized prokaryotic fossils, they are typically impregnated or penetrated by replicating minerals such as microquartz and apatite, so that extraction of coherent organic-walled microfossils using hydrofluoric (HF) digestion method is difficult. In contrast, carbonaceous preservation of prokaryotic fossils in fine-grained siliciclastic rocks may manifest as compressed organic-walled structures with little mineral permeation or impregnation (XIAO & others, 2002; CALLOW & BRASIER, 2009), and these fossils can be extracted from the rock matrix using hydrofluoric acid digestion methods without compromising their structural integrity (Fig. 14) (TANG & others, 2013; TANG & others, 2015). In addition to carbonaceous compressions, structurally recognizable organic residues of prokaryotic microbes can also be preserved

in ambers (POINAR, WAGGONER, & BAUER, 1993; WAGGONER, 1994; DÖRFELT, SCHMIDT, & WUNDERLICH, 2000; SCHMIDT & SCHÄFER, 2005). Finally, carbonaceous coccoids, filaments, and sheets have been reported on the basis of scanning electron microscopic observation of fractured rock surface (sometimes after acid etching), and these have been interpreted as fossil microbes or as extracellular polymeric substances (WESTALL & FOLK, 2003; DAI, SONG, & SHEN, 2004; ROZANOV & ASTAFIEVA, 2009; LAN & others, 2020), although it is a significant challenge to demonstrate their syngenicity (ALTERMANN, 2001; EDWARDS & others, 2006).

### TRACE FOSSILS

Some prokaryotic micro-organisms, particularly cyanobacteria, can bore into hard substrates and leave a trace fossil record (GOLUBIC, PERKINS, & LUKAS, 1975; COCKELL & HERRERA, 2008). Tunnels and galleries of tunnels interpreted as traces of euendolithic cyanobacteria have been reported from many phosphatic small shelly fossils from

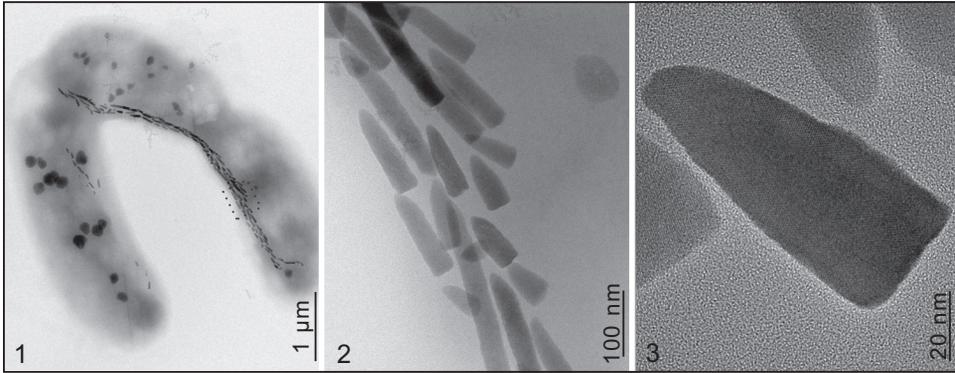


FIG. 13. Bright-field TEM (transmission electron microscopy) images (1–2) and high-resolution TEM image (3) of chains of straight bullet-shaped magnetite nanocrystals produced by extant magnetotactic deltaproteobacteria (strain WYHR-1) collected from Weiyang Lake, north of Xi'an city, Shaanxi Province, North China (Li & others, 2020). Images are new and courtesy of Jinhua Li.

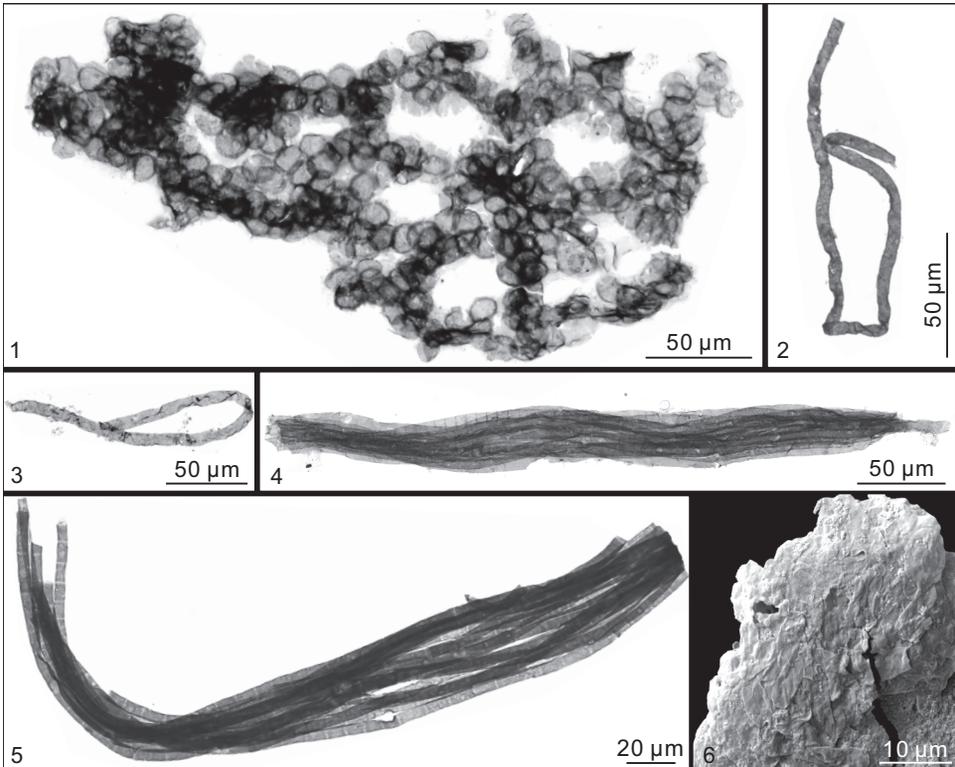


FIG. 14. Prokaryotic microfossils preserved as carbonaceous compressions in fine-grained sediments. 1, *Ostiana microcystis* HERMANN in TIMOFEEV, HERMANN, & MIKHAILOVA, 1976, a possible cyanobacterium (BUTTERFIELD, KNOLL, & SWETT, 1994); 2–3, *Siphonophycus typicum* (HERMANN, 1974; transferred to the genus *Siphonophycus* by BUTTERFIELD in BUTTERFIELD, KNOLL, & SWETT, 1994); 4–5, *Polytrichoides lineatus* HERMANN, 1974; 6, ellipsoidal cells of *Eosynechococcus moorei* HOFMANN, 1976. All specimens were extracted from shale samples using hydrofluoric acid digestion method. 1–4 are from the Tonian Liulaobei Formation in the North China Craton (TANG & others, 2013, fig. 5G, 13C, 13D, and 14A, respectively), and 5–6 are from the Tonian Gouhou Formation in the North China Craton (TANG & others, 2015, fig. 19E and 5B, respectively). Fig. 1–5 are transmitted light photomicrographs; 6 is an SEM image.

the Cambrian Period (RUNNEGAR, 1985; LI, 1997). These tunnels typically have smooth walls and a constant diameter along their length, but they are otherwise simple in morphology, and the distinction between cyanobacterial, fungal, and green algal borings can be difficult (GOLUBIĆ, PERKINS, & LUKAS, 1975). However, they can be easily differentiated from ambient pyrite trails in phosphorites and cherts, which are characterized by striated walls and commonly terminated by a pyrite grain (XIAO & KNOLL, 1999; SHE & others, 2016; YANG & others, 2017). They can also be easily differentiated from tubular structures in Paleoproterozoic pillow basalts that were controversially interpreted as putative bioerosional structures of early microbes (FURNES & others, 2004; STAUDIGEL & others, 2006).

### CHALLENGES IN THE INTERPRETATION OF PROKARYOTIC MICROFOSSILS

To unambiguously demonstrate the syngenicity, biogenicity, and affinity of purported prokaryotic microfossils is a significant challenge, particularly in the study of Precambrian micropaleontology because of the poor age constraints, difficulty in stratigraphic correlation, and simple (and sometimes exotic) morphologies of ancient microorganisms. This challenge is highlighted in the debate on the earliest traces of microbial life on Earth (BUICK, 1990; BRASIER & others, 2005; BRASIER & others, 2006; JAVAUX, 2019). Below, indigeneity, syngenicity, biogenicity, and affinity are discussed separately for clarity purpose, although these are often intimately related.

#### INDIGENICITY AND SYNGENICITY

Syngenicity refers to the provenance of the purported microfossils. Syngenetic microfossils must be indigenous; they should be demonstrated to be enclosed within and thus have the same age of the host rock, rather than later contaminants. Contami-

nants can be introduced in the geological past, in the field, or in the laboratory (CLOUD & MORRISON, 1979). In early studies of Precambrian microfossils, there were numerous cases of contamination. Such examples included modern chasmoliths or extracellular polysaccharide strands, seemingly indigenous as they pass beneath mineral grains in sediment (CLOUD & MORRISON, 1979). Other examples involved modern fungal spores and hyphae that were introduced in the field and laboratory, particularly when samples were processed using acid digestion methods. MENDELSON and SCHOPF (1992) provided a comprehensive assessment of these contaminants.

An accepted criterion for indigeneity is to demonstrate—typically through petrographic observation of thin sections cut from freshly collected rock samples—that the purported microfossils are encased in rock matrix. In order to confirm syngenicity in thin sections, care must be taken to distinguish whether the purported microfossils were buried in the rock matrix at the time of deposition or are embedded in secondary cements/crystals that fill voids, fractures, veins, dikes, or volcanic vesicles (i.e., amygdaloids). In the latter case, the secondary cements/crystals should be independently dated because they can be markedly younger than the host rock. This can be achieved through relative dating using cement stratigraphy and cross-cutting relationships (ZHOU & others, 2015; GAN & others, 2021), analysis of mineral assemblages tied to dated metamorphic events (BENGTSON & others, 2017), or (when carbonaceous material is available) Raman spectroscopic analysis of carbonaceous material to determine maximum metamorphic temperatures (SCHOPF & others, 2005; SCHIFFBAUER & others, 2007; JAVAUX, MARSHALL, & BEKKER, 2010).

#### BIOGENICITY

Biogenicity refers to the biological origin of the purported microfossils. It should be

emphasized that, to prove biogenicity, the morphologies of the microfossils must be shown to be biological in origin. This is a distinction between morphological and chemical biosignatures. For example, a pyrite concretion may preserve chemical biosignatures because its sulfur isotopic composition indicates the involvement of microbial sulfate reduction, but this by itself does not offer evidence for a biological origin of the pyrite concretion.

CLOUD (1965, p. 27) argued that the null hypothesis in Precambrian micropaleontology should be that purported microfossils be initially regarded as abiotic in origin. He wrote, "... in considering what we may accept as unequivocal Precambrian fossils, the crucial point is not whether materials observed might conceivably be of vital origin, but whether they could have been produced by non-vital processes; and, if not, whether they are sure endemic to authentic Precambrian rocks." Only after an abiotic origin can be ruled out and syngenicity is confirmed can Precambrian microfossils be accepted. This restrictive approach is necessary because of the possibility of biomorphs that are abiotic in origin but morphologically mimic microfossils (GARCÍA-RUIZ & others, 2003; JAVAUX, 2019) and also because of the profound ramifications of false positives in the study of Precambrian (particularly Archean) microfossils.

In early debates on putative microfossils from the Paleoproterozoic Warrawoona Group in Western Australia, BUICK (1990) proposed a seven-point test to assess their syngenicity and biogenicity. He argued that *bona-fide* microfossils should be observed in petrographic thin sections, preserved in sedimentary rocks or low-grade metasediments, no smaller than the smallest extant modern microbes (i.e.,  $>0.01 \mu\text{m}^3$ ), comprised of kerogen, part of a larger population of similar morphologies, hollow structures, and show cellular elaborations. Subsequently, a number of authors proposed additional criteria to assess the morphology, ontogeny, metabolism, behavior, tapho-

nomy, chemistry, and geological context of purported microfossils (SCHOPF & others, 2010; BRASIER & WACEY, 2012; ROUILLARD & others, 2018; JAVAUX, 2019; ROUILLARD & others, 2021). For example, *bona fide* microfossils should have a stable species-specific morphology with a unimodal size distribution and would exhibit evidence of development (e.g., cell division and development of branching filaments), distinct cell wall ultrastructures, taphonomic degradation (e.g., degradation of cytoplasm, deflation of cell vesicles, and deformation of cell walls and sheaths), ecological interactions (e.g., aggregations and attachment to substrates), and metabolic activities (e.g., organic C and N isotope signatures, trace metal enrichment) (LEPOT, 2020).

Recent exploration of ancient microfossils have pushed the envelope beyond the preservation of organic-walled structures in sedimentary rocks as stipulated by BUICK (1990). Coccolidal, rod-shaped, and filamentous structures preserved in igneous rocks, sometimes with no traces of organic walls, may represent evidence for ancient life, including both prokaryotes and eukaryotes (Fig. 15.1) (BENGTSON & others, 2017; IVARSSON & others, 2020). More controversial are micrometer-sized titanite filaments or microtextures in altered volcanic glass of Paleoproterozoic pillow basalts that have been interpreted as bioerosional structures or trace fossils produced by chasmoendolithic and euendolithic microbes (Fig. 15.2) (FURNES & others, 2004; STAUDIGEL & others, 2006) and micrometer-sized hematitic tubular structures from  $>3.77$  Ga ferruginous sedimentary rocks in the Nuvvuagittuq supracrustal belt in Canada that are regarded as putative microfossils, possibly representing iron-oxidizing bacteria (Fig. 15.3) (DODD & others, 2017). Given that inorganic and morphologically simple tubes and spheres can be produced abiotically (GARCÍA-RUIZ & others, 2003; GARCÍA-RUIZ & others, 2017; MCMAHON, 2019), extra efforts must be made to affirm the biogenicity of these purported microfossils, and alternative

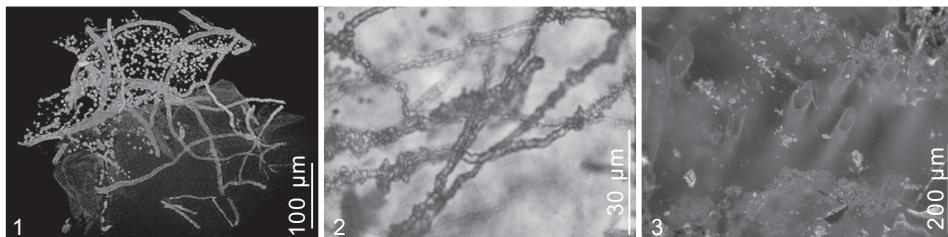


FIG. 15. Coccoidal, filamentous, and tubular structures with no preservation of organic walls. 1, synchrotron-based X-ray tomographic rendition of coccoidal structures (interpreted as unicellular prokaryotes) suspended in filamentous cobweb-like structures (interpreted as fungal hyphae) from Koko Seamount (Ivarsson & others, 2020, fig. 4C); 2, titanite microtextures from the ~3350 Ma Euro Basalt in Western Australia (see McLoughlin & others, 2020) (new; image by Nicola McLoughlin); 3, hematitic tubes in chert from jasper banded iron formation in hydrothermal vent deposits of the Nuvvuagittuq Supracrustal Belt (NSB) in Québec, Canada, constrained between ~3750 and ~4280 Ma (Dodd & others, 2017, fig. 2e). Photographed in a one-cm-thick polished slab under dark-field reflected light. 1 is courtesy of Magnus Ivarsson and Stefan Bengtson; 2 courtesy of Nicola McLoughlin; and 3 courtesy of Matthew Dodd and Dominic Papineau. Color version available in *Treatise Online* 160 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

abiogenic origins must be ruled out before they can be considered evidence for ancient life (STAUDIGEL & others, 2008; GROSCH & McLoughlin, 2014; McMAHON, 2019; McLoughlin & others, 2020). Controversies notwithstanding, igneous rocks and inorganic preservation may represent underexplored archives of microbes in deep time and deep Earth (IVARSSON & others, 2020).

### AFFINITY

With syngenicity and biogenicity established, the next challenge is to assess the affinity of the microfossils—whether they are prokaryotes or eukaryotes, and which group of prokaryotes they belong to. The most common microfossils are filaments, bacilloids, and coccoids, but these morphotypes occur in both eukaryotes and prokaryotes. To complicate interpretations further, subcellular structures such as melanosomes can be superficially similar in size and shape to bacilloidal and coccoidal bacteria (MOYER & others, 2014; VINTHER, 2015), although they are less relevant in the study of Precambrian microfossils. Eukaryotic cells are typically larger than prokaryotic cells, but there is a significant overlap (SCHOPF, 1992b; PANG & others, 2018). Thus, cell size is a suggestive but inconclusive criterion. Other morphological features, such as branching filaments, fused filaments, anastomosed

filaments, coccoidal diads and tetrads, cell differentiation, and cell wall ornaments can be useful in distinguishing eukaryotic from prokaryotic microfossils. Typically, eukaryotic cells are morphologically more complex than prokaryotic cells. However, many of the features listed above may occur in bacterial cells. For example, actinobacteria can develop branching filaments and some of them (e.g., *Streptomyces* WAKSMAN & HENRICI, 1943) have been reported to form anastomosis of network (ERIKSON, 1949; GREGORY, 1956). A number of cyanobacteria can develop branching filaments (e.g., *Fischerella* GOMONT, 1895), coccoidal diads and tetrads (e.g., *Chroococcus* NÄGELI, 1849 and *Gloeocapsa* KÜTZING, 1843), and morphologically and functionally differentiated cells (e.g., heterocysts and akinetes in *Anabaena* BORY *ex* BORNET & FLAHAULT, 1886a) (CASTENHOLZ, 2001). Thus, these features are not exclusively eukaryotic, and only more complex features such as spinose cell wall ornaments, differentiated holdfast, apical meristem, and parenchymatous thallus are regarded diagnostic characters for eukaryotes (KNOLL & others, 2006). Cell wall ultrastructures can also be useful. For example, the trilaminar structure with two electron-dense layers around a thicker electron-tenuous layer is said to be characteristic of eukaryotic cell walls (JAVAUX,

KNOLL, & WALTER, 2004; MOCZYDŁOWSKA, SCHOPF, & WILLMAN, 2010), although cell wall ultrastructures of modern eukaryotes and prokaryotes have not been thoroughly surveyed. Geochemical evidence can also be used to infer the prokaryotic versus eukaryotic affinities of microfossils. For example, combined micro-FTIR (Fourier-transform infrared spectroscopy) and Raman spectroscopic data—that is, FTIR CH<sub>3</sub>/CH<sub>2</sub> absorbance ratio and Raman I-1350/I-1600 ratio of carbonaceous material—may be useful in distinguishing prokaryotic from eukaryotic microfossils (IGISU & others, 2009; QU & others, 2015; QU & others, 2018; BONNEVILLE & others, 2020), although diagenetic and thermal alteration of these parameters has not been completely understood (IGISU & others, 2018). As another example, methanogenic archaea can generate large carbon isotope fractionations that can be preserved in the geological record (STUEKEN & others, 2017; LEPOT, 2020). The assignment of prokaryotic microfossils to the various phylogenetic and physiological groups is another major challenge; but ecological, morphological, and chemical comparison with modern prokaryotic groups can provide some insights. This is discussed below for selected groups of prokaryotic microfossils.

## SELECTED GROUPS OF PROKARYOTIC MICROFOSSILS CYANOBACTERIA

Modern cyanobacteria consist of five morphological groups (CASTENHOLZ, 2001). Subsection I includes unicellular/colonial cyanobacteria that reproduce by binary fission (e.g., *Prochlorococcus* CHISHOLM & others, 1992, *Synechococcus* NÄGELI, 1849, *Gloeocapsa*, *Entophysalis* KÜTZING, 1843, *Chroococcus*). Subsection II includes unicellular/colonial cyanobacteria that reproduce by internal multiple fissions and formation baeocytes (e.g., *Pleurocapsa* THURET in HAUCK, 1885, *Hyella* BORNET & FLAHAULT, 1888). Subsection III (e.g., *Lyngbya* AGARDH

*ex* GOMONT, 1892b, *Microcoleus* DESMAZIÈRES *ex* GOMONT, 1892a, *Oscillatoria* VAUCHER *ex* GOMONT, 1892b, *Spirulina* TURPIN *ex* GOMONT, 1892b, *Trichodesmium* EHRENBERG *ex* GOMONT, 1892b) and Subsection IV (e.g., *Anabaena*, *Nostoc* VAUCHER *ex* BORNET & FLAHAULT, 1886a, *Calothrix* AGARDH *ex* BORNET & FLAHAULT, 1886b) are both characterized by uniseriate and unbranched trichomes produced by binary fission in one plane, but the latter have differentiated cells (e.g., specialized N<sub>2</sub>-fixing heterocysts and resting akinetes). Subsection V is characterized by multiserial or branching trichomes produced by binary fission in more than one plane, with some members having differentiated heterocysts (e.g., *Stigonema* AGARDH *ex* BORNET & FLAHAULT 1886c, *Fischerella*). Recent Phylogenetic analyses indicate that Subsections IV and V are monophyletic groups, whereas the other three are paraphyletic (SÁNCHEZ-BARACALDO, 2015; SCHIRMEISTER, GUGGER, & DONOGHUE, 2015).

Cyanobacteria play a major role in modern ecosystems and in the global carbon and oxygen cycles. The cyanobacteria *Prochlorococcus* and *Synechococcus* are the most abundant photosynthetic organisms in modern oceans, accounting for about 10% of the total ocean picoplankton cells in the euphotic zone and responsible for as much as 25% of ocean net primary productivity (FLOMBAUM & others, 2013). A single cyanobacterial genus, *Trichodesmium*, is responsible for nearly 50% of global marine N<sub>2</sub> fixation (SOHM, WEBB, & CAPONE, 2011; BERGMAN & OTHERS, 2013). Benthic cyanobacteria are also important sedimentary agents. They build microbial mats and stromatolites (STAL, 2012), stabilize sediments (NOFFKE, 2010), and perform bioerosion and biodegradation (GOLUBIC, PIETRINI, & RICCI, 2015). Cyanobacteria also played a transformative role in Earth history. The origin of oxygenic photosynthesis in a common ancestor of cyanobacteria is the geobiological foundation of the Great Oxidation Event and the origin of photosynthetic eukaryotes (KNOLL, 2008). Thus, it is expected that

cyanobacteria should be richly archived in the geological record. Indeed, they are the most common and widespread prokaryotic microfossils in the geological record, and some of the Precambrian microfossils first reported in the literature were compared and identified with cyanobacteria (BARGHOORN & TYLER, 1965; CLOUD, 1965).

A number of researchers have reviewed Precambrian cyanobacterial microfossils from different perspectives (KNOLL & GOLUBIC, 1992; GOLUBIC & LEE, 1999; SCHOPF, 2012; SERGEEV, SHARMA, & SHUKLA, 2012; KNOLL, 2016; SCHIRRMEISTER, SANCHEZ-BARACALDO, & WACEY, 2016; DEMOULIN & others, 2019). The identification of cyanobacterial microfossils is based on their combined morphologic, taphonomic, paleoecological, paleoenvironmental, and behavioral features that are considered with modern counterparts (KNOLL & GOLUBIC, 1992; GOLUBIC & LEE, 1999). Relative to other bacteria, cyanobacteria are typically larger in size and more complex in morphologies, some have sheaths, many are associated with stromatolites, and they commonly live in the photic zone or shallow marine environments where silicification occurs, although there are aspects of morphological and ecological convergences between cyanobacteria and some mat-forming sulfide-oxidizing bacteria. Some purported cyanobacterial fossils are morphologically simple. Examples include micrometer-sized coccoids such as *Myxococoides* SCHOPF, 1968 (Fig. 9.7) and tubular filaments such as *Siphonophycus* SCHOPF, 1968 (Fig. 9.9–9.12; Fig. 10.4). Their cyanobacterial interpretation is primarily based on their preservation, sometimes in life position (Fig. 9.8–9.9) in stromatolitic laminae (GOLUBIC & LEE, 1999; CAO, YUAN, & XIAO, 2001). It is assumed that these stromatolites were likely constructed by cyanobacteria. Others have a combination of morphologies and ecologies that support a cyanobacterial interpretation. These include *Eoentophysalis* HOFMANN, 1976 with colonial coccoidal cells forming microbial crusts (Fig. 9.5); *Eohyella* ZHANG

& GOLUBIC, 1987 being euendolithic and psuedofilamentous; and *Polybessurus* GREEN & others, 1987, with a stalk consisting of stacked cup-like gelatinous material (Fig. 9.6). Still others are character-rich and have distinctive, if not diagnostic, cyanobacterial features such as fossilized akinetes. The co-occurrence of *Archaeoellipsoides* HORODYSKI & DONALDSON, 1980 and *Filiconstrictosus* SCHOPF & BLACIC, 1971—which are interpreted as akinetes and short-trichome germlings, respectively—from the Mesoproterozoic Billyakh Group in Siberia provides a plausible case for fossil akinetes (GOLUBIC, SERGEEV, & KNOLL, 1995; SERGEEV, KNOLL, & GROTZINGER, 1995). Akinetes also occur in the Tonian fossil *Anhuithrix* PANG & others, 2018, and both akinetes and heterocysts have been reported in the Devonian microfossils *Langiella* CROFT & GEORGE, 1959 and *Kidstoniella* CROFT & GEORGE, 1959. These features facilitate morphological comparisons with modern cyanobacteria, where akinetes and heterocysts occur only in Subsections IV–V (CASTENHOLZ, 2001; UYEDA, HARMON, & BLANK, 2016, fig. S7). Various ecological and morphological comparisons have been proposed for a number of well-known cyanobacterial fossils (Table 1, p. 48–49), many of which were named after their modern counterparts (SCHOPF, 1994; KNOLL, 2016). Accepting the interpretations presented in Table 1, all five cyanobacterial subdivisions are represented in the fossil record.

When did cyanobacteria first evolve? This question can be addressed from the perspectives of molecular clocks, geochemical signatures, and fossils, but currently available data do not provide a tight constraint on this important evolutionary event. Molecular clocks give divergent results, with the estimated divergence time of crown-group cyanobacteria ranging widely from more than 3600 Ma to less than 2000 Ma, with very large error bars (SCHIRRMEISTER, GUGGER, & DONOGHUE, 2015; SHIH & others, 2017; see summary in DEMOULIN & others, 2019; GARCIA-PICHEL & others,

2019). Stable carbon isotope signatures of Archean organic carbon are consistent with but are not uniquely diagnostic of cyanobacterial metabolism (DEMOULIN & others, 2019), although LYONS, REINHARD, AND PLANAVSKY (2014) argue that the total organic carbon content in Archean shales presents strong evidence for oxygenic photosynthesis (and perhaps cyanobacteria) before the Great Oxidation Event at 2320–2450 Ma (BEKKER & others, 2004; HOLLAND, 2006; LUO & others, 2016). The report of 2-methylhopanoids—which were regarded as a biomarker of cyanobacteria—from the ~2700 Ma Jeerinah Formation in Western Australia (BROCKS & others, 1999) was later shown to be compromised by contaminations (RASMUSSEN & others, 2008; FRENCH & others, 2015), leaving the 1.64 Barney Creek Formation in Western Australia as the oldest known unit to contain appreciable amount of 2-methylhopanoids (SUMMONS & others, 1999; BROCKS & others, 2005). More recent studies, however, have brought uncertainty to the interpretation of 2-methylhopanoids as a cyanobacterial biomarker; it seems that 2-methylhopanoids can also be produced by diverse alphaproteobacteria, including the anoxygenic purple nonsulfur phototroph *Rhodospseudomonas palustris* (RASHBY & others, 2007) and the nitrifying bacterium *Nitrobacter vulgaris* (ELLING & others, 2020). Thus, it is possible that the biochemical capability to synthesize 2-methylhopanoids may have a broader phylogenetic distribution and a deeper evolutionary history than cyanobacteria. More convincing biomarker evidence for cyanobacteria comes from fossil porphyrins, coupled with compound-specific nitrogen isotope data, from the ~1100 Ma El Mreïti Group in the Taoudeni Basin of Mauritania in northwestern Africa (GUENELI & others, 2018).

The Archean micropaleontological record is sparse and intensely debated. Various microfossils have been reported from the ~3400–3500 Ma Warrawoona Group and Strelley Pool Formation in Western Australia (SCHOPF, 2006a; SCHOPF, 2006b; SUGI-

TANIA & others, 2013), and some have been compared with and interpreted as cyanobacteria (AWRAMIK, SCHOPF, & WALTER, 1983; SCHOPF & PACKER, 1987; SCHOPF, 1993), although their biogenicity is a continual debate (BUICK, 1984; BRASIER & others, 2002; WACEY, EILOART, & SAUNDERS, 2019). More convincing Archean and early Paleoproterozoic filamentous microfossils have been known from ~3235 Ma volcanogenic massive sulfide deposit in Sulfur Spring Group (RASMUSSEN, 2000) and the 2450–2210 Ma Kazput Formation of the Turee Creek Group in Western Australia (SCHOPF & others, 2015; FADEL & others, 2017; BARLOW & KRANENDONK, 2018), but none of these have been interpreted as cyanobacterial filaments. Filamentous microfossils described as *Siphonophycus transvaalensis* BEUKES, KLEIN, & SCHOPF in KLEIN, BEUKES, & SCHOPF, 1987 from the ~2500 Ma Gamoha Formation and the ~2600 Ma Campbellrand Group of the Transvaal Supergroup in South Africa are among the oldest microfossils that have been interpreted as cyanobacteria (KLEIN, BEUKES, & SCHOPF, 1987; ALTERMANN & SCHOPF, 1995), but the simple morphology of *Siphonophycus* (see Fig. 9.9–9.12, Fig. 10, Fig. 12, Fig. 14.2–14.3) means that this interpretation is open to scrutiny. Indeed, among the genera listed in Table 1, only *Eoentophysalis* (Fig. 9.5), *Eohyella*, and *Polybessurus* (Fig. 9.6) are regarded as uncontested cyanobacteria (DEMOULIN & others, 2019), although several others are likely or probable cyanobacteria when additional paleoenvironmental and taphonomic conditions are considered together with morphological features (KNOLL, 2016). As such, *Eoentophysalis belcherensis* HOFMANN, 1976 from the 2015–2018 Ma Belcher Supergroup in Canada (HOFMANN, 1976; HODGSKISS & others, 2019) represents the oldest unequivocal cyanobacterial fossil and provides a minimum age constraint on cyanobacterial divergence (Fig. 16).

Stromatolites have been reported from a number of Archean successions. Putative stromatolites are known from the

Table 1. Selected microfossils that have been interpreted as cyanobacteria. With the exception of *Anhuithrix* PANG & others, 2018, most are a few to a few tens of micrometers in cell/trichome diameter/width. See DEMOULIN & others (2019) for a more complete list of occurrences.

Fossil genus	Proposed cyanobacterial features	Oldest occurrence	Proposed modern analogs	Cyanobacteria?
<i>Eosynechococcus</i> Hofmann, 1976 (Fig. 6.6)	Rod-shaped cells, no sheath, sometimes two cells attached end-to-end, indicating symmetrical transverse binary fission in a single plane	2015–2018 Ma Belcher Supergroup, Canada (Hofmann, 1976; Hodgskiss & others, 2019)	<i>Synechococcus</i> , Subsection I	Probable
<i>Gloeocapsomorpha</i> Zalessky, 1917	Nested planar cell aggregates surrounded by multilaminated sheaths	Middle Ordovician oil shale, Baltic Shale Basin, Estonia (Zalessky, 1917; Foster, Reed, & Wicander, 1989)	<i>Gloeocapsa</i> & <i>Entophysalis</i> , Subsection I	Possible
<i>Eoentophysalis</i> Hofmann, 1976	Layers or crusts consisting of solitary cells, paired cells, planar tetrads, or irregular clusters of cells embedded in multilaminated sheaths	2015–2018 Ma Belcher Supergroup, Canada (Golubic & Hofmann, 1976; Hodgskiss & others, 2019)	<i>Entophysalis</i> , Subsection I	Likely
<i>Palaeopleurocapsa</i> Knoll, Barghoorn, & Golubic, 1975.	Sheathed pseudofilamentous cell packets	~800 Ma Skilloogalee Dolomite, Adelaide Geosyncline, southern Australia (Knoll, Barghoorn, & Golubic, 1975).	<i>Pleurocapsa</i> , Subsection II	Probable
<i>Eohyella</i> Zhang & Golubic, 1987	euendolithic pseudofilamentous cyanobacterium	~1625 Ma Dahongyu Formation, North China (Zhang & Golubic, 1987)	<i>Hyella</i> , Subsection II	Likely
<i>Polybessurus</i> Green & others, 1987 (Fig. 1.6)	Spherical cell subtended by a cylindrical stalk consisting of stacked cup-like envelopes and may have reproduced by baeocytes	~1200 Ma Avzyan Formation, Ural Mountains, Russia (Sergeev, 1994); ~1050 Ma Uluksan Group (Kah & Knoll, 1996; Gibson & others, 2018); Tonian Eleanor Bay Supergroup in eastern Greenland (Green & others, 1987); Tonian Draken Formation in Svalbard (Knoll, Swett, & Mark, 1991)	<i>Cyanostylon</i> , Subsection II	Likely
<i>Palaeolyngbya</i> Schopf, 1968	Cellular trichome singularly enclosed in sheath	Tonian (~825 Ma) Bitter Springs Group, Australia (Schopf, 1968; Normington & others, 2019)	<i>Lynngbya</i> , Subsection III	Probable
<i>Oscillatoriopsis</i> Schopf, 1968	Unsheathed uniseriate trichome, cells wider than long, slightly differentiated apical cells	Tonian (~825 Ma) Bitter Springs Group, Australia (Schopf, 1968; Normington & others, 2019)	<i>Oscillatoria</i> , Subsection III	Probable
<i>Obruchevella</i> Reitlinger, 1948	Helical tubular filaments	~1560 Ma Gaoyuzhuang Formation, North China (Shi & others, 2017)	<i>Spirulina</i> , Subsection III	Possible

Table 1 continued on next page

Fossil genus	Proposed cyanobacterial features	Oldest occurrence	Proposed modern analogs	Cyanobacteria?
<i>Siphonophycus</i> Schopf, 1968 (Fig. 1.9–1.12, 2.4)	Tubular filament interpreted as cyanobacterial sheaths; form genus	~2600 Ma Campbellrand Group (Altermann & Schopf, 1995) and ~2500 Ma Gamohaana Formation (Klein, Beukes, & Schopf, 1987), both of Transvaal Supergroup, South Africa	Tubular sheath of Subsection III filaments	Probable
<i>Eoschizothrix</i>	Sheathed multi-trichomous filaments	~1560 Ma Gaoyuzhuang Formation, North China Craton (Lee & Golubic, 1998)	<i>Microcoleus</i> & <i>Schizothrix</i> Subsection III	Probable
<i>Archaeoellipsoides</i> Horodyski & Donaldson, 1980 (Fig. 1.4)	Large (~100 µm) elongate sausage-shaped vesicles interpreted as isolated akinetes, sometimes co-occurring with short trichomes interpreted as germlings (Sergeev, Knoll, & Grotzinger, 1995)	(?) ~2100–2040 Ma Francevillian Group (Amaral & Beertrand-Sarfati, 1997); ~1560 Ma Gaoyuzhuang Formation, North China Craton (Shi & others, 2017); 1653–1647 Ma McArthur Group, Australia (Tomtani & others, 2006); 1400–1500 Ma Billyakh Group, Siberia (Golubic, Sergeev, & Knoll, 1995; Sergeev, Knoll, & Grotzinger, 1995; Gorokhov & others, 2019); ~1400 Ma Dismal Lake Group, Canada (Horodyski & Donaldson, 1980)	Akinetes of Member IV cyanobacteria	Likely
<i>Veteronostocale</i> Schopf & Blacic, 1971	Unsheathed uniseriate trichome with rounded cells, no apical attenuation	Tonian (~825 Ma) Bitter Springs Group, Australia (Schopf & Blacic, 1971; Normington & others, 2019)	<i>Nostoc</i> , Subsection IV according to Schopf & Blacic (1971)	Probable
<i>Anhuithrix</i> Pang & others, 2018	Unbranched, uniseriate trichomes with sheathed vegetative cells and akinetes	Tonian Liulaobei Formation, North China (Pang & others, 2018)	<i>Anabaena</i> & <i>Nostoc</i> , Subsection IV	Likely
<i>Langiella</i> Croft & George, 1959 & <i>Kidstoniella</i> Croft & George, 1959	Branching trichomes with sheathed cells as well as differentiated heterocysts and (in <i>Langiella</i> ) akinetes	Early Devonian (~400–412 Ma) Rhynie Chert, Scotland (Croft & George, 1959)	<i>Stigonema</i> , Subsection V	Likely

~3470 Ma Dresser Formation in Western Australia (Fig. 17.1) (BUICK, DUNLOP, & GROVES, 1981). Conical stromatolites from the ~3430 Ma Strelley Pool Formation in Western Australia (Fig. 17.2) are regarded as biosedimentary structures (HOFMANN & others, 1999; ALLWOOD & others, 2006), possibly related to cyanobacterial activities (SCHOPF, 2012). More convincing evidence for cyanobacterial metabolism comes from disrupted stromatolitic laminae due to bubble formation related to oxygenic photosynthesis (BOSAK & others, 2009);

such evidence first appears in stromatolites from the ~2700 Ma Tumbiana Formation in Western Australia (Fig. 17.3). Consistent with this inference, limited evidence for Fe and S cycling in strata hosting the Tumbiana stromatolites indicates photoautotrophy using water rather than iron or sulfur as electron donors (BUICK, 1992; STUEKEN & others, 2017). Overall, microfossils and stromatolites indicate that cyanobacteria may have diverged between 2700 Ma and 2000 Ma. If one accepts that the origin of cyanobacteria must predate the Great Oxidation Event (BEKKER

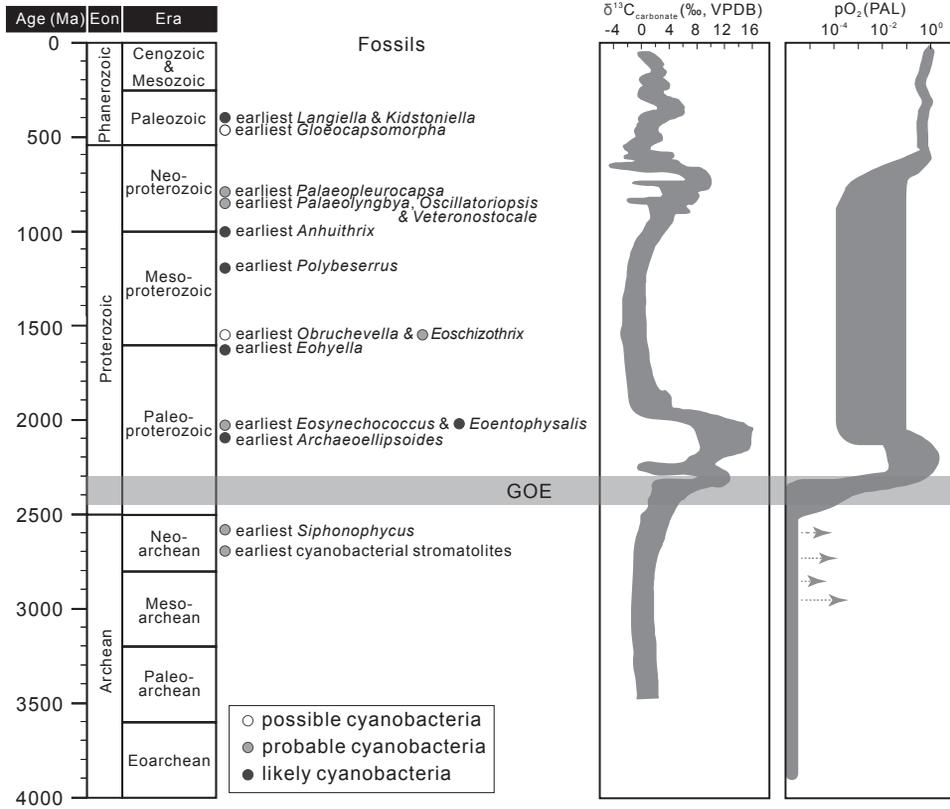


FIG. 16. Geological distribution of cyanobacterial microfossils. Hollow, gray, and solid circles represent the oldest known occurrence of possible, probable, and likely cyanobacterial microfossils. Purple bar represents the Great Oxidation Event (GOE) (adapted from Xiao & Tang, 2018 and Demoulin & others, 2019, and based on data in Table 1).

& others, 2004; HOLLAND, 2006; LUO & others, 2016), this window can be further narrowed to be 2700–2450 Ma (Fig. 16).

**NON-CYANOBACTERIAL MICROBES**

The identification of non-cyanobacterial microbes in the geological record is usually based only on geochemical data (e.g., carbon, iron, and sulfur isotopes) indicative of specific physiology or metabolism (e.g., STUEKEN & others, 2017; LEPOT, 2020). Thus, unlike cyanobacterial fossils, these inferred physiologies—because of their diverse phylogenetic distributions—do not define monophyletic groups. For example, iron oxidation (EMERSON, FLEMING, & MCBETH, 2010), dissimilatory iron reduction

(LOVLEY, 2013), dissimilatory sulfate/sulfur reduction (CANFIELD & RAISWELL, 1999), and methanotrophy (HANSON & HANSON, 1996; KNITTEL & others, 2005) occur in both bacteria and archaea. And methanogenesis occurs in multiple archaeal groups (LYU & LIU, 2018). Nonetheless, there are reports of body fossils of non-cyanobacterial prokaryotes, and their interpretations are sometimes based on characteristic morphological features and aided by geochemical data. These are briefly described below.

**IRON-METABOLIZING MICROBES**

Iron is involved in the metabolism of diverse bacteria and archaea, including dissimilatory Fe<sup>3+</sup> reducing or Fe<sup>3+</sup> respiring

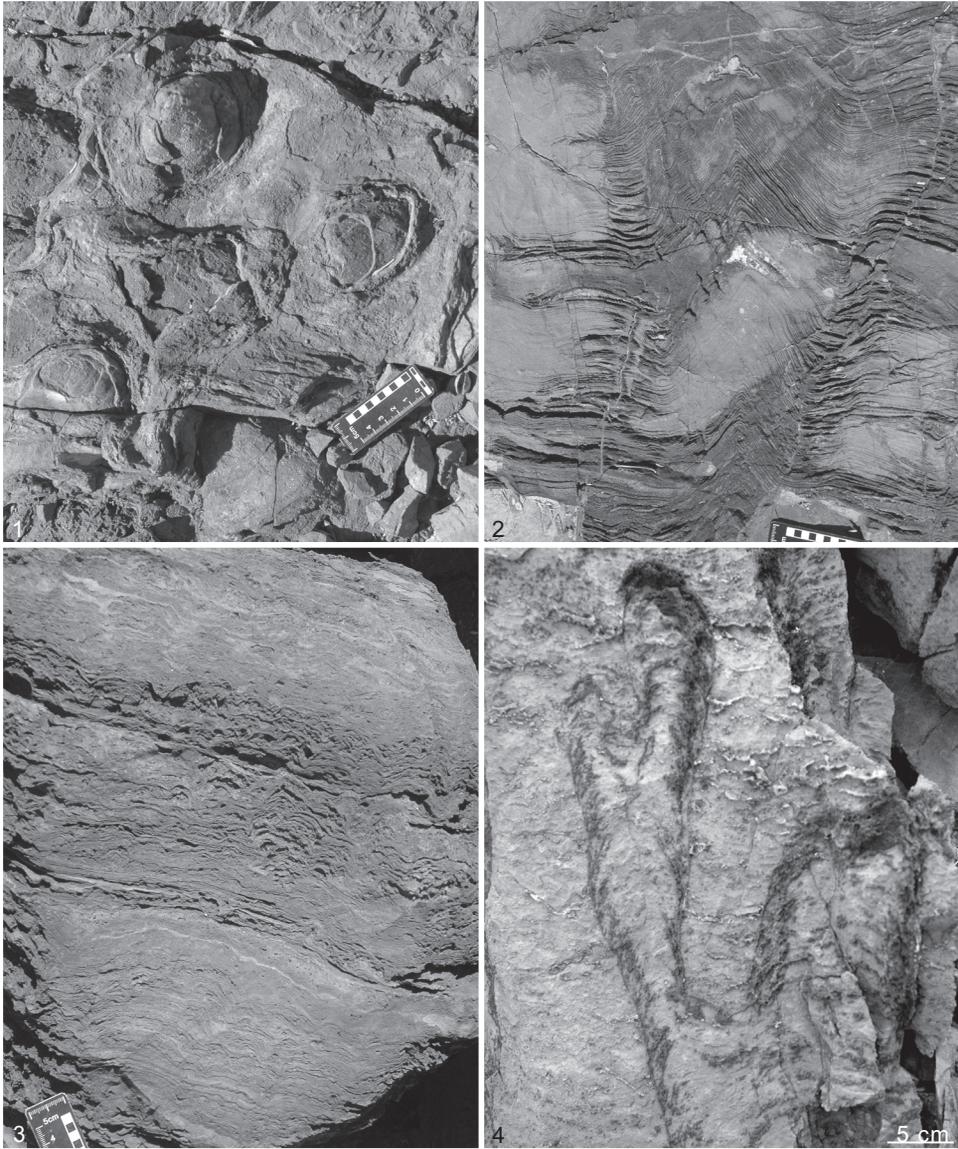


FIG. 17. Field photographs of representative Archean and Paleoproterozoic stromatolites. 1, possible coniform stromatolites (top view) from the ~3470 Ma Dresser Formation, North Pole, Western Australia (Buick, Dunlop, & Groves, 1981); 2, Conical stromatolite (vertical cross-sectional view) from the ~3430 Ma Strelley Pool Formation in Western Australia (Hofmann & others, 1999; Allwood & others, 2006); 3, microbial stromatolites (cross-sectional view) from the ~2700 Ma Tumbiana Formation of the Fortescue Group in Western Australia (AWRAMIK & BUCHHEIM, 2009); 4, branching stromatolites (cross-sectional view) from the ~2450–2210 Ma Kazput Formation of the Turee Creek Group in Western Australia (Martindale & others, 2015). All photos are new and by Shuhai Xiao. Color version available in *Treatise Online* 160.

bacteria (LOVLEY, 2013) such as *Geobacter* LOVLEY & others, 1993 and *Shewanella* MACDONELL & COLWELL, 1985, Fe<sup>2+</sup> oxidizing bacteria (some of which are anoxygenic phototrophs) (BROCK & others, 1994), and magnetotactic bacteria (BAZYLINSKI & FRANKEL, 2003). There are a number of reports of iron-oxidizing microbial fossils. For example, *Frutexites*-like microstromatolites in Cenozoic basaltic seafloor are interpreted as structures produced by biofilms involving iron-oxidizing bacteria (HEIM & others, 2017; IVARSSON & others, 2020). Some filamentous microfossils from the Ediacaran Qigebulake Formation in China (ZHOU & others, 2015), the ~1880 Ma Gunflint Formation in Canada (BARGHOORN & TYLER, 1965; CLOUD, 1965), and the ~2450–2210 Ma Kazput Formation of the Turee Creek Group in northwestern Australia (FADEL & others, 2017) were compared with iron-oxidizing bacteria, but these microfossils do not seem to have diagnostic features uniquely characteristic of iron bacteria. Similarly, the Gunflint microfossil *Eoastrion* BARGHOORN in BARGHOORN & TYLER, 1965 (Fig. 9.3) has been compared with the extant Fe- and Mn-oxidizing bacterium *Metallogenium* PERFILEV & GABE, 1961 (CLOUD, 1965; ZAVARZIN, 1981), although the nature of *Metallogenium* remains enigmatic (KLAVENESS, 1999), and a recent study of *Eoastrion*-like structures from the ~2100 Ma FC Formation of the Francevillian in Gabon was unable to unequivocally confirm its biogenicity (LEKELE BAGHEKEMA & others, 2017). Additionally, tubular structures from the >3750 Ma Nuvvuagittuq supracrustal belt in Canada (Fig. 14.3) were tentatively compared with iron-oxidizing bacteria (DODD & others, 2017), but their biogenicity has been debated (MCMAHON, 2019). Some extant iron-oxidizing bacteria do produce morphologically distinct stalks (e.g., branching and twisted Fe-oxyhydroxide stalks in *Gallionella*) (CHAN & others, 2011) that can be preserved in the fossil record and thus offer promising diagnostic features

for this group of bacteria (JOHANNESSEN & others, 2020). Morphologically similar stalks have been reported from Jurassic hydrothermal deposits at ODP site 801 in the western Pacific Ocean (KREPSKI & others, 2013), Pennsylvanian coal beds in Ohio, USA (e.g., SCHOPF & others, 1965, fig. 12), the late Paleoproterozoic (~1700 Ma) Jhamarkotra Formation in India (CROSBY, BAILEY, & SHARMA, 2014), the late Paleoproterozoic Chuanlinggou Formation in the North China Craton (LIN & others, 2019), and late Paleoproterozoic (1.74 Ga) jasper in the lower Cleopatra Rhyolite in central Arizona, USA (LITTLE & others, 2021). These are intriguing and more convincing evidence for iron-oxidizing bacteria in the fossil record.

Both microaerophilic iron-oxidizing bacteria and anoxygenic photoferrotrophs have been implicated in the deposition of Precambrian banded iron formations (KAPPLER & others, 2005; KONHAUSER & others, 2002; CHI FRU & others, 2013; CHAN, EMERSON, & LUTHER, 2016). If so, then Archean and Paleoproterozoic banded iron formations can be regarded as indirect evidence for iron-oxidizing bacteria (HEIMANN, 2021, see p. 91–127). In fact, CHI FRU and others (2013) reported what appears to be anoxygenic photoferrotroph fossils from a Quaternary hydrothermal vent field on Milos Island, Greece.

Magnetotactic bacteria represent a special group of iron bacteria that can uptake complexed ferric iron and, through reduction and partial oxidation of Fe, precipitate intracellular magnetite (Fe<sub>3</sub>O<sub>4</sub>) or greigite (Fe<sub>3</sub>S<sub>4</sub>) nanocrystals in membranous magnetosomes (BAZYLINSKI & FRANKEL, 2003). Magnetite crystals produced by magnetotactic bacteria have distinct morphologies and crystallographic features that allow their identification in the fossil record (see Fig. 13) (BAZYLINSKI & FRANKEL, 2003; LI & others, 2020). Magnetofossils have been reported from Mesozoic and Cenozoic sediments (CHANG & KIRSCHVINK, 1989; KOPP

& KIRSCHVINK, 2008; ROBERTS & others, 2011) and even Precambrian stromatolites (CHANG & others, 1989).

### SULFUR-METABOLIZING MICROBES

Sulfur cycling in the water column and sediments can be inferred from geochemical data. For example, sulfate reduction, sulfide oxidation, and sulfur disproportionation can be inferred from sulfur isotope data (CANFIELD & RAISWELL, 1999; SHEN & BUICK, 2004; JOHNSTON & others, 2005), and anoxygenic photosynthesizers such as green and purple sulfur bacteria can be inferred from biomarker data (BROCK & others, 2005). The body fossil record of sulfur-metabolizing microbes is scarce, primarily because they generally do not have diagnostic morphological features. Nonetheless, sulfur-metabolizing microbial fossils have been reported in the literature. For example, SCHOPF and others (2015) reported filamentous microbial communities from the Paleoproterozoic Turee Creek Group and Duck Creek Formation in Australia, and interpreted them as sulfureta in which sulfate/sulfur-reducing and sulfide-oxidizing microbes worked together to cycle sulfur species. This interpretation is based on inferred community ecology and the cobweb-like microbial fabrics that are often found in sulfureta. It is possible that these microbes also recycled iron species (FADEL & others, 2017). Additionally, BAILEY and others (2013) reported septate filamentous microfossils with sparse intracellular sulfur globules from the Ediacaran Doushantuo Formation and interpreted them as sulfide-oxidizing bacteria analogous to the extant *Beggiatoa*. Finally, BAILEY and others (2007) interpreted the animal embryo-like microfossil *Megasphaera* CHEN & LIU, 1986 from the Ediacaran Doushantuo Formation in the South China Craton as a giant sulfide-oxidizing bacterium analogous to the extant genus *Thiomargarita*, but this interpretation has been refuted (XIAO, ZHOU, & YUAN, 2007; CUNNINGHAM & others, 2012).

### METHANOGENS AND METHANOTROPHS

Microbial activities of methanogens in the geological record are chiefly inferred from  $\delta^{13}\text{C}$  data, because they produce a  $\text{CH}_4$  pool extremely depleted in  $^{13}\text{C}$  and correspondingly a  $\text{CO}_2$  pool enriched in  $^{13}\text{C}$  (LEPOT, 2020). This isotopic signal can be recorded as extremely high  $\delta^{13}\text{C}_{\text{carb}}$  values of carbonate sourced from the  $\text{CO}_2$  pool as long as  $\text{CH}_4$  is effectively removed from the system (SUN & others, 2020) or as extremely negative  $\delta^{13}\text{C}_{\text{carb}}$  values of carbonate related to anaerobic oxidation of methane (JIANG, KENNEDY, & CHRISTIE-BLICK, 2003; WANG & others, 2008), or as extremely negative  $\delta^{13}\text{C}_{\text{org}}$  values of organic carbon produced by methanotrophs or methylotrophs in general (STUEKEN & others, 2017; XIAO & others, 2017). Thus, extremely negative  $\delta^{13}\text{C}_{\text{org}}$  values (as low as  $-57\%$ ) from the  $\sim 2700$  Ma Fortescue Group in Western Australia indicate that both methanogens and methanotrophs must have evolved by the Neoproterozoic. Body fossils of methanotrophs or methylotrophs, however, are extremely rare, although SUN and others (2020) recently reported micrometer-sized coccoidal methanogens from dolomite concretions in Permian lacustrine deposits of northwestern China. These coccoids are morphologically indistinct and their interpretation as fossil methanogens was largely based on the extremely positive  $\delta^{13}\text{C}_{\text{carb}}$  values of the host dolomite concretions.

### SUMMARY AND FUTURE PROSPECTS

Prokaryotes (bacteria and archaea) are ubiquitous, abundant, and physiologically diverse. They play essential roles in modern Earth systems and were likely as important in the geological past as they are today. Yet, their fossil record is rather sparse, and the prokaryote paleontology is a relatively young science. Since the 1950s, however, we have learned a great deal about prokaryotes in

the geological past and the field continues to grow rapidly. Prokaryotic microfossils are known in a number of taphonomic modes: silicification, phosphatization, calcification, pyritization, carbonaceous compression in fine-grained siliciclastic sediments and in amber, biomineral preservation, and trace fossil preservation. The study of prokaryotic microfossils faces many challenges. Given their microscopic sizes, simple morphologies, and possible confusion with biomorphs and eukaryotic microbes, it is a difficult task to demonstrate the syngenicity, biogenicity, and phylogenetic affinity of purported prokaryotic microfossils. Nonetheless, authentic prokaryotic microfossils are known in the geological record, and they extend as far back as 3200 Ma and perhaps 3500 Ma. Some of these microfossils can be assigned to phylogenetic or physiological groups, including cyanobacteria, iron-oxidizing bacteria, magnetotactic bacteria, sulfur-oxidizing bacteria, and methanogens. Of these, cyanobacteria have the richest record, one that goes back to 2000 Ma and perhaps 2700 Ma, and their identification is aided by ecological association with stromatolites and sometimes diagnostic morphological features.

Despite notable progress in the study of prokaryotic fossils since the 1950s, there

remain enormous opportunities for future research. Prokaryotic micropaleontology continues to be a frontier in scientific investigation. The vast majority of prokaryotic groups are poorly (or not at all) represented in the fossil record, including archaea and various nitrogen-metabolizing microbes, which are fundamental in the origin and function of the biosphere. The full spectrum of environmental distribution of prokaryotes is poorly documented in the geological record. This is particularly true for microbes in the terrestrial realm, cryptic spaces, deep-sea settings, deep lithosphere, and other extreme environments.

We know very little about how prokaryotes interacted with the environment and with other organisms in the geological record. It is likely that new advances will be made in the study of prokaryote micropaleontology at the interface with other sciences (e.g., geochemistry, sedimentology, microbiology, big data science) and advanced analytical techniques. Ultimately, the vast phylogenetic, physiological, and ecological diversity of bacteria and archaea evident today must surely have substantial geological and evolutionary roots, and much more awaits discovery.

# PRECIPITATED MICROBIALITES

R. S. SHAPIRO and D. T. WILMETH

## INTRODUCTION

Microbially induced, lithified structures known as microbialites are both geologically and biologically significant, forming extensive sedimentary, geochemical, and microbiological records in modern and ancient environments. Depositional settings range from deep ocean hydrocarbon seeps, hydrothermal vents, and whale falls, to cool water carbonate banks—abundant occurrences within peritidal zones and, finally, to non-marine environments such as lakes, rivers, and springs. More than three billion years of Earth's biosphere is primarily recorded within microbialites, including the oldest macrofossils on the planet (WALTER, BUICK, & DUNLOP, 1980; VAN KRANENDONK, WEBB, & KAMBER, 2003; VAN KRANENDONK, 2006). Even with diminished diversity and abundance during the Phanerozoic, periodic microbialite resurgences after mass extinctions are used as indicators for relative environmental recovery (MATA & BOTTJER, 2012). Microbialites are also targeted by astrobiology studies for their ability to form in harsh environments and their capacity to preserve specific biosignatures (CORSETTI & STORRIE-LOMBARDI, 2003; SHAPIRO, 2004a; IBARRA & CORSETTI, 2016). Yet, despite the broad scientific significance of microbialites, many authors note a lack of consistent terminology (RIDING, 1999), whereas others address the challenges of differentiating microbialites from numerous abiogenic sedimentary deposits (BUICK, DUNLOP, & GROVES, 1981; GROTZINGER & ROTHMAN, 1996; GROTZINGER & KNOLL, 1999; AWRAMIK & GREY, 2005).

The aim, herein, is to provide the reader with a basic working guide for field and laboratory descriptions of microbialites and to synthesize the various terminologies present in the literature. As a guide,

this contribution is meant to complement the various review articles that focus more specifically on the fossil record of microbialites (HOFMANN, 1973; AWRAMIK & RIDING, 1988; AWRAMIK, 1991; HOFMANN, 2000; ROWLAND & SHAPIRO, 2002; RIDING, 2011a, and references therein). For example, FLÜGEL (2004) provided an excellent analysis of microbialite as a carbonate lithologic unit with much discussion on genesis, diagenesis, and terminology. There have also been significant contributions from non-English literature, primarily by Russian workers (e.g., MASLOV, 1960; KRYLOV, 1963; RAABEN, 1991) in addition to other international researchers, including the earliest description of stromatolites (KALKOWSKY, 1908). The diversity of unique microbialite textures has produced many study-specific nomenclatures in the primary literature, and increases the difficulty of succinct review. Instead, this chapter synthesizes key features of previously published guides over multiple decades of microbialite research. Nomenclature that has gained acceptance by extensive utilization in the literature is given preference herein, with references provided for more detailed discussions beyond the scope of this review.

## HISTORICAL PERSPECTIVE AND WORKING DEFINITION OF THE TERM MICROBIALITE

The word microbialite was introduced by BURNE and MOORE (1987) as a general term for sedimentary deposits created by the actions of microorganisms (see historical discussion in RIDING, 2011a). Current researchers employ the term for microbially induced deposits in general, or when specific discrimination of stromatolitic, thrombolitic, or other textures is untenable. An alternative spelling, microbolite (RIDING,

1991), while perhaps more accurate, has not gained traction in the literature. In contrast to microbialites, microbial mats (often shortened to mats) are unlithified, macroscopic microbial communities in modern environments, commonly divided into layers with distinct microbial metabolisms. Special care should be taken to use the terms microbialite and microbial mat only for lithified and unlithified communities respectively, especially in modern and Holocene locations where both structures may be present.

Microbial activity preserved in the sedimentary record includes 1) mineral precipitation within mats due to the physico-chemical properties of microbial communities, and 2) the trapping and binding of detrital grains on and within mat layers (AWRAMIK, MARGULIS, & BARGHOORN, 1976; BURNE & MOORE, 1987; NOFFKE & AWARMIK, 2013). Microbial deposits predominantly formed by trapping, binding, and stabilization of detrital grains are prevalent in siliciclastic environments and have distinct nomenclatures described in greater detail on p. 76–81. Microbialites described herein are primarily formed via mineralization of mat textures, although detrital grains are commonly important components. The vast majority of microbialites are comprised of calcium carbonate, although many examples have been described comprised of primary opaline silica, oxides, sulfides, phosphates, and other minerals (WALTER, BAULD, & BROCK, 1972; WALLACE, KEAYS, & GOSTIN, 1991; MARTÍN-ALGARRA & SÁNCHEZ-NAVAS, 1995; BERELSON & others, 2011). Whereas individual microbes are very rarely preserved within microbialites, specific features in macro- and microscopic textures indicate origination via microbial activity, as opposed to abiogenic sedimentation or precipitation. When microfossils are preserved, the lithified microbialite structure on a microscopic scale may be largely comprised of permineralized skeletons or mineralized molds.

Further refinement of microbialites is based on the mesostructure scale of observation (Fig. 18). Mesostructure refers to

the millimeter to centimeter scale elements visible with the unaided eye or hand lens (KENNARD & JAMES, 1986). In contrast, microstructure encompasses all observations with a light or scanning electron microscope. The macrostructure refers to the larger association of mesostructural elements (Fig. 19, Fig. 20). For instance, laminae (mesostructure) may be stacked to form a cylindrical column (macrostructure). Some authors employ a larger hierarchical stage, megastructure, to describe the bed or overall stratigraphy of the microbialite-bearing units (KENNARD & JAMES, 1986). The necessity of using several scales of description of microbialites is one of the distinguishing features of their taxonomy relative to other fossils (AWRAMIK, 1991; SHAPIRO & AWARAMIK, 2006). Importantly, microbialites are organosedimentary constructions, and all scales of structure need to be studied for both biogenic and sedimentologic signals. The next sections describe five major categories of microbialites based on mesostructure.

## STROMATOLITES

Substrate-attached microbialites with laminated fabrics are defined as stromatolites, deriving from the word stromatolith in KALKOWSKY (1908). More than a century of successive studies has produced various definitions of the term stromatolite, both genetic and purely descriptive, as recounted in detail by RIDING (2000, 2008). Many arguments center on the difficulty of directly identifying biogenicity in laminated deposits, which stems from 1) the removal of specific biosignatures by secondary alteration, and 2) the morphological similarity of laminated abiogenic structures to biogenic stromatolites (GROTZINGER & ROTHMAN, 1996; GROTZINGER & KNOLL, 1999). For simplicity, this chapter defines laminated microbialites as stromatolites, as opposed to abiogenic structures formed without the mediation of microbial communities. Recommended guides to stromatolite morphologies and textures include LOGAN, REZAK, and GINSBURG (1964); WALTER (1976); PREISS (1976);

BUICK, DUNLOP, and GROVES (1981); GREY (1989); RIDING (1999); and SHAPIRO (2007), to name a select few.

On a macrostructural level, stromatolites vary from stratiform morphologies with low vertical relief, to simple columns, domes, and cones, to complex branching structures (Fig. 20). Furthermore, individual stromatolites can change morphologies with successive generations of laminae accretion. For example, slight irregularities of stratiform stromatolites can propagate into larger domes or cones with continued growth. A standard stromatolite classification scheme that accounts for vertical changes in structure is provided in LOGAN, REZAK, and GINSBURG (1964). Changes in stromatolite morphology can arise from shifting depositional environments, biological communities, hydrochemistry, or all of these factors over time. As previously mentioned, many stromatolite macrostructures resemble laminated textures formed by abiogenic mineral precipitation, especially less complex stratiform or domal morphologies (GROTZINGER & KNOLL, 1999). Branching and conical morphologies have been hypothesized in simulations of abiogenic mineral growth (GROTZINGER & KNOLL, 1999; DUPRAZ, PATTISINA, & VERRECCHIA, 2006), but such macrostructures have not been abiogenically replicated in physical

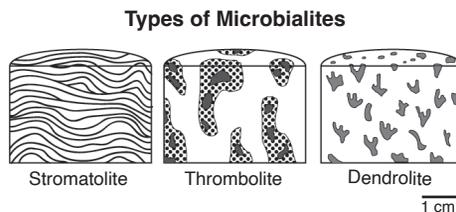


FIG. 18. Main groups of attached microbialites. The different groups are defined based on the mesostructure or constructional elements. Stromatolites are laminated, thrombolites are clotted, and dendrolites are comprised of bushes (new).

experiments. One detailed method to study stromatolite macrostructure involves serially sectioning samples so that true three-dimensional reconstruction can be quantitatively assessed (see discussion in HOFMANN, 1973). A number of publications describe serial sectioning techniques (KRYLOV, 1963; PREISS, 1976), and the capabilities have been significantly enhanced with modern illustration computer programs. A critical aspect is recognizing that one or several two-dimensional planes are insufficient to truly understand the complexity of stromatolite structures.

Because laminae are the defining mesoscale feature of stromatolites, special detail must be given to describing mesoscale textures. Laminae are typically comprised of light and dark couplets, with darker layers formed

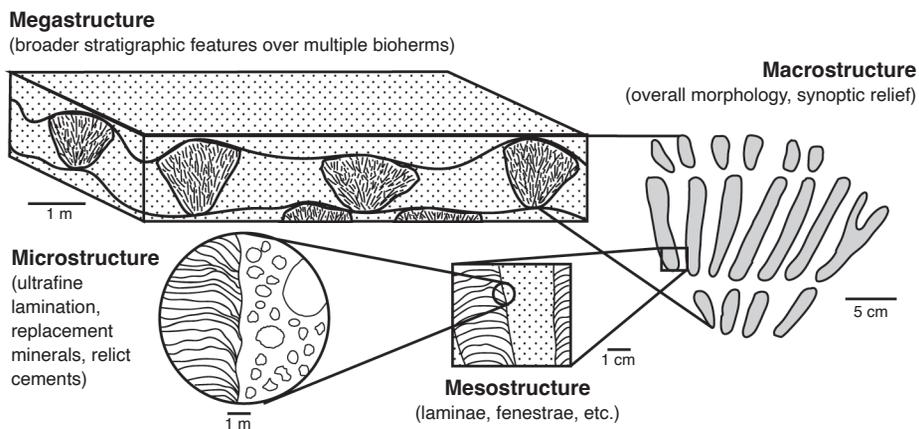


FIG. 19. Scales of observation of microbialites, tracing the various features of stromatolites from the megastructure through the microstructure (adapted from Shapiro & Awramik, 2006, fig. 2).

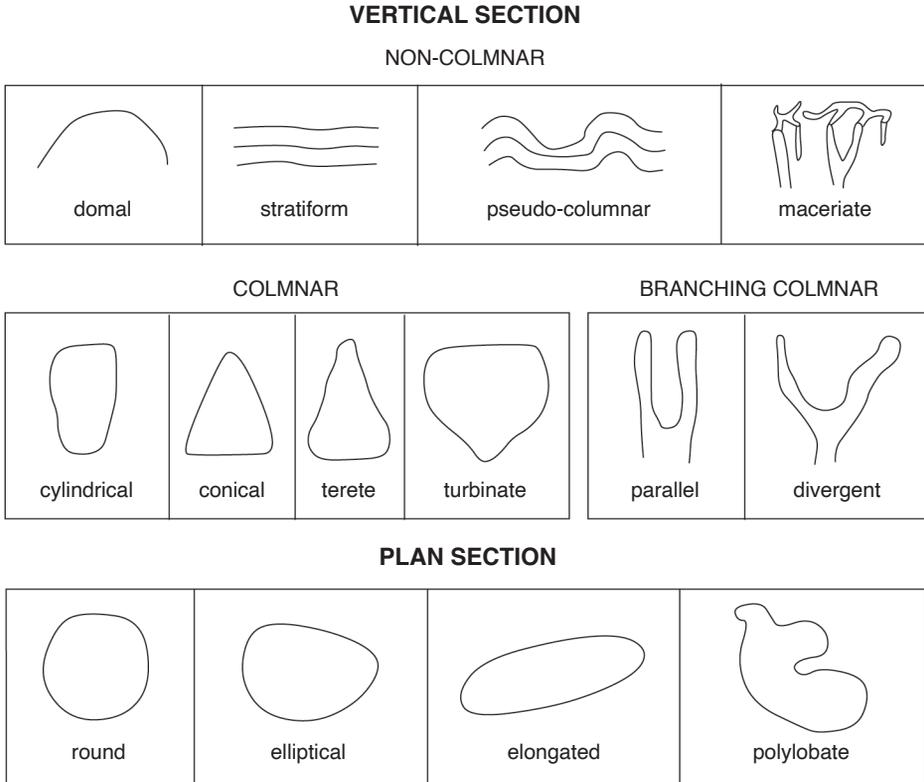


FIG. 20. Descriptive terminology as applied to the macrostructure of all microbialites (new).

by finer-grained, less porous micrite or microspar in carbonates or microcrystalline quartz in cherts (GROTZINGER & KNOLL, 1999). Darker zones can also be attributed to higher concentrations of organic material or insoluble residue such as iron oxides. Lighter laminae are generally defined by higher amounts of cemented interstitial spaces, filled with spar in carbonates or macroquartz in cherts. Microfossils in well-preserved stromatolite laminae have different orientations of filamentous cells, with laminae-parallel filaments in dark layers and laminae-normal or vertical filaments in light layers (GEBELEIN, 1969; WALTER, BAULD, & BROCK, 1972; GOLUBIC & FOCKE, 1978).

Key differences between stromatolites include laminae smoothness or waviness, thickness variation, nature of the laminae over the growth axis (apex), and nature of the laminae against the stromatolite margins

(Fig. 21). For example, the height of a stromatolite at a single point in time (synoptical relief) can be established by measuring the vertical distance between the apex of a single layer and the same layer's intersection with the stromatolite margin. Another useful parameter when describing stromatolite textures is inheritance, or how well laminae inherit the shape of preceding layers. For example, a stromatolite that progresses upward from stratiform through domal to conical textures has low inheritance, as laminae over time do not resemble the shapes of lower layers. Conversely, a stromatolite that maintains consistent layer morphologies throughout the structure, whether flat, domal, or conical, has high inheritance.

In well-preserved carbonates, the laminar mesostructure can also help distinguish biogenic stromatolites from abiogenic

precipitates (GROTZINGER & KNOLL, 1999). Laminae formed within microbial mats are typically comprised of micrite or microspar and have irregular, wavy laminae resulting in low inheritance. In contrast, many laminated abiogenic carbonates are comprised of bladed or acicular needles, maintaining isopachous thicknesses across the stromatolite and extremely high inheritance. There are exceptions to these trends, but a meaningful assessment of biogenicity cannot be accomplished without first analyzing laminae petrography. For example, several lacustrine stromatolites exhibit both styles of lamination, alternating between irregular micritic layers and isopachous bladed fabrics, and have been correlated with changes in lake environments (FRANTZ & others, 2014; FEDORCHUK & others, 2016).

Microstructural attributes vary widely between stromatolites (Fig. 22). The variety of textures observed is due to both depositional heterogeneity and subsequent diagenesis. Original fabrics include detrital grains (micrite, silt to fine sediment, coated grains, skeletal fragments), organic films, and various cements (isopachous rims, bladed fringes, botryoids, etc.). Among the many potential microscopic features within stromatolites, several diagnostic textures can help increase the confidence of stromatolite biogenicity (BUICK, DUNLOP, & GROVES, 1981; GROTZINGER & KNOLL, 1999). Some Phanerozoic stromatolites preserve microfossils as carbonate permineralized sheaths, such as the filamentous morphotype *Girvanella* (NICHOLSON & EVERIDGE, 1878), but these are relatively uncommon. Rounded fenestrae, which do not crosscut primary stromatolite textures, represent the preservation of former void spaces within microbial mats, which can be produced either by metabolic gas production or by natural irregularities in microbial mat textures (SUMNER, 2000; BOSAK & others, 2009, 2010; MATA & others, 2012; WILMETH & others, 2019). Finally, the presence of detrital grains on sloped laminae that exceed the angle of repose indicate the

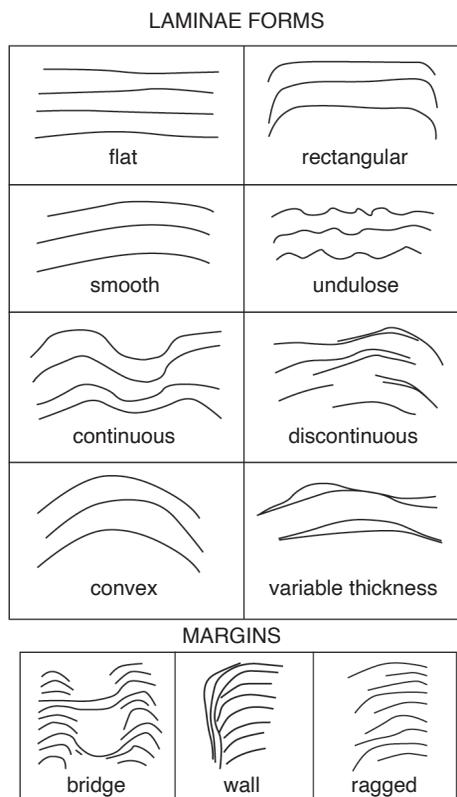


FIG. 21. Descriptive terminology as applied to the mesostructure of stromatolites (new).

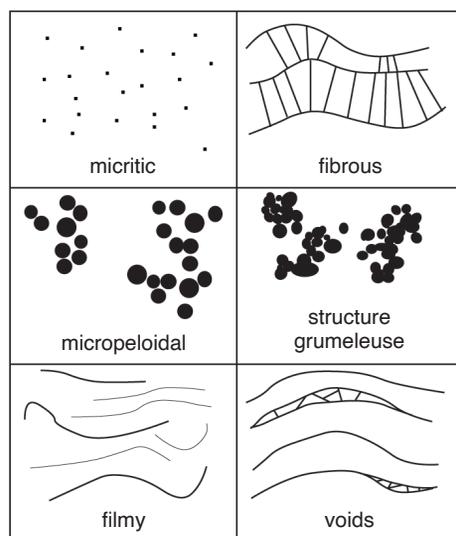


FIG. 22. Descriptive terminology as applied to the microstructure of all microbialites.

presence of adhesive microbial mats rather than pure mineral precipitates (BAILEY & others, 2009; TICE, 2009; FRANTZ, PETRYSHYN, & CORSETTI, 2015).

Early diagenesis in carbonates commonly leads to both micritization and aggrading neomorphic spar, obscuring original textures. Additionally, many stromatolites have destructive replacement of primary fabrics by mosaic dolomite. In some cases, placing a white card underneath a thin section increases the potential to view original textures on a microscope stage (FOLK, 1987). Examples indicate that dolomitization is fabric selective, even down to individual laminae (GLUMAC & WALKER, 1997; RIDING, 2008). Dolomitization can be accentuated by staining with Alizarin Red to differentiate calcite (stained) from dolomite (unstained). Regardless of the preservation, describing stromatolite microstructure is critical when possible, noting presence or absence of microfossils, and any variation in mineralogy.

### ONCOIDS

Oncoids are laminated microbialites that form unattached grains (HEIM, 1916; PIA, 1927), in contrast to stromatolites attached to benthic substrates. Oncoids are typically spherical to ellipsoidal in shape, with variably smooth, pustular, or lobate surfaces (Fig. 23) resulting from patterns of primary precipitation and mechanical weathering. Most oncoids are comprised of calcium carbonate, with some siliceous examples surrounding hot springs (JONES & RENAUT, 1997; JONES, RENAUT, & ROSEN, 1999; KONHAUSER & others, 2001), and several phosphatic and oxide-rich samples are known in ancient lithologies (KRAJEWSKI, 1983; SCHAEFER, GUTZMER, & BEUKES, 2001; GRADZIŃSKI & others, 2004; SALLSTEDT & others, 2018). For a detailed review of oncoid terminology and sedimentology, including comparisons with other coated grains, see FLÜGEL, 2010.

Internal oncoid mesostructure consists of a nucleus surrounded by a cortex of variously

concentric laminae. Nuclei vary depending on depositional environment, and include clastic grains, fossils, or reworked chemical sediments, such as surrounding carbonates or cherts. Nuclei are sometimes absent from samples, depending on diagenetic alteration, the angle of dissection, or an initial particle that was soft and/or featureless (FLÜGEL, 2010). Cortices contain micritic or fine-grained laminae, which vary in thickness and concentricity, in contrast to grains such as ooids and pisoids, which contain radially fibrous, highly concentric laminae. Variable thicknesses of oncoid laminae typically result in low inheritance, leading to asymmetrical shapes including small domes and even cones (LOGAN, REZAK, & GINSBURG, 1964; WILMETH & others, 2015). LOGAN, REZAK, and GINSBURG (1964) included a classification scheme for oncoid morphologies in addition to stromatolite textures. Oncoid laminae are similar in microstructure to biogenic stromatolites, including light and dark couplets, variously oriented microfossils, and rounded fenestrae (PERYT, 1981; FLÜGEL, 2010; WILMETH & others, 2015; SALLSTEDT & others, 2018). Microfossils are commonly present as filamentous permineralized sheaths, usually described as the morphotype *Girvanella* (PERYT, 1981; RIDING, 1983).

The unattached nature of oncoids, unique among microbialites, provides useful insights into paleoenvironment. An oncoid-rich facies is called an oncolite, as opposed to singular oncoid grains. Oncolites can be described in a similar manner to other grain-dominated facies in terms of sorting, roundness, and grain vs. matrix support. Oncoids and oncolites provide evidence for agitated environments, requiring frequent exposure of fresh surfaces for microbial colonies to grow and eventually mineralize (DAHANAYAKE, 1977; RATCLIFFE, 1988). As oncoids grow larger, layers typically become increasingly asymmetrical due to longer periods of quiescence (WRIGHT, 1983; SMITH & MASON, 1991; SHAPIRO, FRICKE, & FOX, 2009; WILMETH & others, 2015). Oncoids

that become too large for continued agitation become the stable base for subsequent nucleation (MARTÍN-ALGARRA & VERA, 1994; BURNE & MOORE, 1987).

### THROMBOLITES

Thrombolites are clotted microbialites (AITKEN, 1967). Although the term is non-genetic, the study of thrombolites has indicated that clotted fabrics are largely constructional and not merely secondarily altered stromatolitic textures. SHAPIRO (2000) addressed the terminological confusion of thrombolites, and further elaboration was provided by SHAPIRO and AWRAMIK (2006). Because thrombolites lack laminae as a mesostructural fabric, synoptic relief is more difficult to assess. However, column margins and their relationship with surrounding sediments can still hold clues to syndepositional relief, with margins varying between smooth, invaginated, wrinkled, or lobate morphologies. If margin walls are not smooth, it is important to recognize whether surrounding sediments interfinger (low synoptic relief) or truncate against the margin (potentially higher synoptic relief).

The mesostructure of thrombolites is dominated by mesoclots separated by either cements or sediment (KENNARD & JAMES, 1986). Mesoclots are millimeter- to centimeter-scale zones of variable texture in both plan and longitudinal sections. Petrographic analysis of the mesoclots reveal them to be comprised of a variety of elements, including coccoid calcimicrobes (KENNARD & JAMES, 1986), botryoidal calcimicrobes (LATHAM & RIDING, 1990), filamentous calcimicrobes (MOORE & BYRNE, 1994), algal-foraminiferal colonies (TOOMEY & CYS, 1979), dense micrite (GLUMAC & WALKER, 1997), and peloids (PRATT & JAMES, 1982). The distribution of mesoclots across two-dimensional thrombolitic surfaces imparts a clotted composition (see Fig. 24 for a compendium of the more common mesoclot forms as described in the literature).

The term mesoclot was first proposed by KENNARD and JAMES (1986) as an emenda-

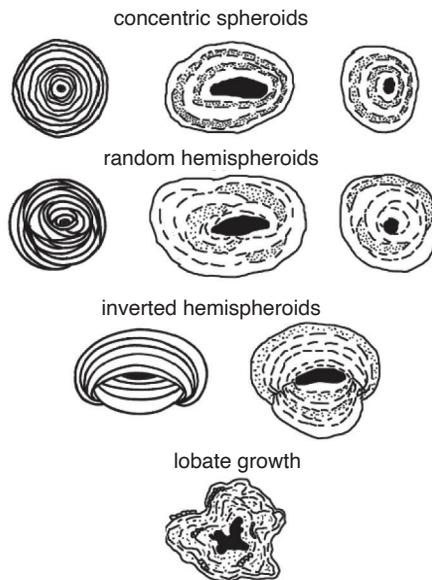


FIG. 23. Descriptive terminology as applied to the macrostructure of all oncooids (adapted from Logan, 1964, as presented in Flüge, 2010).

tion for AITKEN's (1967) clots, as the latter term was ambiguous and could be mistaken for submillimeter-size clotted microstructures. Other terms employed include fenestrae (PRATT & JAMES, 1982) or thromboids (KENNARD, 1994) (see review in SHAPIRO, 2000). Thromboid is unacceptable because the term is confusing when considering the present non-parallel usage of stromatoid and the multiple, conflicting definitions in the literature. The term fenestrae is not appropriate because it refers to a former void within a rock (BATES & JACKSON, 1987) and not all mesoclots were open spaces. As with stromatolite laminae, the morphology and texture of the mesoclots are referred to as the fabric of a thrombolite. Microscopic features of the mesoclots should be described under microstructure. Using the terms macroclots or microclots is not advised, as this will only exacerbate confusion.

The three-dimensional morphology of a mesoclot is referred to as the mesoclot shape. There has not been a quantification scheme proposed for the study of mesoclots;

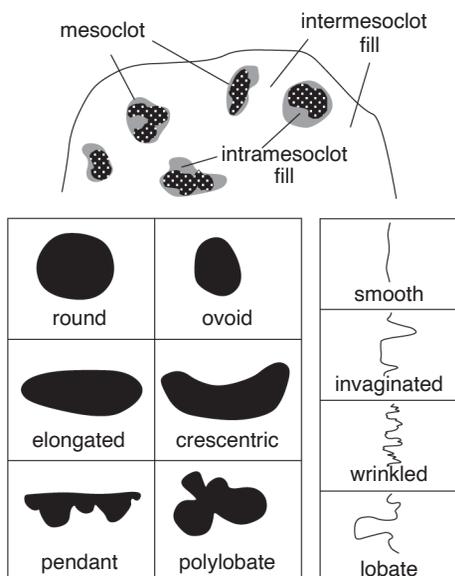


FIG. 24. Descriptive terminology as applied to the mesostructure of thrombolites (new).

the method of geometric study is left up to the discretion of the researcher, with the hopes that clear explanations are given. As with stromatolites, mesostructural aspects of thrombolites are best studied in three-dimensional preparations as their typically polymorphic shapes may present a variety of patterns on two-dimensional surfaces (SHAPIRO & AWRAMIK, 2006). Qualitative description of two-dimensional surfaces are still of great use for field and comparative study and should also be undertaken. To date, most studies have featured longitudinal sections, or mesoclot profiles (e.g., AITKEN & NARBONNE, 1989; KENNARD, 1994). However, much information can be gleaned from plan-view sections or mesoclot outlines. A good practice is to trace the mesoclots physically or digitally to demonstrate clear shapes, and then present patterns in a simple, two-tone scheme. Instead of using vague terms such as irregular or globular, measurements should be made of mesoclot height, length, and width, citing the orientation of the viewing plane relative to the growth axis of the thrombolite. Mention should be made if the mesoclot

dimensions vary in different spots within one thrombolite, particularly from the base toward the top of the structure or from the margins toward the center.

The spatial relations of mesoclots can be isolated, interconnected, or coalesced (KENNARD & JAMES, 1986; SHAPIRO & AWRAMIK, 2006). The degree of coalescence can further be qualified as slightly coalesced or highly coalesced. In turn, mesoclots can be arranged in parallel to subparallel patterns within the thrombolite, presenting a horizontal, radial, or vertical mesostructure. Mention should be made of the amount of mesoclots (as percent abundance) within thrombolites.

In addition to mesoclots, the mesostructural analysis should also include descriptions of any voids, inter-mesoclot fill, calcimicrobes, and metazoans. Laminae are extremely rare, but if present should be described following the guidelines set forth for stromatolitic mesostructure. Care should be taken to note the relationship of the laminae to the mesoclots, whether gradational, alternating, or adjacent.

It is common for mesoclots in localized portions or in the entire thrombolite to be oriented in a regular pattern. Although much of the existing literature describes the orientation of thrombolite columns (macrostructure)—often misidentifying the elements as clots or thromboids (see RIDING, 2011a)—the terms here are still applicable to mesoclot orientation. Orientations of the columns should be described under macrostructure. For example, SHAPIRO and AWRAMIK (2006) presented a variety of plan-view shapes of arabesque columns (maceriae) that are macrostructural, as opposed to the mesoclots that comprise the mesostructure of maceriae.

## DENDROLITES

Dendrolites are neither laminated nor clotted but are comprised of branching millimeter-scale bushes (RIDING, 1991). The bushes are inferred to be organic in origin, although the exact nature of the biota neces-

sary for the construction is not known. In many structures, bushes can be identified as (inferred genera of) calcimicrobes such as Epiphyton (BORNEMANN, 1886), *Renalcis* (VOLOGDIN 1932), *Gordonophyton* (KORDE, 1973), or *Angusticellularia* (VOLOGDIN, 1962) (ROWLAND & SHAPIRO, 2002). The term dendrolite should not be utilized for structures that display branching crystalline growth, which can be easily recognized by clear crystal boundaries and more regular arrangement of the branches (SHAPIRO, 2004b). Thrombolites and dendrolites may represent end members of a continuum of diagenetic alteration, in which dendrolite bushes recrystallize to amorphous micrite that may then be considered a thrombotic mesoclot (RIDING, 1991).

Dendrolites occur as meter-scale domes, tabular biostromes, and centimeter-scale crusts. It may also be most accurate to refer to the microbial frameworks within archeocyath and lithistid reefs as dendrolite although the term has not been used in that regard. Dendrolites are distinctive but are present alongside and even interfingering with thrombolites and stromatolites. To date, there are few described dendrolites in the literature, although it is likely that some published accounts of thrombolites should more accurately be termed dendrolites. The original papers discussing dendrolites (RIDING, 1991; RIDING & ZHURAVLEV, 1995; TURNER, JAMES, & NARBONNE, 2000; SHAPIRO & RIGBY, 2004) did not propose a formal definition of dendrolite morphology. In a study of three-dimensional dendrolite reconstruction, HOWELL, WOO, and CHOUGH (2011) proposed terminology for the dendrolite elements. Herein, a model (Fig. 25) is provided that merges the suggestions of that paper with published accounts of other occurrences, including Cambrian and Devonian samples (RIDING & ZHURAVLEV, 1995; TURNER, JAMES, & NARBONNE, 2000; KRUSE & ZHURAVLEV, 2008). HOWELL, WOO, and CHOUGH (2011) suggested several tiers of mesostructure based on growth structure of the dendroids, which

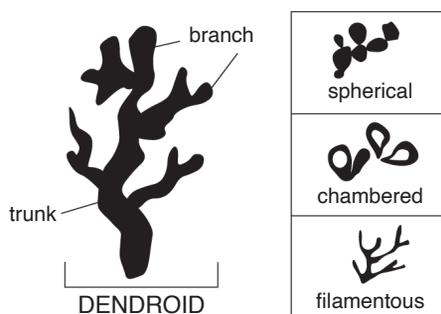


FIG. 25. Descriptive terminology as applied to the mesostructure of dendrolites (new).

may prove to be a valuable level of differentiation in future studies.

### LEIOLITES

BRAGA, MARTIN, and RIDING, 1995 suggested the term leiolite to encompass microbial constructions that lack diagnostic mesoscale structure. There are many pathways to create massive structure (e.g., irregular accretion of microspar, extensive boring or bioturbation, or burial dolomitization), but the term leiolite is valuable as it is non-genetic and does not presume a prior mesostructure. If, however, the microbialite can be shown to have originally been laminated, clotted, or dendrolitic, the pre-alteration terminology should be utilized. It may be possible to recognize pre-alteration original fabrics in dolomitized leiolites using the white card technique of FOLK (1987). Leiolites have not received the same amount of descriptive study as other microbialites, although a further short review can be found in RIDING (2000).

### MODELS OF MICROBIALITE FORMATION

No single model of formation produces the variety of microbialites described above. The mineralization of a microbial mat is the final result of interplay between the physical sedimentary environment, surrounding chemical parameters (temperature, mineral saturation states), and biological processes within microbial communities themselves (RIDING, 2000;

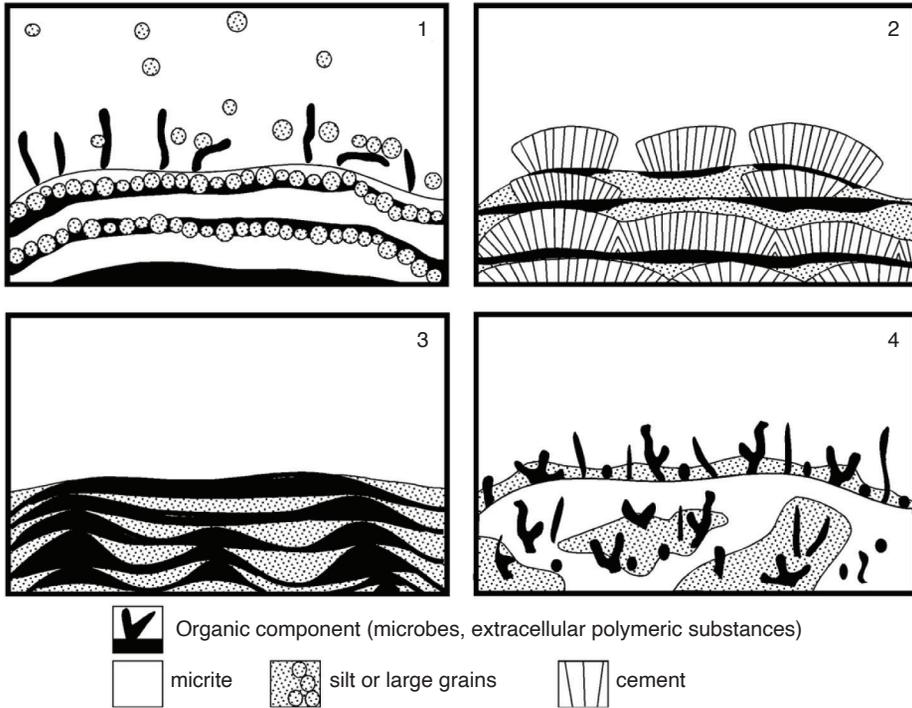


FIG. 26. Models of formation of microbialites. 1, Trapping and binding of particles; 2, precipitation of cement layers; 3, entombing of sediments by mats or extracellular polymeric substances; 4, skeletal algae or metazoans (adapted from Shapiro, 2007, fig. 3).

SHAPIRO, 2007; DUPRAZ & others, 2009). In contrast, the shells and tests of organisms described in other volumes of the *Treatise*, although influenced by surrounding chemistry, are directly formed from the cellular activity of eukaryotes (WEINER & DOVE, 2003). Microbialites can also be considered as trace fossils, recording the previous activity of localized microbial ecosystems, but only rarely preserving the organisms themselves (SHAPIRO, 2007). A further discussion on the differences between microbial and metazoan biomineralization, as well as modern processes of microbialite formation, is provided in DUPRAZ and others (2009).

Broadly speaking, there are four models that encompass microbialite formation, and any one deposit may have components of all four (Fig. 26). 1) The physical properties of microbial mats commonly result

in the trapping and binding of sedimentary particles, either by filamentous cells or adhesive extracellular polymeric substances (EPS) produced by the mat (GEBELEIN, 1969; RIDING, 1991; FRANZ, PETRYSHYN, & CORSETTI, 2015). Most Phanerozoic and many Proterozoic marine stromatolites preserve detrital material, with grains ranging from clay through sand-sized particles. Further cementation of the grains within mats may be accomplished below the accreting and stabilizing surface by heterotrophic bacteria and other biota. 2) Mineral precipitation within microbial mats is induced by elevated saturation states in surrounding waters, occurring both on cells and on organic compounds such as EPS (ARP, 2001; ARP, REIMER, & REITNER, 1999, 2004; REID & others, 2000; DUPRAZ & VISSCHER, 2005; BRAISSANT &

others, 2007). Mineral saturation states can be altered by metabolic processes of living organisms, such as photosynthesis, respiration, or chemosynthesis (biologically induced mineralization; DUPRAZ & others, 2009). Alternatively, chemical changes in the surrounding environment can also force mineral precipitation, with mats serving as a nucleation site (biologically influenced mineralization; DUPRAZ & others, 2009). Many Archean and Paleoproterozoic stromatolites are comprised of precipitated cement layers (RIDING, 2008, 2011a), although the role of diagenesis in promoting aggrading neomorphic spar must also be considered. 3) A third model, typified by the Omachta Formation of Siberia, comprises stromatolites that form not from trapping sediments but from microbial mats enclosing sediments that have already been deposited. This construction preserves signatures of mechanical deposition such as crossbeds and ripples (KNOLL & SEMIKHATOV, 1998). 4) A fourth model of formation recognizes the importance of skeletal algae, foraminifera, and invertebrates in comprising a significant component of Phanerozoic microbialites (RIDING, 1977). There may be secular trends to both abundance and diversity of these skeletal microbialites related to evolutionary patterns of the constructors as well as ocean chemistry (RIDING, 1977, 2011a).

Important to note, the vast majority of described microbialites occur in carbonates and thus are susceptible to the myriad of diagenetic processes that affect all carbonate facies (BEUKES, 1987; BURNE & MOORE, 1987; PLANAVSKY & others, 2009; PACE & others, 2016). Such considerations include near-surface void cementation and dissolution in the phreatic and vadose zone, recrystallization or aggrading neomorphism in shallow burial conditions, and significant dissolution and reprecipitation under deeper burial conditions. Replacement by silica is common and can be either fabric retentive or destructive. Therefore, interpreting the model of formation of all microbialites must

take into account the effects of secondary diagenesis.

### MODERN STRUCTURES

Both microbial mats and subsequently mineralized microbialites are known from many different facies. Rather than attempt to provide a comprehensive list or to fit microbialites into generic facies models, this section will highlight unique attributes of several key modern environments.

#### Peritidal Open Marine and Reef

Some of the most well-studied modern microbialites are found in the intertidal embayment of Shark Bay, Western Australia (LOGAN, 1961; HOFMANN, 1973; CHIVAS, TORGERSEN, & POLACH, 1990; REID & others, 2003) and unrestricted tidal channels of the Bahamas (DRAVIS, 1983; DILL & others, 1986; SHAPIRO & others, 1995; ANDRES & REID, 2006; PLANAVSKY & GINSBURG, 2009). These microbialites are predominantly stromatolites with laminae comprised of fine- to medium-sized grains and cement, although coarser textures have been diagnosed as thrombolites (PLANAVSKY & GINSBURG, 2009; RIDING, 2011a).

Other significant but overlooked modern peritidal microbialites include reef and cryptic crusts (CAMOIN & others, 1999), which are also well described from Paleozoic and Mesozoic reefs (e.g., FLÜGEL & STEIGER, 1981; LEINFELDER & others, 1996). Whereas the textures of modern peritidal deposits are fairly uniform, macrostructure varies with respect to current and wave conditions. In particular, modern peritidal stromatolites have very high relief from the seabed (although not necessarily a high synoptic relief within individual laminae), and columns typically have a pronounced elongation of the major axis. In most cases, the elongation is parallel to tidal flow and perpendicular to wave crests. Overall stromatolite size also decreases away from the tidal zone toward the margins of deposits.

Biological studies of peritidal microbialites typically focus on extensive cyanobacterial

communities, both for the ability of cyanobacteria to bind detrital grains and for influence local carbonate saturation states (GEBELEIN, 1969; REID & others, 2000). However, many other organisms also contribute to microbialite growth, including diatoms and other algae trapping grains (AWRAMIK & RIDING, 1988) and cement precipitation mediated by heterotrophic bacteria (VISSCHER & others, 1998; REID & others, 2000). Modern stromatolites also host localized ecosystems of corals and sponges in addition to algae and microbial mats. Similar microbialite-metazoan reefs are well known throughout the Phanerozoic and Neoproterozoic (RIDING, 1991; ROWLAND & SHAPIRO, 2002; GROTZINGER, ADAMS, & SCHRÖDER, 2005). However, the relatively coarse grains and common presence of eukaryotes within modern peritidal microbialites limits their capabilities as faithful analogs for many ancient examples, especially in Precambrian environments.

#### Lacustrine

Microbialites are present in a number of lacustrine settings across various climates, typically as calcitic thrombolites and stromatolites with distinct micritic or micrite-microspar laminae (BURNE & MOORE, 1987; WINSBOROUGH & others, 1994; LAVAL & others, 2000; GISCHLER, GIBSON, & OSCHMANN, 2008). Lacustrine microbialites occur across a greater range of depths than within marine peritidal zones, although most deposits form near lake surfaces (e.g., KEMPE & others, 1991). Sharp depth gradients of geochemistry, temperature, and light produce distinct microbialite biofacies. For example, microbial mats within deeper lake waters typically have higher vertical relief for photosynthetic organisms to access more sunlight, forming textures such as pillars or cones (LAVAL & others, 2000; ANDERSEN & others, 2011). Lake depth profiles and chemistry also change more dramatically during short-term climate fluctuations than in marine peritidal environments, especially in closed basin lakes where evaporation

and precipitation dominate water budgets (see *Geological Significance of Microbialites*, p. 69). Relatively rapid depth and climate changes can produce distinct fabrics in lacustrine microbialites, particularly in stromatolites (FRANTZ & others, 2014; FEDORCHUK & others, 2016).

#### Springs

Both carbonate and silica microbialites are well known from modern hot and cold springs (WEED, 1889; JONES & RENAUT, 1997; TURNER & JONES, 2005). Modern spring microbialites are of low areal extent compared with peritidal and lacustrine examples, although wetter climates generally lead to increased discharge rates and more extensive deposits (BARGAR 1978; GUO & RIDING, 1998). Most described spring microbialites are stromatolitic, with macrostructure varying as flow gradients shift from the vent to outflow apron (WALTER, BAULD, & BROCK, 1972; JONES, RENAUT, & ROSEN, 1998). Differentiating true biologically mediated microbialites from abiogenic deposits (e.g., tufa, travertine, and sinter) is a continuing challenge, because many spring deposits are thinly laminated without the presence of microbial mats (KONHAUSER & others, 2003; RIDING, 2008). However, recent work on silica-cemented microbial textures has demonstrated exquisite preservation of microbial cells (SCHULTZE-LAM & others, 1995; KONHAUSER & others, 2001; MATA & others, 2012), although it remains to be shown if this preservation would persist through early diagenesis.

#### Hydrocarbon Seeps

Both stromatolitic and thrombolitic textures have been described at hydrocarbon seeps, but many deposits are neither laminated nor clotted and would best be termed as leiolites (GREINERT, BOHRMANN, & ELVERT, 2002; SHAPIRO, 2004a; LLOYD & others, 2010). The microstructure of seep microbialites is noteworthy for abundant non-fecal micropeloids, dissolution surfaces, yellow, bladed calcite cements, and arago-

nitic botryoids (CAMPBELL, FARMER, & DES MARAIS, 2002), although carbonate fabrics themselves are not conclusive of a hydrocarbon source. Instead, microbial carbonates with substantially depleted  $\delta^{13}\text{C}$  signatures are a common indicator of hydrocarbon seeps (AHARON, 2000) and reflect either thermogenic or biogenic methane as sources of carbon (BIRGEL & others, 2006). The co-occurrence of chemosynthetic metazoans alongside localized carbonate deposits within a siliciclastic lithofacies provides additional biological and sedimentary evidence for hydrocarbon seeps.

## ANCIENT EXAMPLES

### Precambrian

The great antiquity of stromatolites cannot be overstated. Dating back nearly 3.5 billion years (Ga), stromatolites have a sporadic but impressive preserved fossil record through Archean and Proterozoic deposits (HOFMANN, 2000; SCHOPF, 2006b). NUTMAN and others (2016) recently report stromatolitic textures in 3.7 Ga carbonates from Isua, Greenland, but subsequent studies argue that domal and conical textures are the result of secondary alteration (ALLWOOD & others, 2018). The oldest definitive Archean stromatolites are from the Pilbara Craton of Australia, including the 3.5 Ga Dresser Formation (WALTER, BUICK, & DUNLOP, 1980; VAN KRANENDONK, 2006; VAN KRANENDONK, WEBB, & KAMBER, 2003), and the 3.2 Ga Strelley Pool Chert (LOWE, 1980; ALLWOOD & others, 2006), as well as 2.7–2.5 Ga Fortescue and Hamersley Group deposits (BUICK, 1992; LEPOT & others, 2008; HICKMAN, 2012).

The Kaapvaal Craton of South Africa also contains a variety of Archean stromatolites and other microbial textures, including the Buck Reef Chert, Pongola and Ventersdorp Supergroups, and the Campbellrand-Malmani Dolomite (BUCK, 1980; BEUKES, 1987; BEUKES & LOWE, 1989; SUMNER, 1997, 2000; TICE & LOWE, 2006; HOMANN, 2019; WILMETH & others, 2019). Other Archean

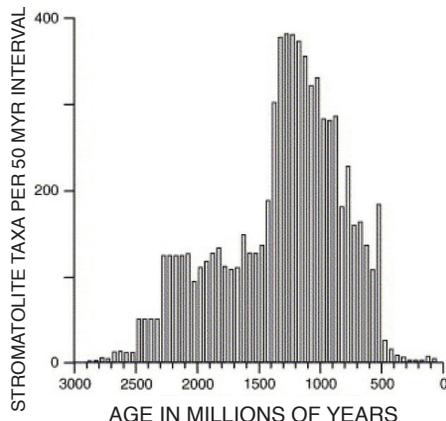


FIG. 27. Stromatolite abundance plot of AWRAMIK and SPRINKLE (1999) as presented in RIDING (2006).

stromatolite locations include the Superior and Slave Cratons (Canada), the Dharwar and Singhbhum Cratons (India), the Yilgarn Craton (Australia), and the Zimbabwe Craton (HOFMANN, 2000; SCHOPF, 2006b).

The Precambrian microbialite record is rich and diverse enough to suggest secular variation in stromatolitic attributes (GROTZINGER & KNOLL, 1999). In general, stromatolites are less common in the Archean (although this is likely a function of lack of preserved suitable facies within greenstone belts) and increase in both diversity and abundance through the Paleoproterozoic, reaching a maximum in the Mesoproterozoic (AWRAMIK & SPRINKLE, 1999) (Fig. 27). The Proterozoic increase in microbialite abundance and diversity has been linked to the development of large continents with stable continental shelves where extensive shallow-marine carbonates can form (ERIKSSON & others, 2006, 2007). Although stromatolites and thrombolites continued to dominate shallow water carbonates during the Neoproterozoic, overall microbialite diversity decreased during this era (GROTZINGER, 1990; AWRAMIK & SPRINKLE, 1999). Lacustrine and spring microbialites have also been described as early as the Archean, although smaller primary spatial extents limit the number of known locations (BUCK,

1980; BUICK, 1992; DJOKIC & others, 2017; WILMETH & others, 2019). Many researchers have developed biostratigraphic schemes for correlating Precambrian deposits (e.g., CLOUD & SEMIKHATOV, 1969; KNOLL & SEMIKHATOV, 1998; SEMIKHATOV & RAABEN, 2000) although not without controversy.

There are several features of Precambrian stromatolites that segregate them from younger, Phanerozoic counterparts. Precambrian stromatolites are fine grained, with most Archean and Paleoproterozoic forms dominated by crystalline microfabrics (RIDING, 2008). Some have argued that Precambrian stromatolites are more likely to host abiotic crystal precipitate layers than their Phanerozoic counterparts (GROTZINGER & KNOLL, 1999). Precambrian deposits contain extremely large stromatolites, measured in tens of meters in height and diameter, including size ranges that are not known from the Phanerozoic (BEUKES, 1987; FRALICK & RIDING, 2015). In contrast, ministromatolites one to several millimeters across are most common in the Neoproterozoic and Paleoproterozoic (HOFMANN & JACKSON, 1987; MEDVEDEV & others, 2005). Upper Paleoproterozoic and Mesoproterozoic marine stromatolites display many diverse macrostructures rarely observed in Phanerozoic deposits, including simple and complexly branching forms (CLOUD & SEMIKHATOV, 1969; AWRAMIK & SPRINKLE, 1999). In terms of size, fabric, and morphology, uniformitarian principles do not allow for clear correlation from modern marine stromatolites to the vast Precambrian record.

#### Phanerozoic Marine and Lacustrine

Phanerozoic marine microbialites are noteworthy for their relatively simplistic morphologies compared with Proterozoic forms. Even when comprising kilometer-scale bioherms and biostrome deposits, most Phanerozoic microbialites are dominated by simple centimeter- to meter-scale, unbranched columns comprised of irregular but roughly parallel laminae. Detrital grains become increasingly important fabric

components over time, typically as fine- to medium-grained sediments.

Another noteworthy development in Phanerozoic (and Neoproterozoic) microbialites is the addition of algae, foraminifera, poriferans, and other metazoans to the construction. The role of metazoans ranges from passive benthic filter feeders that use the rigid developing microbialite as a base to active constructors (DUPRAZ & STRASSER, 1999; KERSHAW, ZHANG, & LAN, 1999; RICARDI-BRANCO & others, 2018). Thrombolites first appear in the Neoproterozoic (although there are reports from the Paleoproterozoic) and are common during the middle Cambrian through Lower Ordovician (KENNARD & JAMES, 1986; KAH & GROTZINGER, 1992; ROWLAND & SHAPIRO, 2002). Thrombolites also increase in abundance during the Devonian, Mesozoic, and locally during the Neogene (KENNARD & JAMES, 1986; SHAPIRO, 2000).

The fossil record of dendrolites is still poorly established, but deposits are abundant in the Cambrian with potential resurgences in the Devonian and Jurassic (SHAPIRO & AWRAMIK, 2000). It is likely that previously published reports of thrombolites will be revised as dendrolites with further study, because the two forms were not distinguished in the past. Oncoids, which had been present since the Archean, also had an increase in abundance during the Cambrian, as well as the appearance of filamentous *Girvanella* microfossils (SHAPIRO, 2004b).

It is important to note that Phanerozoic lacustrine and fluvial stromatolites can be quite diverse with respect to macrostructure, with many forms developing columns and pseudocolumns on upper surfaces. In microstructure, lacustrine stromatolites are typified by more regular, repeating couplets of laminae, separated by sharp boundaries. Primary cement fabrics such as botryoids and isopachous bladed calcite are also more common in lacustrine stromatolites than their marine counterparts (CASANOVA, 1994), and a challenge of lacustrine stromatolite description and terminology is

differentiating organically mediated accretion from presumably abiogenic tufa (RIDING 2008; PETRYSHYN & CORSETTI, 2011).

## GEOLOGICAL SIGNIFICANCE OF MICROBIALITES

HOFMANN (1973) detailed 15 different geological topics where microbialites can be utilized, ranging in scale from geopetal indicators to evidence for the oldest life on Earth. Subsequent studies have further expanded the significance of microbial deposits, particularly in the fields of geochemistry, geobiology, and astrobiology. Rather than cover each topic in detail, this section lists several applications for researchers to consider when studying microbialites. A unifying principle behind many of these applications is that as benthic trace fossils, microbialites can faithfully record *in situ* biological, sedimentary, and geochemical conditions during formation.

### MICROBIALITES AS FACIES INDICATORS

For many geologists, a significant value of microbialites is their use as facies indicators. For example, microbialite facies definitions have recently become important after the announcement of vast carbonate reservoirs in the deep pre-salt deposits of offshore Brazil, which may be microbialite or tufa in origin (AWRAMIK & BUCHHEIM, 2012; MUNIZ & BOSENCE, 2015). Yet, like most carbonate deposits, there are few generalities that apply to the vast rock record, and uniformitarian principles do not always apply to ancient microbialites. In particular, the utility of stromatolites has been hampered due to what has been termed the Shark Bay Effect. Although BLACK (1933) described modern stromatolites from the intertidal flats of Abaco Island, Bahamas, the forms were small and the widespread applicability was not realized. The discovery of meter-scale buildups in Shark Bay, Western Australia (LOGAN, 1961) revolutionized the field and provided a key analog of a restricted marine,

hypersaline, intertidal setting. Subsequently, nearly all fossil stromatolite buildups were interpreted—or reinterpreted—as hypersaline and intertidal, even when the deposit lacked additional criteria for recognition such as mudcracks, herring-bone crossbeds, or evaporate molds. Therefore, the discovery of morphologically similar stromatolites in subtidal, normal marine tidal channels of Eleuthera (DRAVIS, 1983) and the Exuma (DILL & others, 1986) Islands, Bahamas, opened the door to much broader interpretation of microbialite depositional environments.

Although the diversity of microbial forms produces many unique sedimentary facies, there are several trends in the facies applicability of microbialites that are corroborated across multiple studies. A few general observations are listed below, with the volume edited by RIDING and AWRAMIK (2000) providing an excellent resource for more detailed comparisons of different facies models. In most deposits, microbialites form on flooding surfaces, with stromatolites occurring in shallower water than thrombolites do. Stratiform deposits are typically indicative of intertidal conditions, as is the case with crinkly microbial mats. In plan view, if a significant major axis develops, it is likely that the axis parallels the dominant current. Branching appears to be related to an increase in sedimentation relative to growth rates. Other models will no doubt be added as additional studies are published.

### BIOSTRATIGRAPHY OF MICROBIALITES

The biostratigraphic utility of microbialites remains a debated topic. Because microbialites are produced by microbial ecosystems rather than by individual organisms, biostratigraphic studies are often highly scrutinized (GROTZINGER & KNOLL, 1999; BOSAK, KNOLL, & PETROFF, 2013). However, even though microbial structures are not subject to the same evolutionary patterns that govern eukaryotic index fossils, Proterozoic deposits typically contain temporally constrained patterns of unique stromatolite

morphologies. Early observations led Soviet scientists to employ a biostratigraphic zonation for the Siberian Platform (see reviews in CLOUD & SEMIKHATOV, 1969). Similar patterns have subsequently been described across various Proterozoic basins, most notably in Australia (GREY & THORNE, 1985; HILL, COTTER, & GREY, 2000). Most stratigraphic studies are restricted to intra-basinal deposits, although some have attempted to expand correlations between cratons (MEDVEDEV & others, 2005; GREY, HILL, & CALVER, 2011). A few case studies have also employed microbialites for correlation in early Phanerozoic deposits (SHAPIRO & AWRAMIK, 2000, 2006). The governing forces behind widespread changes in Proterozoic stromatolite morphologies still remain enigmatic, potentially representing large-scale shifts in climate, biology, or geochemical cycles (SEMIKHATOV & RAABEN, 2000).

#### MICROBIALITES AS SIGNALS OF ENVIRONMENTAL CHANGE

Microbialite abundance and diversity broadly decrease across the Phanerozoic, and relatively sudden increases in microbialite deposits appear to be linked to shifts in climate, metazoan ecology, or both. For example, the end-Devonian and end-Permian mass extinctions are associated with increased microbialite abundance, as reviewed in MATA and BOTTJER (2012). Many studies note the expansion of microbialites across ramp, platform, and shelf environments after the end-Permian mass extinction, the most devastating in Earth history (SCHUBERT & BOTTJER, 1992; BAUD, CIRILLI, & MARCOUX, 1997; BAUD, RICHOSZ, & PRUSS, 2007; PRUSS & others, 2006; KERSHAW & others, 2007; MATA & BOTTJER, 2011). Even though the end-Devonian event was less severe regarding metazoan diversity, microbialites flourished during the aftermath (PLAYFORD, 1980; WOOD, 2000; WEBB, 2002; WHALEN & others, 2002). Various hypotheses exist as to why microbialite abundances are

less pronounced during other mass extinctions, including ecospace competition from bioturbating and reef-building organisms, as well as carbonate availability (MATA & BOTTJER, 2012).

In addition to providing sedimentary evidence for ecological shifts, microbialites have the potential to record geochemical signatures of climate and environmental change. Stromatolites can contain especially detailed records of local geochemistry over time, with each layer representing a distinct period of microbial growth and mineral precipitation. Strong climate signals are observed from stromatolites within closed lake systems, where concentrations and isotopes of stable elements are controlled by variations in evaporation and precipitation (TALBOT, 1990). Studies of lacustrine stromatolites have focused on several Cenozoic climate changes relevant to modern interest, including the Early Eocene Climatic Optimum and Pliocene trends (ABELL & others, 1982; FRANTZ & others, 2014; PETRYSHYN & others, 2016), with occasional studies investigating Mesozoic environments (DE WET & HUBERT, 1989; WOO & others, 2004). Geochemical analyses of microbialites from any age or environment need to first analyze diagenetic and/or metamorphic alteration of minerals before collecting data, especially in easily altered carbonate minerals.

#### CONCLUSION

More than three billion years of interactions between microbial mats and their surrounding environments has produced a staggering diversity of microbialites. Many macroscale morphologies and mesoscale textures are specific to certain times and facies, and a detailed analysis of every form of microbial deposit would require a separate treatise for adequate description. The reader is invited to further investigate specific topics presented by consulting the reviews and primary literature cited herein.

# MICROBIALLY INDUCED SEDIMENTARY STRUCTURES (MISS)

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## INTRODUCTION

To date, microbialites include five groups: stromatolites, thrombolites, leiolites, and dendrolites. All these microbialites occur in carbonate or silica lithologies. However, research during the past 25 years has defined an additional group of microbialites that occurs predominantly in clastic deposits. These structures are called microbially induced sedimentary structures, commonly simply abbreviated to MISS. The morphologies of MISS do not resemble those of precipitated microbialites due to the much different formation and different location of these structural groups. The genesis of the main types of MISS has been elucidated in studies in modern environments. The results were key for the search of such structures in the fossil record. Systematic exploration from youngest to oldest stratigraphic successions has given rise to a data set that allows identification of MISS in respective paleoenvironments. MISS are biosignatures helpful to understanding aspects of prokaryote evolution and the search for life on other planets.

## BIOFILMS AND MICROBIAL MATS

Modern sedimentology recognizes that benthic microbiota are (and have always been) part of every sediment and that microbial activities may substantively contribute to sediment formation and lithification (Fig. 28).

In close-up view, sedimentary deposits are widely colonized by a great variety of benthic microorganisms. Most of these microbes organize into aggregates called biofilms, which are attached to a surface. Biofilms are

probably the most common organization of life, developing everywhere in nature provided that water molecules and a surface are present (STOODLEY & others, 2002; NEU, 1994; GERBERSDORF & others, 2008; STAL, VAN GEMERDEN, & KRUMBEIN, 1985; RAMSING, FERRIS, & WARD, 2000; FRANKS & STOLZ, 2009; GERBERSDORF & WIEPRECHT, 2015; ESPINOZA-ORTIZ & GERLACH, 2021). Biofilms include both microbial cells and their extracellular polymeric substances (EPS); (e.g., DECHO, 1990, 1994). EPS are cohesive mucilages comprised of complex polysaccharide biomolecules that provide a suitable microenvironment for the microorganisms, buffering against rapid environmental changes, such as desiccation, sudden salinity changes, and other environmental stressors (DECHO, 1994; FLEMMING, NEU, & WOZNIK, 2007; WESTALL & RINCE 1994; WESTALL & others, 2000). These mucilages serve to anchor cells on their substrate or enable the motion of cells within the structure of the biofilm. Biofilms are therefore assemblages of cells working interdependently with each other with the ultimate aim of effective resource exploration. In a biofilm community, cells are arranged in certain positions relative to one other, allowing collaborative nutrient harvesting and consumption (DECHO, 1994). Biofilm research, especially in the medical sciences, reveals a complex pattern of communication between cells. Such communication takes place between different groups of prokaryotes and even some eukaryotes. Quorum sensing between members of the biofilm ensures targeted action of the community (WATERS & BASSLER, 2005; DECHO, NORMAN, & VISSCHER, 2010; DECHO, & GUTIERREZ, 2017).

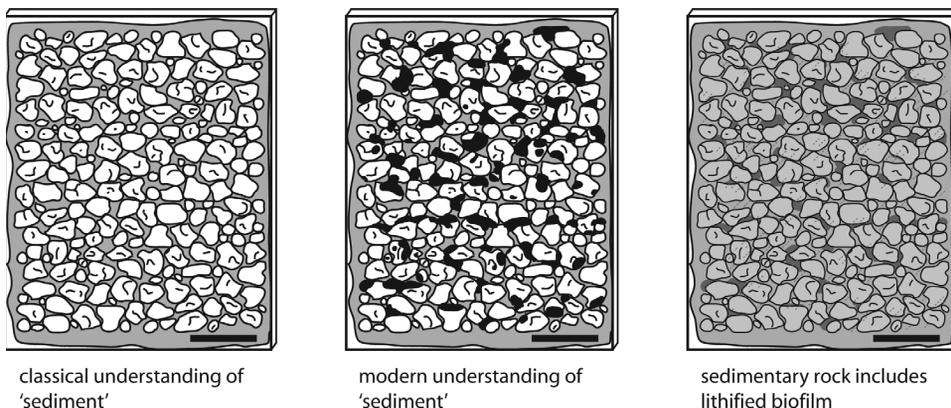


FIG. 28. Biofilms in classic and modern sedimentology. Modern sedimentology understands sediment not as a mere assemblage of mineral grains. Rather, biofilms colonize particles of sediment as long as water molecules are present. *In situ* lithification of the biofilm adds to cementation during diagenesis.

In marine settings, biofilms may merely envelope a sedimentary grain (Fig. 29.1); however, at suitable natural sites, they may develop into large, macroscopically visible layers. Such large-scale organic layers are termed microbial mats (Fig. 29.2–29.3).

In sedimentology, classical and well-studied examples of microbial mats include so-called algal mats in tidal settings, predominantly those constructed by cyanobacteria (BLACK, 1933; HARDIE & GARRETT, 1977; HORODYSKI, BLOESER, & VONDER HAAR, 1977; KRUMBEIN, 1983; GERDES, KRUMBEIN, & REINECK, 1985; COHEN & ROSENBERG, 1989; GERDES & KRUMBEIN, 1987; REINECK & others, 1990; GINSBURG, 1991; VAN GEMERDEN, 1993; STAL & CAUMETTE, 1994; TAHER & others, 1994; REID & others, 1995; STOLZ, 2000; PEARL, PINKNEY, & STEPPE, 2000; GERDES, KRUMBEIN, & NOFFKE, 2000; VASCONCELOS & others, 2006; TAHER, 2014). However, there are many types of microbial mats in a great array of environments including the deep-water marine (e.g. GALLARDO, 1977; HEIJS, SINNINGHE DAMSTE, & FORNEY, 2005; GALLARDO & ESPINOZA, 2007). Despite their impressive sizes—sometimes many square kilometers—microbial mats are still nothing more than biofilms.

A look at the vertical organization of a microbial mat reveals that it is comprised of

a stack of horizontal layers, each of which is dominated by a microbial community different to that of the layer above or below (Fig. 30). This arrangement into layered communities has been investigated with the example of the multicolored sand flat (microbial mats in tidal flats) in great detail (STAL, VAN GEMERDEN, & KRUMBEIN, 1985; VISSCHER & STOLZ, 2005). The metabolic activities of the community of each layer interlock with the metabolic activities of the communities in the layers directly above and below. This interlocking arrangement results in a complex interactive system best described as a cooperative of microbial groups. It functions as what could be called a “disassembly line” that harvests energy from the environment and transforms it through many steps first into organic matter and then into mineral substances (STAL, VAN GEMERDEN, & KRUMBEIN, 1985; DES MARAIS & CANFIELD, 1994; VISSCHER & STOLZ, 2005; DUPRAZ & others, 2009; BLUMENBERG, THIEL, & REITNER, 2015) (Fig. 30).

In modern tidal flats, the top layer of microbial mats comprises photoautotrophic cyanobacteria that, as primary producers, harvest sunlight and store this energy as biomass. The layer immediately beneath the cyanobacteria includes chemoorganotrophic microbes that gain energy by disintegrating

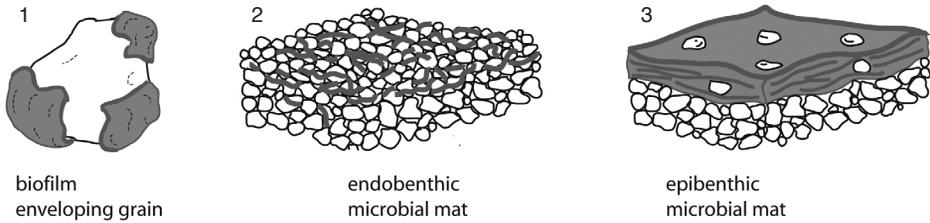


FIG. 29. The three endmembers of microbial type in an aquatic setting. A biofilm (1) is a microscopic coating around individual mineral grains. A microbial mat (2–3) is a macroscopic biofilm covering wide areas of sedimentary surfaces, sometimes square kilometers. Microbial mats can be separated into endobenthic mats, which occur within the uppermost layers of sediment (2), and epibenthic mats (3), which grow on top of the sediment surface. Sizes of grains, ~0.2 mm.

the complex biomolecules of the primary producers into inorganic compounds. Further beneath, in the third layer, these inorganic compounds are further disassembled by chemolithotrophic microbes. At the base of this stack of layers, small molecules such as methane and ions are released, for example by methanogenic bacteria or archaea (KINSMAN-COSTELLO & others, 2017). The finally released cations and anions at the base of the disassembly line immediately react with chemical compounds suspended in the surrounding water and sediment

(SCHULTZE-LAM & others, 1996). The results of these reactions can be nucleation points for mineral precipitates. Because the first mineral precipitates still include water molecules, they are commonly amorphous. In carbonate regimens, early crystalline dolomite or calcite may form, typically directly nucleating in the EPS (VAN LITH & others, 2002; SÁNCHEZ-ROMÁN & others, 2008; DUPRAZ & others, 2009). Later, during diagenesis, larger-scale crystallinity develops. Such processes lead to the replacement of organic matter by inorganic mineral

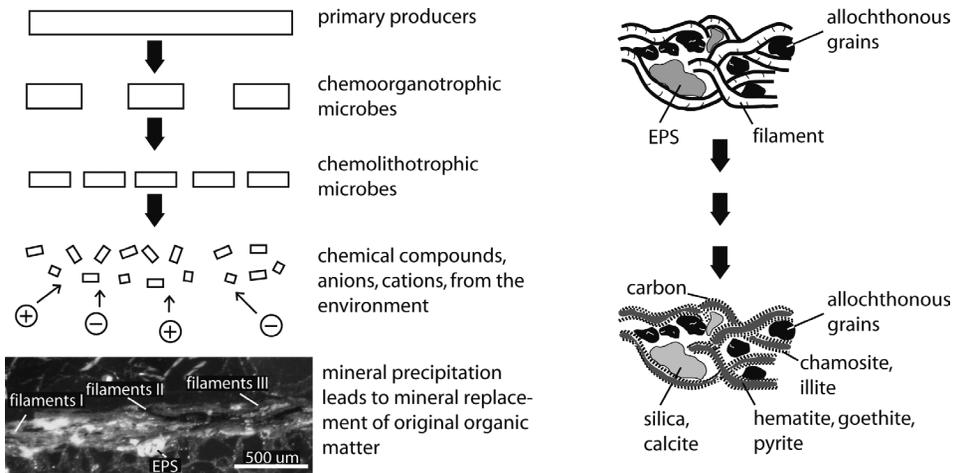


FIG. 30. The microbial energy disassembly line of a microbial mat (left) and the resulting formation of minerals (right). Left: The primary producers in the top of the mat harvest solar energy via photosynthesis and transform it into organic matter. This organic matter serves as the energy source for various heterotrophic microbial groups in deeper parts of the mat. *In situ* precipitation of minerals is a consequence of this metabolic disassembly line. Right: Dependent on the chemical composition of water in sediment, typical minerals crystallize, replacing the original organic matter. In many fossil microbially induced sedimentary st, the cell walls of filaments still include some of the original carbon, and chamosite and illite may form. Pyrite, goethite, and hematite may have replaced the ancient trichomes, whereas silica and calcite may have replaced fossil extracellular polymeric substances (EPS).

substances and ultimately to the preservation of microbial mats (FERRIS, BEVERIDGE, & FYFE, 1986; FERRIS, FYFE, & BEVERIDGE, 1987, 1988; SCHULTZE-LAM & others, 1996; KONHAUSER & RIDING, 2012). Impressions of mat textures, as known from carbonate microbialites, have to our knowledge not been observed in siliciclastic material. In summary, the cooperative action of this microbial disassembly line transforms and transfers the original amount of solar energy, via several steps, first into organic matter and then into chemical compounds (SCHULTZE-LAM & others, 1996). The microbes work as a cooperative until almost all of the original energy is used up.

The difference between MISS and carbonate/silica microbialites, such as stromatolites, is that in the latter rapid and ubiquitous *in situ* lithification of EPS takes place (DUPRAZ & others, 2009). The EPS constitute organic matrix, providing a template for nucleation of carbonate minerals (DUPRAZ & others, 2009). In MISS, such EPS lithification plays only a minor role in structure formation (NOFFKE & AWRAMIK, 2013). Here, *in situ* replacement of filaments happens very quickly (SCHIEBER & others, 2007; NOFFKE, 2010; GOMES & others, 2020).

## FORMATION OF MISS AND MAIN MORPHOTYPES

In general, three main types sedimentary systems are distinguished: 1) clastic, 2) clastic-evaporitic, and 3) carbonatic (WARREN, 1999). Clastic deposits are comprised of mineral grains, bioclasts, and lithoclasts. Such deposits are governed by physical sedimentary dynamics (erosion and deposition). Dynamic events are interrupted by a time period of quiescence called latency. Clastic-evaporitic settings are likewise characterized by such physical sedimentary dynamics but, in addition, also by evaporite mineral crystallization. Carbonate sediments are subject to both physical dynamics and evaporite mineral formation but are dominated by carbonate precipitation. The term sediment,

however, cannot be understood as substrate merely comprised of particles that by diagenetic processes turn into a cement-stabilized sedimentary rock. The hydraulic activities are reflected by the wealth of sedimentary structures that are well familiar to sedimentologists (PETTIJOHN & POTTER, 1964). In order to survive, macro- and microbenthos must be able to actively respond to sedimentary dynamics.

Clearly, given the small scales relevant to the microbial world, any instability of the substrate affects microbenthos significantly. In a high-energy setting, strong waves and currents may erode and rip off microbial mats from their substrate, forming meter-scale roll-ups (CUADRADO & others, 2015; MAISANO, CUADRADO, & GÓMEZ, 2019). In arid, terrestrial settings, roll-ups form through desiccation of a mat. In a low-energy environment, fine particles may continuously fall out of suspension and bury the microbenthos, potentially altering the physico-chemical properties of the sediment or blocking essential sunlight from reaching the bottom. In the face of such challenges, microbes ensure the survival of the biofilm community by active upward motion and escape from burial (BEBOUT & GARCÍA-PICHEL, 1995; PATERSON & BLACK, 2000; SHEPARD & others, 2005; SHEPARD & SUMNER, 2010; CUADRADO, CARMONA, & BOURNOD, 2011; RISGAARD-PETERSEN & others, 2015). That means that microbes respond differently to erosion than to deposition, which results in lessened erosion rates and increased depositional rates. In fact, the microbial activities generate moderate dynamic sedimentary conditions more suitable for microbial colonization of deposits (NOFFKE, KNOLL, & GROTZINGER, 2002; NOFFKE, 2010). The microbenthos, thus, establishes what we've termed a "window of optimal dynamic conditions" for biofilms and microbial mats to form and thrive (Fig. 31).

Physical sedimentary dynamics include erosion, deposition, and latencies. Deformation plays a role once the sediment is deposited. Erosion differs from deposition in its physical sediment dynamics. Microbial activi-

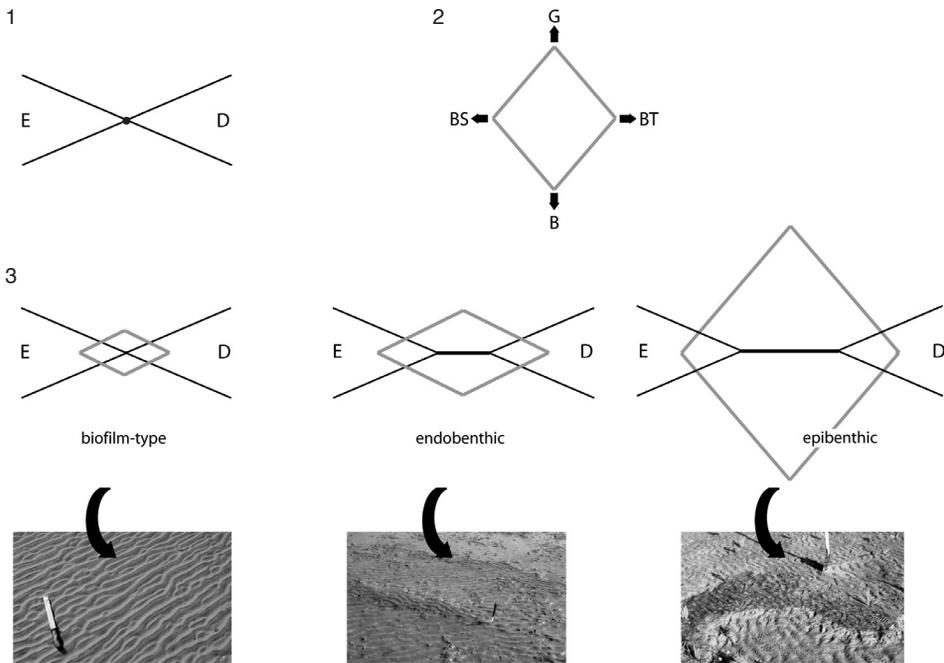


FIG. 31. Overview of the microbial modification of physical sedimentary dynamics. Microbial mats and biofilms influence physical sediment dynamics in such a fashion that the microbenthos constructs its own dynamically suitable habitat, the optimal dynamic window for mat development (see NOFFKE, KNOLL, & GROTZINGER, 2002). 1, Physical sediment dynamics without microbial influence: E, erosion; D, deposition; *dot* at the crossing point, latency (time of no erosion or deposition). 2, Physical sediment dynamics affected by microbial influence. The rhombus (gray) represents microbial activities: G, growth; BT, baffling and trapping; BS, biostabilization; B, binding. Microbial activities create the window of optimal dynamic conditions biostabilization (BS) acts against erosion, while baffling and trapping (BT) increases the rate of deposition, especially of grains of the silt- to fine-sand fraction. Growth (G) and binding (B) rise the sedimentary surface. 3, The presence of small biofilms would not affect ripple morphologies (photo, *left*). However, where endobenthic microbial mats establish, biostabilization counteracting erosion (E) and baffling and trapping fostering deposition (D) sets in, and in consequence, the latency (black horizontal line separating E and D and representing time periods of dynamic quietness) increases. Endobenthic microbial mats modify physical sediment dynamics moderately and therefore their erosional remnants and pockets (photo, *middle*) appear as somewhat projecting surface morphologies. Epibenthic microbial mats affect erosion and deposition significantly and in consequence their erosional remnants and pockets are larger structures (photo, *right*). Color version available in *Treatise Online* 162 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

ties differ from each other as well. Microbial growth is not the same as biostabilization, and both are distinct from baffling and trapping. Furthermore, binding also differs from the three other activities. Biostabilization is the response to erosion; baffling and trapping is the response to deposition; and growth (cell replication and EPS-production) or binding (organizing a mat fabrics by movement, not growth) is a response to latencies.

The microbiotic-physical interactions produce sedimentary structures (MISS) that, due to the different nature of their forma-

tional processes, differ morphologically from the physical sedimentary structures (*sensu* PETTIJOHN & POTTER, 1964) generated by purely physical dynamics.

### GROWTH

Sediment affected neither by erosion nor by deposition provides a most suitable substrate for a biofilm or microbial mat to grow. This moment (or time period) of quiescence is called latency. Growth is herein understood as the increase of biomass, both through cell replication and the production

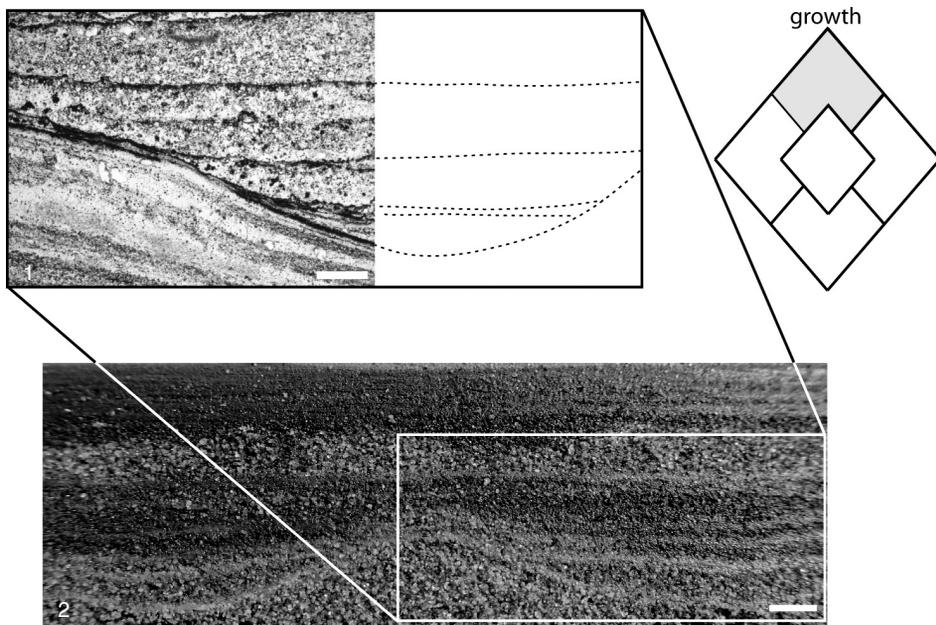


FIG. 32. Examples of microbially induced sedimentary structures formed by growth. A ripple valley is filled-in with layers of sediment (light) alternating with (dark) microbial mat laminae. 1, Thin section of sample from 3.48 Ga Dresser Formation, Pilbara, Western Australia, scale bar, 0.1 cm. 2, A scenario similar to (1) is visible in this vertical section through a modern sediment sample, Paso Seco coastal area, Argentina, scale bar, 0.5 cm. Color version available in *Treatise Online* 162 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

of EPS and the establishment of a fully functioning biofilm community best suited for its specific environmental locale. With continuous growth of a biofilm or microbial mat, its vertical thickness increases. A microbial mat covering a bumpy sedimentary surface will—if the growth remains undisturbed—eventually smoothen this uneven surface relief. Thus, surface becomes level, or planar (Fig. 32). In this context, laminated leveling structures may form (NOFFKE & others, 2001; NOFFKE, 2010; LIU & ZHANG, 2017).

In microscopic close-up of a growing microbial mat, the biomass surrounding a mineral grain increases in thickness over time. The developing biomass forces grains upward and away from each other until the original grain-grain contact is lost (Fig. 33.2). Such individual grains in the mat matrix may be observed, especially in thin sections of epibenthic microbial mats. Typically, the grains rotate to a position with their long-axes parallel to the sedimentary

surface, termed oriented grain (see NOFFKE & others, 1997) (Fig. 33.3).

### BIOSTABILIZATION

Biostabilization includes three types of processes. It may be a response to 1) erosion by horizontally directed water currents, but also to 2) intra-sedimentary gas pressure, or to 3) mechanical stress leading to ductile deformation. Species diversity, EPS structure and adhesiveness, salinity, light conditions, and other factors play a role in the effectiveness of biostabilization (YALLOP & others, 1994; PATERSON, 1997; AMOS & others, 2004; CONSALEVY & others, 2004; FRIEND & others, 2008; TAHER & ABDEL-MOTELIB, 2014; GERBERSDORF & WIEPRECHT, 2015; DICK, GRIM, & KLATT, 2018).

Biostabilization type 1 is the response of benthic microbiota to erosive forces by a horizontally directed water current passing the mat surface (Fig. 33). The smooth, EPS-rich surface of epibenthic microbial mats

induces a predominantly laminar flow across its surface (BS A in Fig. 33.1). Such laminar flow generally has a far less eroding effect than turbulent flow because of absence of the vertical component of motion (STOODLEY & others, 2005; NOFFKE, 2010; TICE & others, 2011; HAGADORN & McDOWELL, 2012). Endobenthic microbial mats develop within the upper millimeters of a sedimentary surface such that, in microscopic close-up, individual mineral grains project upward from the surface (BS B in Fig. 33.2). The surface is rough. Thus, passing water currents have a turbulent character with a higher erosive effect. In local areas, where hydrodynamic reworking constantly exceeds mat stability, only limited biofilms can develop. They cover water-suspended grains, sometimes holding a few grains together. Constant water motion keeps such biofilm-grain-aggregates in suspension for a longer time than sterile mineral grains (BS C in Fig. 33.3). The reason for this prolonged suspension is that biofilm-grain aggregates have comparatively larger diameters and lower specific densities than individual sterile grains. It appears that one advantage of this microbially induced suspension mechanism is to prohibit the lethal burial of microbes by light-blocking sediment (NOFFKE, 2010). This type of biostabilization may also give rise to microsequences (NOFFKE & others, 1997). Microsequences are vertical successions of graded sediment layers covered by a microbial mat on the top of each bed. As soon as quiet conditions establish, the mat can develop. Each layer is preserved due to the biostabilization effect of the mat, which exceeds the erosion.

Biostabilization type 2 is the sealing of sediment by EPS that prohibit gas exchange between deposits and water or the atmosphere. Consequently, gases ( $O_2$ ,  $CO_2$ ,  $CH_4$ ,  $H_2S$ , and others), which accumulate in the pore space of clastic deposits beneath microbial mats cannot escape. Consequently, gas pressure in the sediment may cause millimeter-scale pores visible in vertical section through mat-sealed sediment. Such

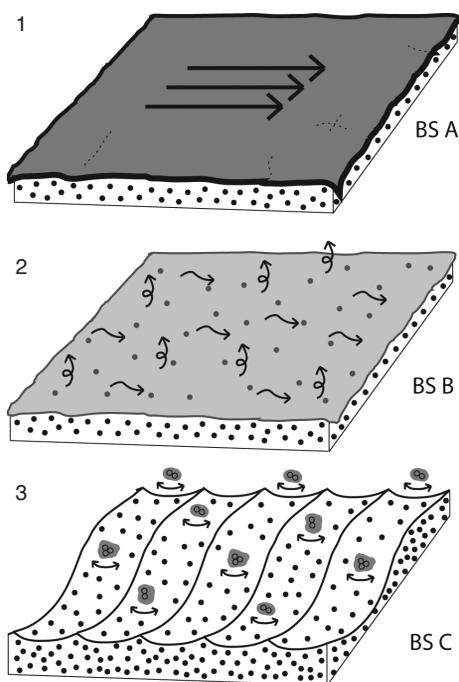


FIG. 33. Biostabilization type 1 by microbial mats and biofilms. Biostabilization BS A (1) is observed in epibenthic microbial mats sealing the sedimentary surface; biostabilization BS B (2) is observed in endobenthic microbial mats that form organic networks within the upper layers of the sedimentary deposits; biostabilization BS C (3) is observed in microbial-sediment aggregates.

sedimentary textures are termed sponge pore sand (TEBBUTT, CONLEY, & BOYD, 1965; NOFFKE & others, 1996; KINSMAN-COSTELLO & others, 2017) (Fig. 34).

Gas domes are local centimeter-scale upheavals associated with biostabilization type 2, which locally form as a result of gas accumulations immediately beneath a microbial mat (NOFFKE & others, 1996; WILMETH & others, 2014) (Fig. 35). Commonly, sponge pore fabrics and gas domes occur together.

Biostabilization type 3 involves the reaction of mat-stabilized sediment in ductile fashion. This biostabilization is typical in areas of vertically oriented water motion, e.g. where oscillating groundwater affects the sedimentary surface. A desiccating, microbial-mat-bound sand layer contracts,

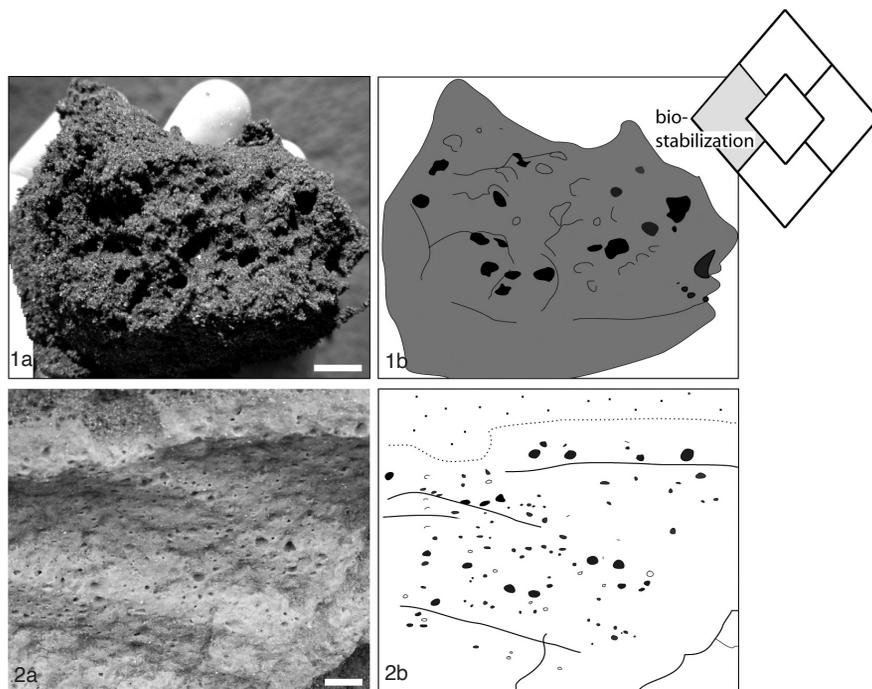


FIG. 34. Examples of microbially induced sedimentary structures caused by biostabilization. 1, Sponge pore structure in modern sand flats, Paso Seco, Argentina (a), with accompanying drawing (b), scale bar, 1 cm. 2, Sponge pore structure in the Rio Negro Formation (Miocene–Pliocene), Argentina (a), with accompanying drawing (b), scale bar, 1 cm. Color version available in *Treatise Online* 162 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

curls up, and loses contact with the sediment beneath (GERDES, KLENKE, & NOFFKE, 2000). Unconsolidated, loose sand in the absence of biology would react to desiccation simply by dispersing into individual grains. However, if a microbial mat holds grains in place, the sediment does not disperse. Rather, the mat-bound sediment layer has deformation properties similar to clay (ductile deformation). Deformation of mats may also result from mechanical dislocation of a microbial mat through transport and lateral shear (PFLÜGER & GRESSE, 1996; SIMONSON & CARNEY, 1999; TICE & LOWE, 2004). MISS such as roll-ups or over-flips are good examples of this (Fig. 36).

In semi-arid climate zones, where significant seasonal changes affect sediments such that the degree of moisture switches periodically between dry and moist, MISS such as polygonal oscillation cracks form. The periodic shrinking and expanding of

microbial mat polygons causes their edges to increasingly budge (NOFFKE, GERDES, & KLENKE, 2003). Additionally, the effects of gas pressure are thought to play a role in this process, since seasonally occurring gas domes are frequently associated with polygonal oscillation cracks.

#### BAFFLING AND TRAPPING

Microorganisms respond to deposition by baffling and trapping (BLACK, 1933), which are two different processes (Fig. 37). Baffling is the response of the microbenthos to sedimentation (NOFFKE, 1997; GERDES, KRUMBEIN, & NOFFKE, 2000; SCHIEBER, 2004). In laboratory experiments, filaments of cyanobacteria are shown to orientate vertically and move upward in accordance with sedimentation rate (GERDES, KRUMBEIN, & REINECK, 1991). Such vertical movement of cyanobacteria (and other photoautotrophic microorganisms) is called phototaxis;

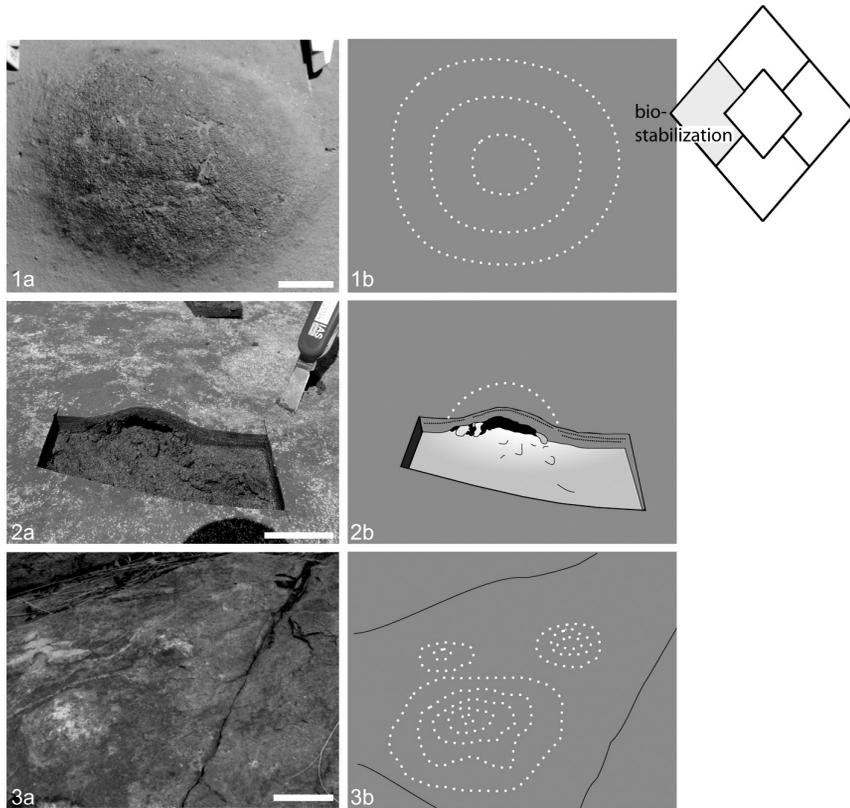


FIG. 35. Examples of microbially induced sedimentary structures caused by biostabilization (*a*), with accompanying drawings (*b*). 1, gas dome in top view, Paso Seco, Argentina; scale bar, 2 cm. 2, The cross-section view through a gas dome reveals a hollow cavern beneath the dome, scale bar, 5 cm. 3, Gas domes *in situ* preserved in the 2.8 Ga Pongola Supergroup, South Africa; scale bar, 5 cm. Color version available in *Treatise Online* 162 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

it allows the organisms to position themselves in optimal light conditions. Baffling caused the fall-out of grains of small sizes which, under the same hydraulic conditions but without microbial presence, would remain in suspension. Essentially, microbial baffling increases the rate of deposition of finer-grained material relative to that under ambient hydraulic conditions. This baffling-induced fall-out of suspended particles may clear the water column from fine particles that would otherwise cloud the water, hindering the penetration of light and thus impairing photosynthetic processes (NOFFKE, 2010).

Trapping commonly refers to the adhesive effect of sticky extracellular polymeric substances (EPS) from microbial mats on ambient particles (GEHLING & DROSER,

2009). Mineral particles (commonly of silt size) and other lithic fragments are baffled and trapped, and therefore adhere to mat surfaces. Baffling and trapping may be a function of the length of filament protrusion above the mat or sediment surface, grain size and availability, grain weight, frequency and constancy of current transport, as well as the angle of incline of the mat (FRANTZ, PETRYSHYN, & CORSETTI, 2015 for stromatolites; SUAREZ-GONZALEZ & others, 2019). The stickiness or adhesiveness of EPS, which appears to differ between microbial groups, may also play a role in grain trapping (KAWAGUCHI & DECHO, 2000; TICE & others, 2011). Adhesiveness may also be controlled by electrolyte concentration or salinity in the ambient environment (SPEARS & others, 2008). Sometimes, heavy

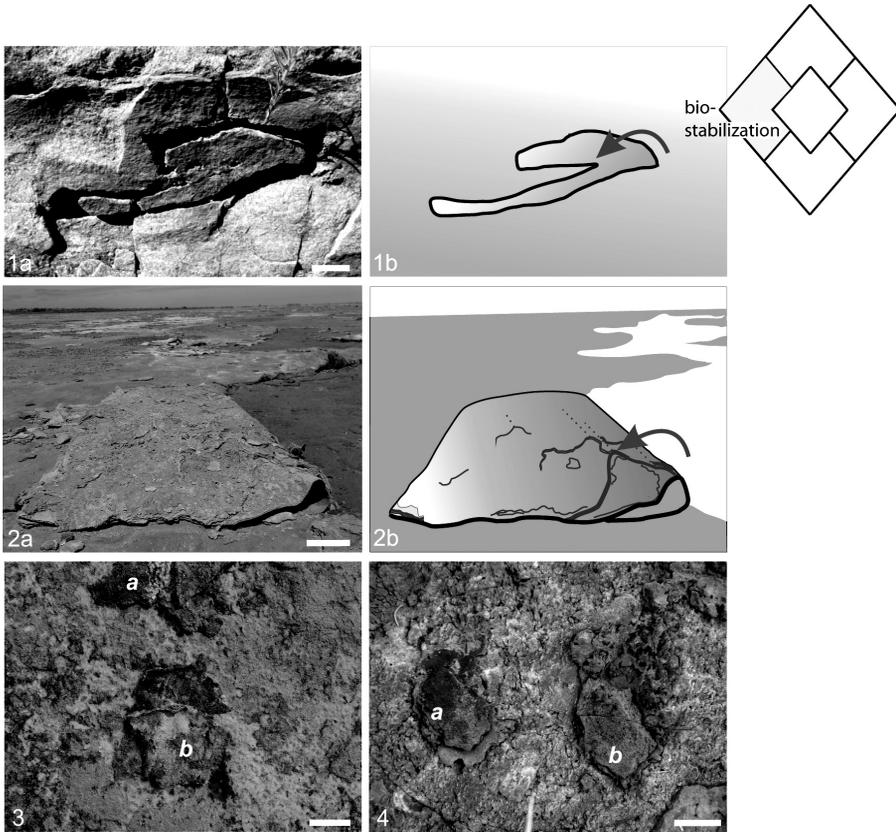


FIG. 36. Examples of microbially induced sedimentary structures caused by biostabilization. *1a*, Large-scale roll-up preserved in the 2.8 Ga Pongola Supergroup, South Africa, scale bar, 5 cm. *1b*, drawing, yellow arrow shows direction of roll-up. *2a*, Modern example of an overflip (roll-up, still connected to the parent mat), Paso Seco, Argentina, scale bar, 5 cm. *2b*, Color-coded drawing showing direction of roll up. *3*, Modern microbial mat chips on the tidal flats of Portsmouth Island, North Carolina, USA. Note that chip (*a*) is turned top-down, whereas chip (*b*) is turned top-up, scale bar, 2.5 cm; *4*, Top-down (*a*) and top-down (*b*) oriented mat chips preserved in the 3.48 Ga Dresser Formation, Pilbara, Western Australia, scale bar, 2.5 cm. Color version available in *Treatise Online* 162 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

mineral grains and redox-sensitive metals can be found preferentially enriched in mat layers (GERDES, KRUMBEIN, & NOFFKE, 2000; TAHER & ABDEL-MOTELIB, 2015; TICE, QUEZERGUE, & POPE, 2017; RICO, SHELDON, & KINSMAN-COSTELLO, 2020).

If a biofilm is to function effectively in harvesting energy, each microorganism must place itself into the most suitable position with respect to the other members of the community (STOLZ, 2000; FRANKS & STOLZ, 2009). The coordinated arrangement of filaments into a biofilm or mat fabrics is not

possible if the substrate is constantly being reworked. Therefore, as soon as water motion settles down, microbes start to form a biofilm or mat network by actively moving through the sediment.

### BINDING

The arrangement of a consortium of microbes into a biofilm or microbial mat is referred to as binding. Examples of active movement by cyanobacteria have been shown in lab experiments (BEBOUT & GARCÍA-PICHEL, 1995; SHEPARD & SUMNER, 2010; BIDDANDA

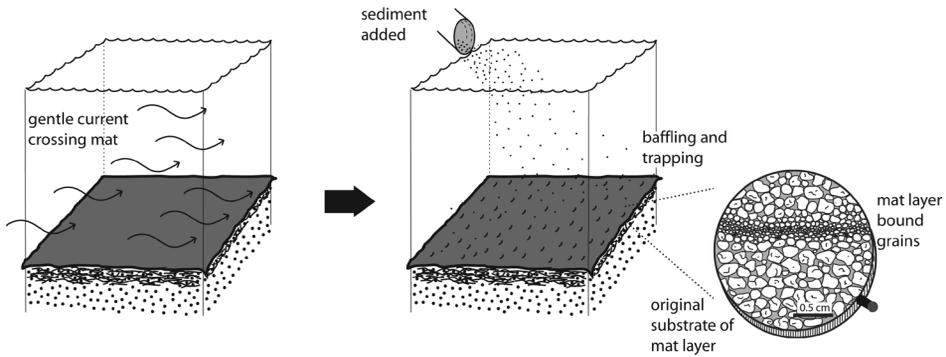


FIG. 37. Baffling and trapping. Left: gentle currents cross an epibenthic microbial mat. Right: When finer-grained sediment is introduced to the system, filaments orientate perpendicularly and promote deposition of the finer grains. The finer-grained sediment forms distinct layers in the deposits (see close-up view on the far right).

& others, 2015) and observed in nature (WALTER, 1976, DECH, NORMAN, & VISSCHER, 2010). Ancient products of binding are described in FLANNERY and WALTER (2011). In contrast to biomass increase (which is largely dependent on nutrient supply, the dynamics of nutrient diffusion through the biofilm, and light availability), binding is controlled only by sedimentary parameters (SHEPARD & SUMMER, 2010). No biomass accumulation is involved. Binding causes structures, such as reticulate patterns comprised of centimeter- to millimeter-scale ridges and tufts, which may cover large areas of microbial mats (GERDES, KRUMBEIN, & NOFFKE, 2000; SHEPARD & SUMNER, 2010) (Fig. 38).

Field observations of modern mats show that such patterns may withstand high energy events (CUADRADO & PAN, 2018). Sinoidal structures are features caused by biofilms covering ripple mark troughs as seen in cross sections through buried sediment (CUADRADO, 2020) (Fig. 39). Fossil examples of such features are also known from the Dresser Formation, Pilbara, Western Australia (NOFFKE & others, 2013).

Field studies monitoring the formation of MISS in modern tidal flats have shown that some MISS form due to an overlap between all of the above-mentioned microbial activities. Good examples of MISS with complex formational histories are multidirectional ripple marks (NOFFKE, 1998; HAGADORN,

PFLÜGER, & BOTTJER, 1999) and erosional remnants and pockets (REINECK, 1979; NOFFKE, 1999; NOFFKE & KRUMBEIN, 1999; SCHIEBER, 2007a; NOFFKE, HAGADORN, & BARTLETT, 2019) (Fig. 40).

Highly abundant in the depositional record are wrinkle structures (HAGADORN & BOTTJER, 1997; NOFFKE, 2010; CHU & others, 2015; HOMANN, 2019) (Fig. 41), and several studies have investigated their formation. Wrinkle structures induced by microbes are crinkled surfaces commonly found on the upper bedding planes of fine-grained sandstone beds. They are composed of crests and grooves with irregular directions, with crests generally ranging between 0.1 to 2 mm in height, and a crest-to-crest distance of 0.1 mm to 2 cm. Patterns of crests and valleys vary from specimen to specimen (Fig. 41).

Elephant-skin textures—textured organic surfaces (TOS)—are very common (Fig. 41.4) and well preserved in Ediacaran sandstones (GEHLING, 1999; GEHLING & DROSER, 2009; BOTTJER & HAGADORN, 2007). Fossil impressions have been described as wrinkled surfaces by FEDONKIN (1992). Elephant skin textures are commonly associated with fossils of the Ediacara biota and may have influenced their preservation, according to the iconic death-mask-model (GEHLING, 1999; GEHLING & DROSER, 2009). In both the modern environment and the lab, such

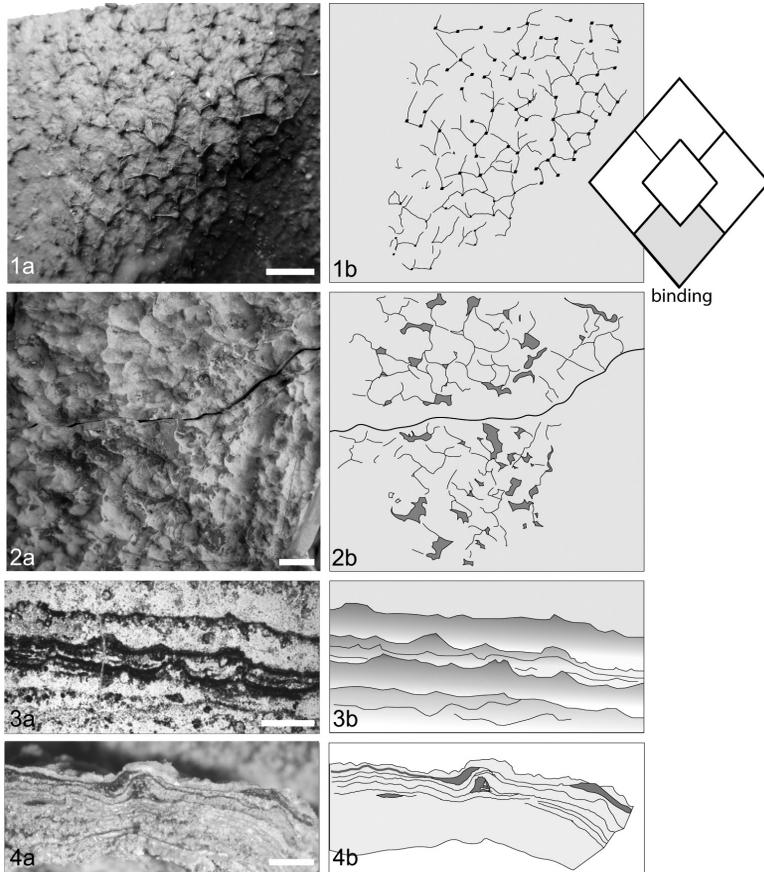


FIG. 38. Examples of microbially induced sedimentary structures caused by binding (*a*), with accompanying drawings (*b*). 1, Reticulate pattern covering the surface of a modern microbial mat, Paso Seco, Argentina, scale bar, 1 cm. 2, Reticulate pattern on the surface of a fossil microbial mat from the 3.48 Ga Dresser Formation, Pilbara, Western Australia, scale bar, 1 cm. 3, Tufts preserved in the 3.48 Ga Dresser Formation, Pilbara, Western Australia, scale bar, 0.1 mm. 4, Tufts overgrown by microbial mat laminae, Paso Seco, Argentina, scale bar, 1 mm. Color version available in *Treatise Online* 162 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

reticulate structures and tufts on sedimentary surfaces result from migrating trichomes (SHEPARD & SUMNER, 2010, CUADRADO & PAN, 2018). The gliding motility and tangling behavior of filaments leads to the formation of tufts resembling centimeter-scale needles on the mat surfaces (GERDES, 2007; STRADER & others, 2009; SIM & others, 2012).

Shearing off a microbial mat from its surface by passing bottom currents (THOMAS & others, 2013) may cause irregularly crinkled surfaces. A microbial mat layer may be arranged into irregular tissue-like folds (Fig. 42.2) and the rapid preservation of such microbial mat fabrics produces crinkled mat

surfaces, which sometimes have tears in the originally tissue-like material (fossil examples in NOFFKE, 2000, NOFFKE & others, 2008).

In lab experiments, wrinkle structures (Fig. 42.3) have been shown to form at the sediment-water interface by microbial-mineral aggregates moving back and forth with wave motion creating a *Kinneyia*-like pattern (MARIOTTI & others, 2014). Due to the original fossil *Kinneyi* WALCOTT, 1914 probably being abiotic, the name *Rugulichnus matthewii* was suggested for such *Kinneyia*-like wrinkle structures, although the trace fossil character of MISS is debatable (STIMSON & others, 2017).

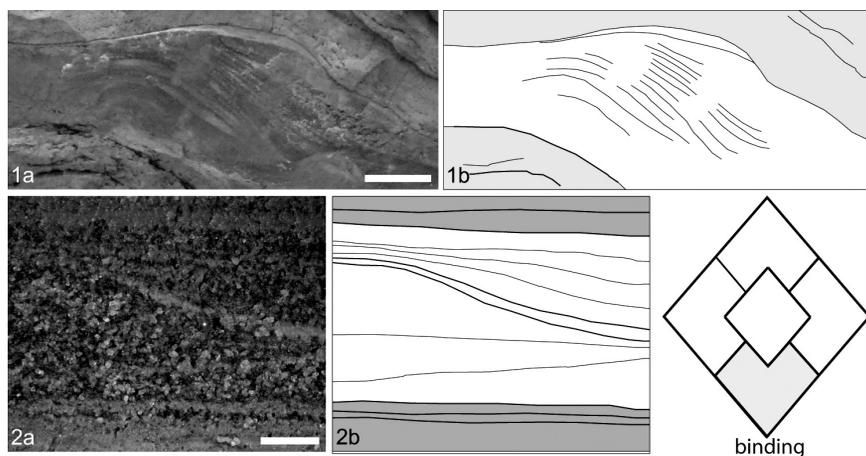


FIG. 39. Example of microbially induced sedimentary structures caused by binding (a), with accompanying drawings (b). 1, Biofilms (*black*) overgrow ripple valleys, 3.48 Ga Dresser Formation, Pilbara, Western Australia. Such structures are called sinoidal structures, scale bar, 2 cm. 2, Similar example for a sinoidal structure in a modern sediment, with mat layers appearing light in color, Paso Seco, Argentina, scale bar, 1 cm. Color version available in *Treatise Online* 162 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

Finally, if a microbial mat is suddenly buried by a substantial amount of sediment, the squeezing out of mat-bound water can cause lateral grooves to form in the mat (PFLÜGER, 1999) (Fig. 42.4). Two main types of such wrinkle structures exist: transparent, in which any preceding (physical) sedimentary structure, such as ripple marks, remain still visible underneath the wrinkles, and non-transparent, in which preceding surface morphologies are covered completely by wrinkles and are therefore invisible. These two main types reflect endobenthic (transparent) and epibenthic (non-transparent) microbial mats (NOFFKE, 2000). *In situ* preservation of microbial mats occurs in several steps (NOFFKE, KNOLL, & GROTZINGER, 2002). It requires a pause in sedimentation, during which the mat develops and fine-grained material falls out, draping the mat surface and becoming incorporated into the mat fabrics. Subsequently deposited sediment must not be able to erode the mat during placement for *in situ* preservation to occur (NOFFKE, KNOLL, & GROTZINGER, 2002).

It is important to understand that there are different ways to arrive at wrinkled patterns in clastic sediment and that such structures are not always biologically induced patterns

(HAGADORN & BOTTJER, 1997; HAGADORN, PFLÜGER, & BOTTJER, 1999; NOFFKE, 2010; see details in DAVIES & others, 2016). Nonbiological mechanisms of formation include, for example, the imprinting of a surface by foam (foam marks), by rapid water motion in very shallow water depths (millimeter ripple marks), or through the deformation of semi-consolidated material by slumping or by ball and pillows formation on the lower bedding plane. Abiotic wrinkle structures may also be caused by tectonic crinkling or biased diagenetic processes (HAGADORN & BOTTJER, 1999).

One last important aspect to consider, if sediment (at least on Earth) always includes biofilms, the question may arise as to whether purely physical sedimentary structures truly exist. Would the presence of biofilms in all deposits not mean that physical sedimentary structures in a natural environment are actually always microbiotic-physical structures? In answering this question, even where biofilms may smother surfaces, they commonly are of too little mechanistic impact to affect a structural representation. However, microbially induced sedimentary structures (MISS) exist, and so the question may be asked, where is the boundary between physical sedimentary

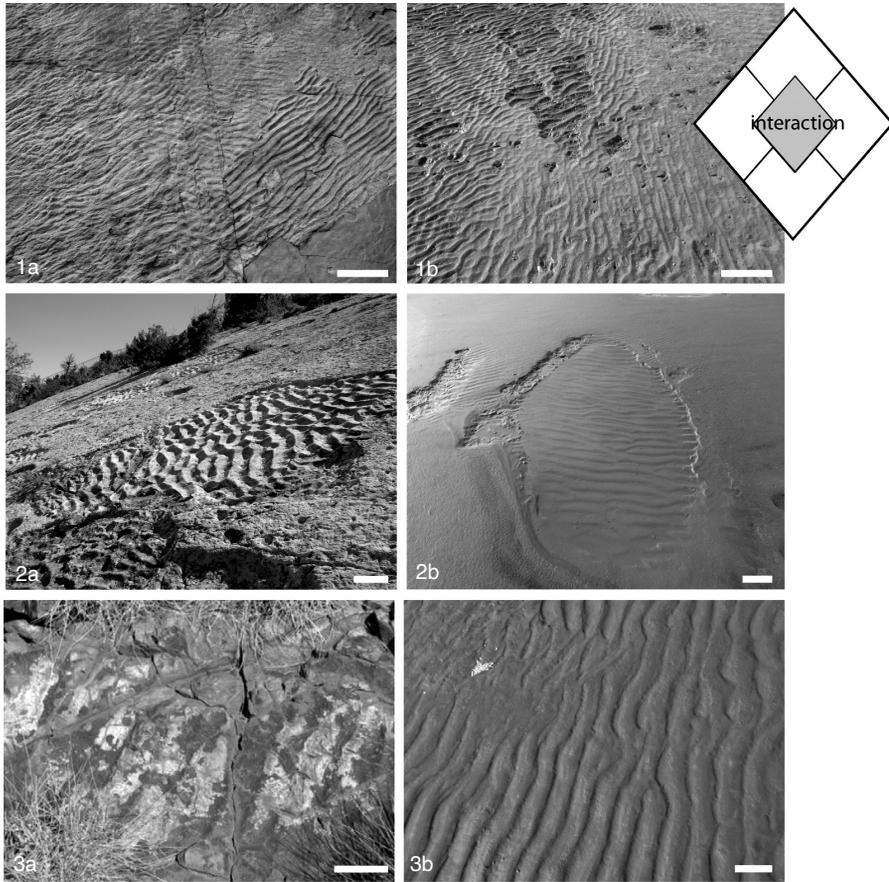


FIG. 40. Examples of microbially induced sedimentary structures produced by the interaction of all microbial activities. 1. Multidirectional ripple marks in the 2.8 Ga Pongola Supergroup, South Africa (a) and in the modern sandflat of Bahia Blanca Estuary, Argentina (b), scale bars, 30 cm. 2. Erosional pocket showing ripple marks in the Cretaceous Dakota Sandstone, USA (a), and in a tidal flat, Paso Seco, Argentina (b), scale bars, 10 cm. 3. Rippled surface covered by minute fossil biofilm in the 3.48 Ga Dresser Formation (a) and in the modern Paso Seco, Argentina (b), scale bars, 10 cm. Color version available in *Treatise Online* 162 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

structures and MISS? This question was approached by examining a tidal flat (NOFFKE & KRUMBEIN, 1999). The study developed a modification index (MOD-I) that describes the degree of microbial influence on tidal surface morphologies (erosional remnants and pockets). A MOD-I of 0 would describe sedimentary surface morphologies that show no influence by microbenthos, a MOD-I of 1 describes maximal influence. The boundary between microbially induced or not would be any value  $>0$ , with fluctuations of structure-modification in response to seasons being typical. While this study worked well for a

local tidal flat with a simple biofilm catena, any conclusion for general sedimentology or even the sedimentology of other planets is unwarranted.

### PRESERVATION OF MISS

In thin sections through fossil microbially induced sedimentary structures (MISS), the different components of an ancient microbial mat texture may be visible. Mat textures are fossilized by different minerals depending on the ancient water chemistry providing anions and ions that nucleate into first precipitates.

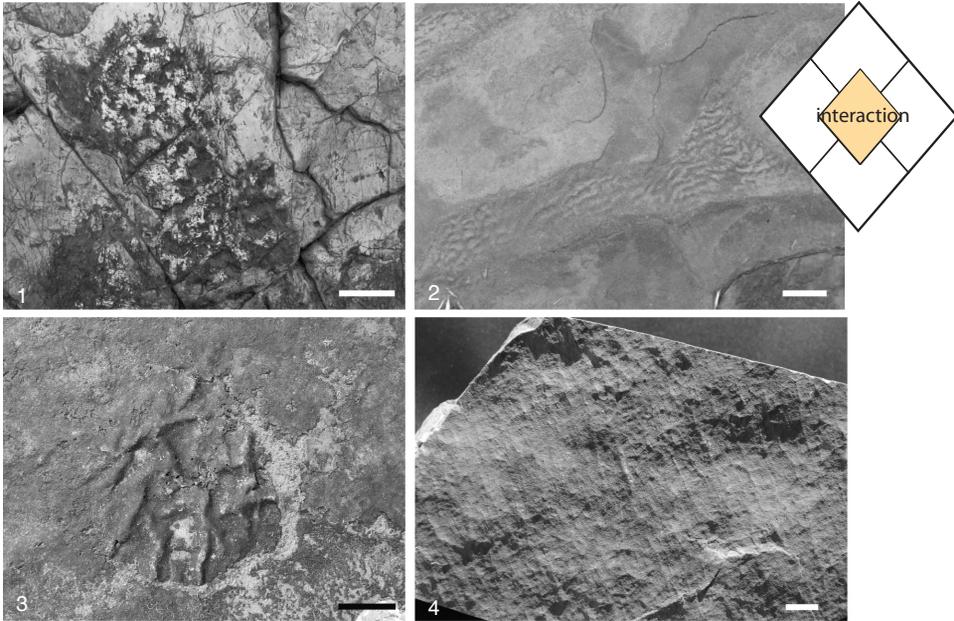


FIG. 41. Biogenic wrinkle structures. 1, One of the oldest wrinkle structure known in the fossil record is preserved in the 3.48 Ga Dresser Formation, Pilbara, Western Australian scale bar, 5 cm. 2, *Kinneyia*-like wrinkle structure, 2.8 Ga Pongola Supergroup, South Africa, scale bar, 10 cm. 3, A round piece of microbial mat became detached from its sandy substrate and crinkled. The cause may have been a current crossing the microbial mat in fall, when mats in this area start to compose; Portsmouth Island, North Carolina, USA, scale bar, 10 cm. 4, Elephant skin texture, Tonian, circa 750 Ma, Qingshuijiangh Formation, South China, scale bar, 1 cm. Color version available in *Treatise Online* 162 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

1) Illite or chamosite, pyrite or goethite, and limonite may line the original trichomes of the microbes (SCHIEBER, 1986, 1989, 1999; PFLÜGER & GRESSE, 1996; HAGADORN & BOTTJER, 1997, 1999; LOGAN & others, 1999; NOFFKE, 2000; NOFFKE, HAZEN, & NHLEKO, 2003; WESTALL & others, 2006; NOFFKE, BEUKES, & others, 2006; NOFFKE, ERIKSSON, & others, 2006; NOFFKE & others, 2013; HEUBECK & others, 2016). The formation of clay coats in sandy estuarine and tidal environments can occur as a result of clay-EPS complexes developing along hydroxylated biofilm-clay interfaces or between biofilm proteins and the neutral siloxane surface in quartz sands (DUTEIL & others, 2020; WORDEN & others, 2020). Such precipitative clay mineral coatings can develop on microbial biomass surfaces within days as a result of metal ion binding (e.g. Fe, Al), which reduces the nucleation energy of aluminosilicates (FERRIS, FYFE, &

BEVERIDGE, 1987; LAFLAMME & others, 2011; NEWMAN & others, 2016, 2017).

2) Cell walls may still include fragments of the original carbonaceous materials. The organic carbon remains provide opportunity for organic carbon isotope measurements and Raman and infra-red spectroscopic characterization. Anoxic conditions promote the *in situ* preservation of organic carbonaceous matter, as evidenced by the fossilization processes of Burgess Shale macrofossils (BRIGGS, 2003, GAINES, BRIGGS, & ZHAO, 2008). However, cellular organic matter may also be protected against oxygenation by EPS, which reduces gas exchange between sediment and atmosphere or water significantly.

3) EPS is frequently recorded as silica (WESTALL & others, 2001, 2011; NOFFKE & others, 2013). In modern hot springs and also in peritidal sedimentary rocks formed in the silica-rich Archean oceans, rapidly precipitating silica produces an

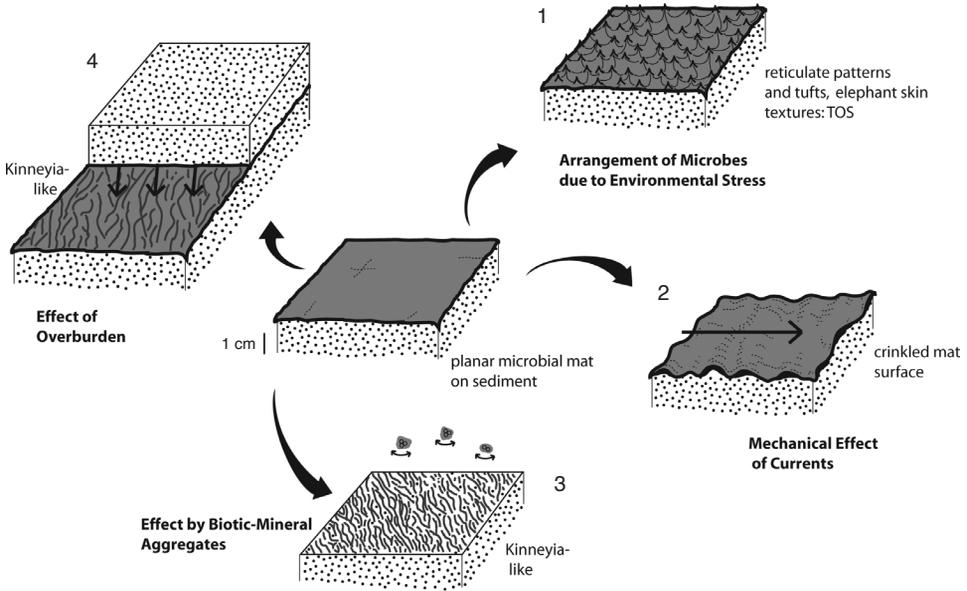


FIG. 42. Various causes and types of microbially induced wrinkle structures. A planar microbial mat is shown in the center of this figure. Variations are shown from 1 to 4. 1, filamentous microbes form tufts and reticulate patterns in response to environmental stresses causing textured organic surfaces (TOS); 2, a coherent epibenthic mat is affected by a strong current dislocating the mat and folding it into irregular crinkles resembling folds in a tablecloth, tearing may also occur; 3, mineral-biofilm-aggregates moved by waves give rise to *Kinneyia*-like structures. 4, *Kinneyia*-like structures are caused by jetting water squeezed out of the underlying microbial mat layer when buried by new deposits.

almost impermeable preservational time capsule, resilient even to low-grade metamorphism (TREWING, 1996; KAH & KNOLL, 1996; MANNING-BERG & others, 2019; HICKMAN-LEWIS, WESTALL, & CAVALAZZI, 2019; HICKMAN-LEWIS & others, 2019; HICKMAN-LEWIS, CAVALAZZI, & others, 2020). The embedding of silica in mat textures has been demonstrated in modern hot spring microbial mats and in lab experiments (TAHER & ABDEL-MOTELIB, 2015; JOHANNESSEN, MCLOUGHLIN, & VULLUM, 2018). Silicification may be microbially mediated within EPS even when silica concentrations within aqueous media are below supersaturation (KAH & KNOLL, 1996; MANNING-BERG & KAH, 2017; MOORE & others, 2020). Calcite formation in EPS has also been studied in great detail in lab experiments and natural settings by DUPRAZ & others (2009) and DECHO (2010).

In most if not all cases of exceptional preservation of microbial mat textures, lith-

ification must have occurred very quickly. In thin sections, fossil MISS may reveal upright tufts (filament bundles) preserved *in situ* (KAH & KNOLL, 1996; NOFFKE, 2000; CAO, YUAN, & XIAO, 2001; HOMANN & others, 2018; HICKMAN-LEWIS, & others, 2018; HICKMAN-LEWIS, WESTALL, & CAVALAZZI, 2019; HICKMAN-LEWIS & others, 2019).

Textures preserved in MISS are essential for determining biogenicity. The example of wrinkle structures is quite frequently debated with respect to their biogenicity. In order to distinguish microbially induced wrinkle structures from abiotic wrinkle structures, thin sections should be examined to reveal the presence or absence of fossil microscopic textures. If a wrinkle structure-bearing specimen is too valuable to be destroyed by thin section analysis, X-ray micro Computed Tomography (X-ray CT) can be used to nondestructively resolve 3D morphologies using density contrasts between the different

materials constituting the internal build-up of such structures (Fig. 43). The primary density contrast comes from the presence of laminated organic matter on top of and inside the rock bed. A number of views of a sample with tufts (Fig. 43) is quite revealing (SHELDON, 2012). Surface mapping (Fig. 43.2) indicates consistent tuft-peak height, which is verified by the 2D- and 3D-segmentation of internal organic-rich laminations (Fig. 43.3). Thus, it can be shown that the example consists of more than just a single microbial mat on the bedding plane surface but rather a series of microbial mats. Each microbial mat may exhibit tufts or evidence of deformation by loading pressure.

### CLASSIFICATION OF MISS AND MIST

Conforming to the nomenclature of stromatolites, thrombolites, dendrolites, and leiolites, the overall group of microbially induced sedimentary structures (MISS) constitute the fifth group of microbialites (RIDING, 2011b; NOFFKE & AWRAMIK, 2013; GREY & AWRAMIK, 2020). The main characteristics of MISS that differ from other microbialites are: 1) structure-forming biofilms or microbial mats occur on top or within clastic deposits; 2) only minor to negligible mineral precipitation may occur and is predominantly caused by the biological degradation of organic matter of deceased primary producers and EPS; and 3) as a consequence, the structures are predominantly planar and have, in contrast to most of the other microbialites, low morphological relief.

MISS are divided into five classes, each of which includes individual structures (Fig. 44). These classes are named according to the dominant microbial activity that governs the formation of the structures within the respective class: class 1, structures caused by growth; class 2, structures caused by biostabilization; class 3, structures caused by baffling and trapping; class 4, structures caused by binding (formerly, NOFFKE & others, 2001, ascribed this class to imprinting); and class 5, struc-

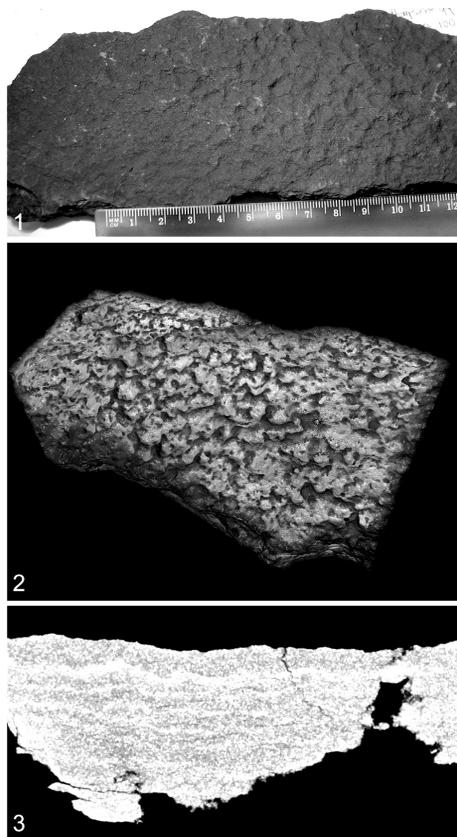


FIG. 43. X-ray CT scans of a microbially induced sedimentary structure sample. 1, *Kinneyia*-like wrinkle structure on sandstone slab. 2, X-ray CT scan of top surface exhibiting *Kinneyia* structure; the corresponding thickness map shows the morphology of the surface peaks. 3, 2D side-on views of the *Kinneyia* slab, where black microbial-like laminations are visible beneath the surface. Each lamination has been individually segmented to highlight the wavy morphology, which correlated with the peaked surface texture. All images collected with the Advanced Imaging of Materials (AIM) Facility at Swansea University, UK, and rendered using ORS Dragonfly software. Color version available in *Treatise Online* 162 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

tures caused by the interference of all above-mentioned microbial activities (Fig. 44), in center dashed-line diamond). Each structure within each class is named according to its morphological appearance. This enables the surveying geologist to identify a structure even without any knowledge or prejudice of its genesis. To date, 18 main MISS structures have been distinguished and no

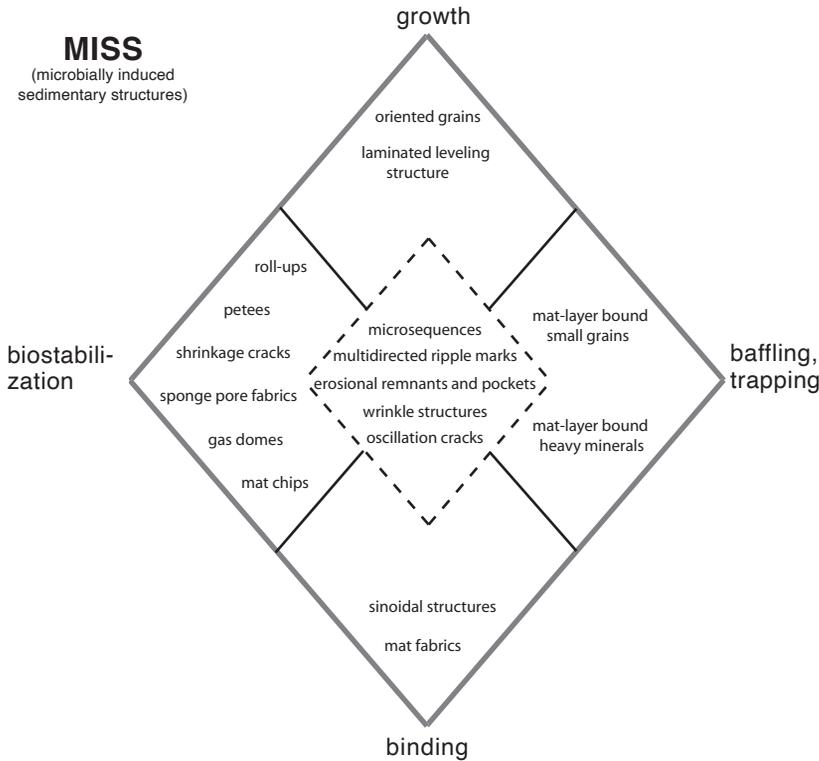


FIG. 44. Classification of MISS and their MIST (on facing page). The classification of both macroscopic and microscopic features each includes five genetic groups related to their means of formation. Descriptive names of individual structures and textures are listed to aid in identification in the field or laboratory.

transitions seem to exist between them (NOFFKE & others, 1996, 2001; NOFFKE, 2010).

MISS include, in thin-section view, a wealth of microscopic microbially induced sedimentary textures (MIST) that witness the former presence of the MISS-producing biofilms or microbial mats (Fig. 44). Textures are divided into five classes according to their genesis: class 1, textures caused by microbial-physical interaction; class 2, textures caused by entombment of carbon; class 3, textures caused by mineralization of organic matter; class 4, textures caused by microbial-chemical interaction; and class 5, textures that rise from the combination of all the four processes. Following the classification of MISS, each MIST within each class is named according to its morphological appearance and pattern of chemical signals. Eleven

MIST textures are suggested herein (Fig. 44), but future discussions and contributions will certainly add to this catalog.

SCHIEBER (2004) suggested different groups of mat structures, each categorized according to a leading process: 1) mat growth (comprising binding, baffling and trapping); 2) metabolism (encompassing mineral precipitation); 3) physical destruction (encompassing dehydration, erosion and transport); and 4) mat decay (gas development) and diagenesis (organic matter destruction and mineral precipitation). However, processes that the specific groups cannot be clearly distinguished from each other. For example, (2) metabolism encompassing mineralization overlaps with diagenesis and mineral formation, listed under (4).

Following the broad definition proposed by BURNE and MOORE (1987, p. 241–242)

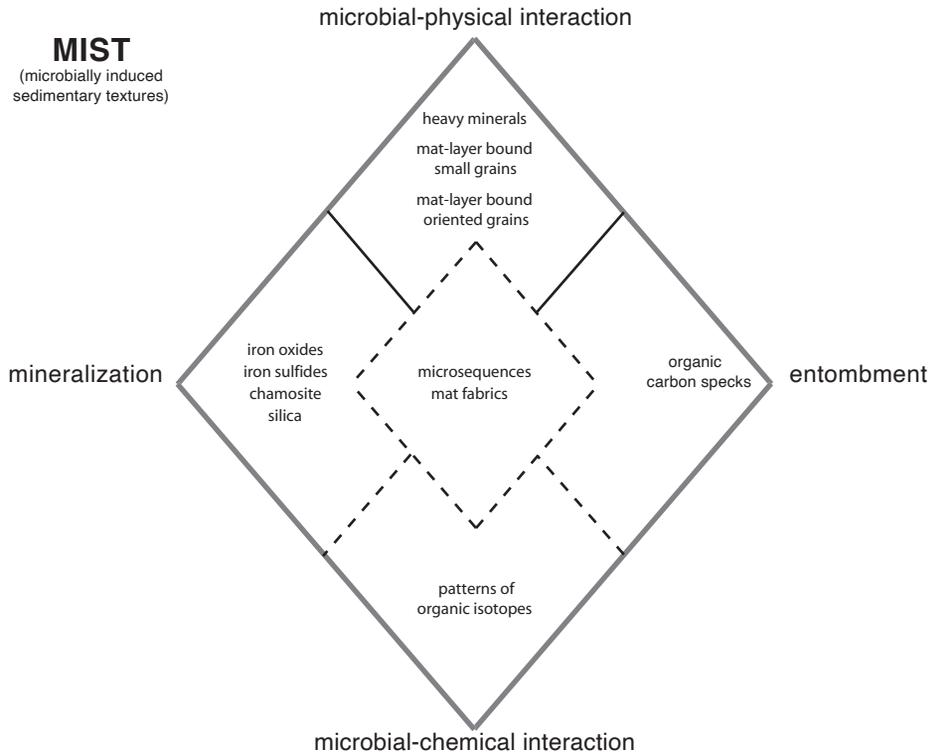


FIG. 44. (continued from previous page). Classification of MISS (facing page) and their MIST. Descriptive names of individual structures and textures are listed to aid in identification in the field or laboratory.

that microbialites are “organosedimentary deposits that have accreted as a result of a benthic microbial community trapping and binding detrital sediment and/or forming the locus of mineral precipitation,” RIDING (2011b) and GREY and AWRAMIK (2020) classified MISS in the broad category of microbialites. Overall, MISS constitute the fifth category of microbialites—bedding modified by microbial mats and biofilms—in PETTIJOHN and POTTER’S (1964) classification of primary sedimentary structures (NOFFKE & others, 2001).

### MISS IN THE COURSE OF EARTH HISTORY

Microbially induced sedimentary structures (MISS) and microbially induced sedimentary textures (MIST) are known in clastic rocks of all Earth ages. Specimen occur in one of the oldest non-metamor-

phosed sedimentary rock successions, the 3.48 Ga old Dresser Formation in Western Australia (BUICK & DUNLOP, 1990; NOFFKE & others, 2013). Marine stratigraphic successions with Archean MISS once formed by photoautotrophic mats include the 2.9 Ga old Pongola Supergroup and the Witwatersrand Supergroup (BEUKES & LOWE, 1989; NOFFKE, BEUKES, & others, 2006; NOFFKE, ERIKSSON & others, 2006; NOFFKE & others, 2008; TICE, 2009). Fossil microbial mats and biofilms are also widespread in carbonaceous cherts and sandstones of the Paleoproterozoic Barberton Greenstone Belt in South Africa (see HICKMAN-LEWIS & others, 2018 and HOMANN, 2019 for a review). There, they occur in the 3.472 Ga Middle Marker horizon (HICKMAN-LEWIS & others, 2018); the 3.45 Ga Hooggenoeg Formation cherts (WALSH, 1992; HICKMAN-LEWIS, CAVALAZZI, & others, 2020); the 3.416 Ga Buck Reef

Chert (WALSH & LOWE, 1999; TICE & LOWE, 2004, 2006; TICE, 2009; TICE & others, 2011; GRECO & others, 2018); the 3.334 Ga Footbridge Chert (HICKMAN-LEWIS, CAVALLAZZI, & others, 2020); the 3.33 Ga Josefsdal Chert (WESTALL & others, 2001, 2006, 2011, 2015); the 3.26 Ga Mendon Formation (BYERLY, LOWER, & WALSH, 1986; TROWER & LOWE, 2016); and sandstones of the 3.22 Ga Moodies Group (NOFFKE, ERIKSSON, & others, 2006; HEUBECK, 2009; HOMANN & others, 2015, 2016, 2018). In these deposits, wavy-crinkly laminations have been interpreted as fossil microbial mats based on their laminated structure, sediment trapping and cohesive behavior, carbonaceous and carbon isotopic composition, and the occurrence of eroded and in places rolled-up mat fragments. Wrinkle structures occur but are quite rare. Most fossil mats occur either in carbonaceous banded cherts or interbedded with volcanoclastic sand- and siltstones and quartz-rich sandstones.

The nearly *in situ* preservation of the delicate carbonaceous mat laminae in the Barberton Greenstone Belt show textures such as mat-laminae-bound small grains and oriented grains. Phototactic behavior may be recorded by an increase of mat thickness toward crests in undulating laminae (TICE & LOWE, 2004; NOFFKE, GERDES, & KLENKE 2003; HOMANN & others, 2015; HICKMAN-LEWIS & others, 2016, 2018).

Trace and rare earth element data from mat-bearing horizons in cherts up to 3.47 Ga also show strong influences from continental weathering in the form of light rare earth element enrichment, chondritic to sub-chondritic Y/Ho ratios and negligible La and Y anomalies, and it is therefore evident that microbial life inhabited semi-restricted epicontinental basins by this time ~1.09 Ga Mesoproterozoic Copper Harbor Conglomerate (ELMORE, 1983; FEDORCHUK, 2014). SHELDON (2012) reported 1.1 Ga terrestrial MISS from low-energy fluvial floodplain paleoenvironments preserved in siliciclastic deposits from North America.

Late Neoproterozoic seafloors were widely overgrown by significant microbial mats

(SCHIEBER, 1986; AWRAMIK, 1991; HAGADORN & BOTTJER, 1997; HAGADORN, PFLÜGER, & BOTTJER, 1999; BOTTJER, HAGADORN, & DORNBOS, 2000). Neoproterozoic textured organic surfaces (TOS) record relationships between the Ediacara biota, the earliest macroscopic, multicellular organisms, and contemporaneous microbial mats (GEHLING & DROSER, 2009; CALLOW & BRASIER, 2009; LAFLAMME & others, 2011; DARROCH & others, 2012; TARHAN, DROSER, & GEHLING, 2015; DUNN, LIU, & DONOGUE, 2018). The extraordinary preservation of this soft-bodied biota suggests the extensive presence of microbial mats during this period of time (e.g., HAGADORN & BOTTJER, 1999; GEHLING, 1999; SEILACHER, 1999; LIU & others, 2011; TARHAN, DROSER, & GEHLING, 2015; MENON & others, 2016; LIU & DUNN, 2020). Terrestrial MISS arising from microbes interacting with aeolian processes are known from the Neoproterozoic Venkatpur Sandstone (BASILICI & others, 2020).

Phanerozoic occurrences are known from the Cambrian (BUATOIS & MÁNGANO, 2003; SEILACHER, BUATOIS, & MANGANO, 2005; MATA & BOTTJER, 2012; BUATOIS & others, 2014; LIU & ZHANG, 2017; BAYET-GOLL & DARAEI, 2020); the Ordovician (GERDES, KLENKE, & NOFFKE, 2000; NOFFKE, 2000; BUATOIS & others, 2009; HINTS & others, 2014; the Silurian (HILLIER & MORRISSEY, 2010; CALNER & ERIKSSON, 2012); the Devonian (DRAGANITS & NOFFKE, 2004; GAILLARD & RACHEBOEUF, 2006); the Carboniferous (MÁNGANO & others, 2002; BUATOIS & others, 2013; CALLEFO & others, 2019); the Permian (WEBB & SPENCE, 2008); the Triassic (PRUSS, FRAISER, & BOTTJER, 2004; PRUSS, CORSETTI, & BOTTJER, 2005; PRUSS & others, 2006; MATA & BOTTJER, 2009; FENG & others, 2019; WIGNALL & others, 2020); the Jurassic (PORADA, GHERGUT, & BOUOUGRI, 2008; PETERFFY, CALNER, & VAJDA 2016); the Cretaceous (GERDES, KRUMBEIN, & NOFFKE, 2000; SCHIEBER 2007a; FERNÁNDEZ & PAZOS, 2014; NOFFKE, HAGADORN, & BARTLETT, 2019); the Neogene (CARMONA & others, 2012); and the Quaternary (KILIAS & others, 2020).

# BANDED IRON FORMATIONS

ADRIANA HEIMANN

## INTRODUCTION

Banded iron formations (BIFs) are widespread marine chemical sedimentary rocks typical of the Precambrian with no perfect analogs in chemical composition and volume having formed since then. These enigmatic rocks, characterized by banding defined by the alternation of iron-rich minerals and chert, not only are the testimony of a very different young Earth but also the main source of iron worldwide. Extensive BIFs are used as important indicators of the redox state of the ancient oceans, and their characteristics and variations also reflect the evolution of the biosphere-atmosphere-solid Earth system from the Archean to the present. The literature on BIFs is immense and continues to grow. This chapter provides a summary and review of the current knowledge about BIFs, focusing on the hypotheses of BIF formation, both organic and inorganic, recognizing that such an overview will be far from complete. For a conceptual framework for the deposition of BIFs through time, see BEKKER and others (2010) and references therein. For an alternative interpretation of the significance of BIFs in the rock record, see OHMOTO and others (2006). The main characteristics of BIFs, including their classification, temporal and geographic distribution, mineralogy, precursor phases, and geochemistry are presented here, followed by the main hypotheses of BIF genesis, including the sources of iron and silica, the genesis of the banding, inorganic hypotheses for their formation, and the ideas and evidence for the likely role of various bacterial processes in their formation. Geochemical (carbon isotopes, iron isotopes, molecular biomarkers), physical (microfossils), experimental, theoretical (cell calculations), and natural (biofilms) lines of evidence that provide insights into the various hypotheses

of BIF formation are reviewed. Finally, possible Phanerozoic and modern environmental analogs to Archean–Proterozoic BIFs and their settings are described.

Although BIFs have been the focus of a huge number of studies, their genesis is still a matter of considerable debate. In particular, the origin of the oxidized iron present in BIFs has been debated for decades. Oxidation and precipitation of iron led to the deposition of some BIFs but exactly how this process operated is yet to be fully deciphered. The origin of the negative carbon isotope composition of carbonate carbon in BIFs, whether biotic or abiotic, has also been debated. Because of their age, BIFs are metamorphosed to various degrees and some are highly deformed. However, some BIFs only experienced a very low degree of metamorphism and a low degree of deformation and are beautifully preserved. Banding occurs at diverse scales, from microbanding (micrometric to millimetric laminations), to mesobanding (centimeter-scale), to macrobanding (meter-scale). How this banding originated has also been debated for decades and is still a matter of controversy. The mineralogy of BIFs is defined by various combinations of the main iron phases (magnetite, hematite, siderite, ankerite, and Fe-silicates) and chert.

Large BIFs range in age from the Eoarchean (~3.8 Ga) Isua BIF from Greenland, to the late Paleoproterozoic (~1.8 Ga) Biwabik and Gunflint BIFs from North America (Canada and USA). The abundance of BIFs in the rock record peaked during the late Archean (2.7–2.5 Ga) and early Proterozoic (2.5–1.8 Ga). After a hiatus of at least 1.1 billion years, BIFs briefly appeared again during the Neoproterozoic (~0.8–0.6 Ga), for example as the ~0.6 Ga BIFs of Urucum, Brazil, and the ~0.75 Ga Rapitan BIFs, Canada. BIFs are most commonly classified based on tectonic setting and age. Algoma-type BIFs are

mostly Archean BIFs that formed in active tectonic settings, whereas Superior-type BIFs formed in stable platforms mostly during the Archean–Paleoproterozoic. Neoproterozoic BIFs are referred to as Rapitan BIFs and are spatially and temporally associated with Snowball Earth glacial deposits. Snowball Earth refers to a time when Earth's surface is thought to have been entirely or nearly entirely frozen (KIRSCHVINK, 1992). In this chapter, the term BIF is used to refer to all iron formations unless a particular type of BIF is noted. Geographically, BIFs are distributed throughout the planet, but some of the largest and most studied ones include the low metamorphic grade Kuruman BIF from the Transvaal Supergroup in South Africa, the Brockman BIF from the Hamersley Range in Western Australia, and the Biwabik-Gunflint BIF from the Animikie Basin of North America.

The most traditional view holds that BIFs were formed by inorganic chemical and physical processes by which  $\text{Fe(II)}_{\text{aq}}$  emanating from hydrothermal vents would, for example, combine with inorganic bicarbonate dissolved in ocean water and precipitate directly as siderite ( $\text{FeCO}_3$ ). Alternatively, after upwelling,  $\text{Fe(II)}_{\text{aq}}$  would become oxidized by small amounts of dissolved  $\text{O}_2$  in the upper water column and form hematite ( $\text{Fe}_2\text{O}_3$ ) or magnetite ( $\text{Fe}_3\text{O}_4$ ) after recrystallization of  $\text{Fe(III)}$ -hydrated precipitates, such as ferrihydrite. However, based on an increasing body of evidence, other hypotheses have been proposed to explain the formation of BIFs mediated by biological processes. Oxidation of  $\text{Fe(II)}_{\text{aq}}$  and reduction of  $\text{Fe(III)}$  mediated by various kinds of bacteria, including chemolithoautotrophic iron-oxidizing bacteria, photoferrotrophic bacteria, and dissimilatory iron-reducing bacteria, have been invoked to explain the formation of magnetite, hematite, and siderite.

The disappearance of BIFs from the rock record at  $\sim 1.8$  Ga, except from the resurgence in the glacial Neoproterozoic successions, is highly debated and has been attributed to various processes. These include

the complete oxidation of the atmosphere and oceans after the Great Oxidation Event (GOE) and the increase in seawater sulfate concentration with subsequent expansion of bacterial dissimilatory sulfate reduction and the formation of a sulfidic deep ocean.

Some Phanerozoic ironstones, Phanerozoic iron formations related to massive sulfide deposits (MSDs), and modern siliceous ferric oxide precipitates from marine hydrothermal vents and the Red Sea, are the closest younger equivalents to BIFs. Modern environments that serve as possible analogs to those in which BIF deposition took place in the Precambrian—and where similar processes occur today—include deep ferruginous lakes, such as Lake Matano, Indonesia; iron-rich phototrophic microbial mats, such as those in Yellowstone National Park, USA; and the Iron Mountain acid mine drainage site in California, USA. However, it is important to note that considering size, mineralogy, and environmental conditions together, no real modern analogs of BIFs and their depositional environments occur today on Earth.

See the Glossary, p. xxvi, for terms and common abbreviations used in this chapter.

## TYPES OF BANDED IRON FORMATIONS

Various classification schemes have been used to refer to different varieties of banded iron formations (BIFs), variably considering features such as age, tectonic setting, mineralogy, and texture (JAMES, 1954; GROSS, 1965, 1980; KIMBERLEY, 1978; SIMONSON, 1985). The most currently used classification considers mainly age and tectonic setting to divide BIFs into two main classes: 1) Algoma-type BIFs, found in volcano-sedimentary sequences of greenstone belts and typical of Eoarchean age; and 2) Superior-type BIFs, formed in stable platform sedimentary successions and characteristic of late Archean to late Paleoproterozoic age (e.g., GROSS, 1965; BEUKES & GUTZMER, 2008). There are also occurrences of BIFs in the Neoproterozoic

related to Snowball Earth (KIRSCHVINK, 1992) glaciogenic sedimentary rocks, which are commonly referred to as Rapitan BIFs (GROSS, 1965; KLEIN, 2005; MACDONALD & others, 2010). In addition, BIFs and exhalites occur spatially associated with massive sulfide deposits (MSDs) (e.g., SPRY, PETER, & SLACK, 2000; CORRIVEAU & SPRY, 2014). Exhalites, however, are chemically different than BIFs because they commonly contain a higher metal content (Pb and Zn, for example) compared to normal, non-MSD-related BIFs, and are not included here. Other iron-rich deposits include the Devonian Lahn-Dill-type iron ores in Germany, which occur as lenses and layers of massive iron associated with bimodal and pyroclastic volcanism and carbonate rocks (e.g., FLICK, NESBOR, & BEHNISCH, 1990). The most likely origin of these iron ores is mobilization and redeposition of iron related to secondary diagenetic alteration of pyroclastic rocks (FLICK, NESBOR, & BEHNISCH, 1990). Because some of these iron ores are different than Archean–Proterozoic BIFs, they are not included here. Many Phanerozoic ironstones are different than BIFs in mineralogy and texture but some can be considered similar; therefore, a brief description of these ironstones is included in *Phanerozoic Ironstones* (p. 122–124). Algoma, Superior, and Rapitan BIFs, as well as those associated with massive sulfide deposits (MSDs), are described in separate sections. However, all other mention of BIFs with no specific reference to a particular type imply Archean–Paleoproterozoic BIFs and mostly Superior type, and not those related to MSDs.

Texturally, iron formations have been divided into banded iron formations (BIFs) and granular iron formations (GIFs) (see KLEIN, 2005 and references therein). BIFs are typical of Archean to early Paleoproterozoic successions and formed prior to the rise of atmospheric oxygen during the great oxidation event, ~2.4 Ga (HOLLAND, 1984), whereas GIFs are clastic sedimentary rocks that became abundant after the GOE and are typical of the late Paleoproterozoic

(e.g., KLEIN, 2005). Based on the lack of structures indicative of wave or storm action, Archean BIFs are generally considered to have been deposited in relatively deep water. In contrast, the granular textures typical of late Paleoproterozoic (1.8 Ga) GIFs indicate that they were deposited in shallow water under the influence of waves, likely close to or above storm and fair-weather wave base (e.g., KLEIN & BEUKES, 1992). Granular iron formations can be slaty and cherty and can also be associated with stromatolites (e.g., PUF AHL & FRALICK, 2004). Both Algoma- and Superior-type BIFs were deposited in open marine environments during high sea level (SIMONSON & HASSLER, 1996; KRAPEŽ, BARLEY, & PICKARD, 2003; FRALICK & PUF AHL, 2006). However, some Superior-type BIFs contain banded and granular textures, the latter of which represent remobilization, transport, and redeposition of BIFs (BEUKES & GUTZMER, 2008). GIFs are mainly restricted to Paleoproterozoic continental basins, such as those surrounding the Superior Craton of North America. Examples of BIFs include the giant Brockman Iron Formation of Western Australia (e.g., KLEIN, 2005), whereas the type examples of GIFs are those from the Lake Superior Region, USA; Labrador Trough, Canada; and Naberu Basin of Western Australia (JAMES, 1954; GOODWIN, 1956; SIMONSON, 2003; KLEIN, 2005).

#### ALGOMA-TYPE BIFs

Algoma-type banded iron formations (BIFs) occur within Eoarchean to early Paleoproterozoic volcano-sedimentary sequences in greenstone belts, range in age from 3.8 Ga to ~2.6 Ga, and are characterized by currently being relatively small occurrences (lateral extent <10 km, thickness <100 m) (GOODWIN, 1973; JAMES, 1983; ISLEY & ABBOTT, 1999; HUSTON & LOGAN, 2004). These BIFs are typically associated with volcanic rocks and greywackes and formed in tectonically active areas in volcanic arcs and spreading centers (GROSS, 1995). Because of this association, scientists have hypothesized

that Algoma-type BIFs formed by exhalative hydrothermal processes during pulses of magmatic and hydrothermal activity coeval with the deposition of the volcano-sedimentary successions of greenstone belts (BARLEY & others, 1998). Many Algoma-type BIFs are typically intensely deformed and folded, in contrast to Lake Superior BIFs that are commonly undeformed. Mineralogically, Algoma-type BIFs are characterized by iron that occurs in ferric and ferrous states in silicates, siderite, magnetite, and hematite.

The ~3.8 Ga Isua BIF, from the Isua Supracrustal Sequence in West Greenland, is an Algoma-type BIF and possibly the oldest BIF in the world. It is found in association with greenstones with low-K tholeiitic characteristics and turbidites, and experienced medium grade (amphibolite facies) metamorphism (DYMEK & KLEIN, 1988; KOMIYA & others, 1999; DAUPHAS & others, 2004; KATO, YAMAGUCHI, & OHMOTO, 2006). Other Algoma-type BIFs include those in the Nulliak supracrustal sequence in Labrador, Canada, dated at ~3.95 Ga (SHIMOJO & others, 2013) associated with mafic rocks and metamorphosed to amphibolite facies (e.g., AOKI & others, 2013), and those in the 3.13–2.92 Ga Sargur greenstone belt in India, associated with mafic-ultramafic rocks, quartzites, pelites, and calc-silicate rocks and metamorphosed to upper amphibolite-granulite facies (e.g., KATO, KANO, & KUNUGIZA, 2002; KATO, YAMAGUCHI, & OHMOTO, 2006).

#### SUPERIOR-TYPE BIFs

Superior-type banded iron formations (BIFs) mostly formed in the late Archean to late Paleoproterozoic (3.0 to ~1.8 Ga) on stable, passive-margin continental shelf and slope. They are characterized by great areas and lateral extent (up to hundreds of meters thick, >100,000 km<sup>2</sup>), are associated with marine siliciclastic (shale and quartzarenite) and carbonate rocks, and lack direct relationships with volcanic rocks (TRENDALL & BLOCKLEY, 1970; GROSS, 1983, 1995; KLEIN, 2005; KATO, YAMAGUCHI, & OHMOTO, 2006; BEUKES & GUTZMER,

2008; BEKKER & others, 2010). They are also thought to have formed during periods of global high sea level and during pulses of enhanced magmatic (mantle plumes) and hydrothermal activity (e.g., BEKKER & others, 2010). Mineralogically, Superior-type BIFs are characterized by iron in the ferrous state hosted in silicates, siderite, and magnetite, as well as iron in mixed-state minerals (most commonly magnetite) (e.g., KLEIN & LADEIRA, 2004). Late Paleoproterozoic Superior-type BIFs, which have been studied extensively, commonly exhibit granular textures (e.g., BEUKES & GUTZMER, 2008), are generally undeformed, and have been metamorphosed to only very low grades (see KLEIN, 2005; BEUKES & GUTZMER, 2008 and references therein).

Examples of Superior-type BIFs include the giant ~2.5 Ga Brockman Iron Formation (Fig. 45.1, see p. 98); the extensive ~2.6 Ga Marra Mamba Iron Formation, and the smaller Weeli Wolli and Boolgeda BIFs of the Hamersley Range, Western Australia; the ~2.5–2.4 Ga Kuruman-Griquatown-Penge Iron Formations of the Kaapvaal craton, South Africa; the ~2.5 Ga BIFs from the São Francisco craton in Minas Gerais, Brazil; the ~2.4 Ga Kursk BIFs from the Kursk magnetic anomaly, Russia; the ~1.88 Ga Biwabik and Gunflint Iron Formations from the Animikie-Marquette basin, North America; and the ~1.88 Ga BIFs from the Yilgarn craton (Nabberu basin), Australia (see KLEIN, 2005; BEUKES & GUTZMER, 2008; BEKKER & others, 2010, and references therein).

#### RAPITAN BIFs

Neoproterozoic (~0.8–0.6 Ga) Rapitan banded iron formations (BIFs) are found in marginal marine settings, some in broad extensional graben settings, and some are located in mobile belts, such as the Pan African and Brazilian-African belts (GROSS, 1995; TROMPETTE, ALVARENGA, & DE WALDE, 1998; ILYN, 2009). They are typically temporally and spatially associated to Sturtian (~716.5 Ma) and Marinoan (~635 Ma) glacial deposits of global Snowball Earth events (e.g., KIRSCHVINK, 1992; HOFFMAN

& others, 1998). However, studies based on mapping, stratigraphy, and geochemistry of Neoproterozoic BIFs from Namibia and South Africa suggest that all Neoproterozoic iron formations may be of 716.5 Ma Sturtian age (MACDONALD & others, 2010). If this is the case, Rapitan BIFs formed as a result of the secular evolution of the redox state of the ocean, which is considered to have been anoxic at the time of iron concentration (KLEIN & LADEIRA, 2004; MACDONALD & others, 2010). Because the oceans were covered by ice, hydrothermal iron was able to accumulate in the water and precipitate as ferric oxyhydroxides when mixed with more oxidic waters, either derived from subglacial meltwater plumes (HOFFMAN & others, 1998) or surface waters at the onset of ice melting (KLEIN & BEUKES, 1993).

Rapitan BIFs are commonly associated with diamictite, are typically succeeded by cap carbonates (usually dolomite and rarely limestone), and may contain dropstones (e.g., BEKKER & others, 2010; MACDONALD & others, 2010). Texturally, these BIFs are commonly laminated, nodular, and oolitic. Mineralogically, Rapitan BIFs consist almost entirely of iron in the ferric state in hematite, in contrast to Archean and Paleoproterozoic BIFs (KLEIN & LADEIRA, 2004). In addition, these iron deposits sometimes host economic manganese concentrations (KLEIN & BEUKES, 1992; KLEIN & LADEIRA, 2004; HALVERSON & others, 2011). Examples of Neoproterozoic BIFs, some of them large, include the Rapitan BIFs of the Northwestern Territories, Canada; the Urucúm region of Brazil; the Arroyo del Soldado Group, Lavalleja, in Uruguay; the Damara orogen in Namibia; and the Serranía de Mutum in Bolivia (GROSS, 1983; BÜHN, STANISTREET, & OKRUSCH, 1992; KLEIN & LADEIRA, 2004; KLEIN, 2005; PECOITS & others, 2008).

#### BIFs RELATED TO MASSIVE SULFIDE DEPOSITS

Banded iron formations (BIFs) are commonly associated with metamorphosed base metal (Pb, Zn, Cu) massive sulfide deposits (MSDs) in sedimentary sequences

and in felsic volcanic belts (SPRY, PETER, & SLACK, 2000; SLACK, GRENE, & BEKKER, 2009; CORRIVEAU & SPRY, 2014). These BIFs generally form below, above, in, or along strike from stratiform, exhalative, or volcanogenic ore deposits (e.g., SPRY, PETER, & SLACK, 2000). Less commonly, they form lateral to the ore deposits and extend for kilometers. Typically, the BIFs form layers less than two meters thick, although they can also reach tens of meters in thickness. They are also normally laminated with varying mineralogy from layer to layer. Geochemical data and diagrams, including those of Al/Al+Fe+Mn vs. Fe/Ti and ternary Al-Fe-Mn, indicate that the BIFs have variable amounts of hydrothermal and detrital components, but usually the detrital content is less than 30 wt% (CORRIVEAU & SPRY, 2014). BIFs related to MSDs tend to have a higher detrital component than non-MSD related BIFs but are very similar in most other aspects. Most BIFs associated with sulfide mineralization are chemical sedimentary rocks similar to Algoma-type iron formations and likely formed by venting of hydrothermal fluids into submarine basins (STANTON, 1972, 1976; SPRY, PETER, & SLACK, 2000).

BIFs occur in spatial association with some of the largest base metal sulfide deposits of the world. The most extensive BIFs associated with massive sulfide deposits (MSDs) are found in volcano-sedimentary sequences of continental rift systems, such as those near the giant Paleoproterozoic (~1.69 Ga) Broken Hill deposit, Australia (Fig. 48.3–48.4) and the Ordovician Bathurst deposit, New Brunswick, Canada (SPRY, PETER, & SLACK, 2000). BIFs appear close to the Broken Hill and Pinnacles deposits in the southern Curnamona Province of Australia, but also extend laterally and intermittently for about 100 km throughout the province (STANTON, 1972; PLIMER, 1988; PARR, 1992; PARR & PLIMER, 1993; SPRY, PETER, & SLACK, 2000; HEIMANN & others, 2009, 2013). Many of these BIFs do not have a clear temporal relationship with the sulfide ores (SPRY, PETER, & SLACK, 2000). In the Bathurst mining camp, BIFs and sulfide ore

extend for 12 km (PETER & GOODFELLOW, 1996). Other important occurrences of BIFs associated with large MSDs appear in the Mesoproterozoic Gamsberg and Aggeneyns deposits in South Africa and the Bergslagen deposit in Sweden (e.g., PLIMER, 1988; SPRY, PETER, & SLACK, 2000).

Typical lithologies associated with BIFs related to metamorphosed MSDs include metamorphosed clastic sedimentary rocks and felsic volcanic rocks, as well as minor mafic igneous rocks that do not occur within the ore (SPRY, PETER, & SLACK, 2000). The mineralogy of the BIFs may include carbonates, oxides, silicates, and/or sulfides. Sulfide-bearing iron formation is present at the Gamsberg deposit (South Africa), the carbonate iron formation at the Bathurst deposit (Canada), the oxide-silicate iron formation at the Broken Hill deposit (Australia), and the Bergslagen deposit (Sweden) (PLIMER, 1988; PETER & GOODFELLOW, 1996; SPRY, PETER, & SLACK, 2000).

### SPATIAL AND TEMPORAL DISTRIBUTION OF BIFs

Archean–Paleoproterozoic banded iron formations (BIFs) range in age from ~3.8 Ga to ~1.88 Ga. Recent studies suggest that all Neoproterozoic BIFs may be ~716.5 Ma, although previous studies considered their ages to be ~0.8–0.6 Ga. The oldest BIFs are those (~3.8 Ga) from the Isua Supracrustal Belt of Western Greenland (APPEL, 1987; DYMEK & KLEIN, 1988). Other Archean BIFs include the ~3.6–3.2 Ga BIFs from the Sebakwian Group in Zimbabwe and the ~2.8–2.6 Ga BIFs of the Dharwar Supergroup in India (MANIKYAMBA, BALARAM, & NAQVI, 1993; ARORA & others, 1995; KHAN & others, 1996; KATO, KANO, & KUNUGIZA, 2002). There is some evidence that indicates that the largest peak in Algoma-type BIF deposition is related to a major mantle plume event at 2.75–2.70 Ga (HUSTON & LOGAN, 2004). A second peak in BIF deposition occurred at 2.5–2.45 Ga with the deposition of the large Superior-type BIFs of the Ghaap/Chuniespoort Group of the Kaapvaal

Craton, South Africa, and the Hamersley Group, Australia (HOUSTON & LOGAN, 2004; BEUKES & GUTZMER, 2008). Another peak in BIF deposition occurred in the late Paleoproterozoic at ~1.88 Ga in the Lake Superior region of the USA and Canada. Studies of BIFs in the Frere Formation of Western Australia, previously thought to be 1.84 Ga, concluded that they are actually ~1.88 Ga, indicating that the deposition of BIFs in the Lake Superior region of North America and those in Western Australia are coeval and likely reflect global ocean chemistry (RASMUSSEN & others, 2012). These BIFs are coeval with important 1.88 Ga mafic-ultramafic magmatism, a large igneous province (LIP) interpreted to be related to a mantle plume event, juvenile continental and oceanic crust formation, mantle depletion, and volcanogenic MSD formation (HEAMAN & others, 1986; CONDIE, 1998; ISLEY & ABBOTT, 1999; CONDIE, 2002; FRANKLIN & others, 2005; KEMP & others, 2006; PARMAN, 2007; PEARSON, PARMAN, & NOWELL, 2007; HAMILTON & others, 2009; HEAMAN, PECK, TOOPE, 2009; BEKKER & others, 2010; MEERT & others, 2011). This suggests that BIFs formed as a result of major mantle activity and crustal growth (RASMUSSEN & others, 2012). After this event, large BIFs disappear from the rock record for more than one billion years (KLEIN & BEUKES, 1993), returning in the Neoproterozoic associated with global glaciations of Snowball Earth distributed on nine separate paleocontinents (MACDONALD & others, 2010). After these, BIFs typical of the Precambrian are not present in the rock record.

The present day geographic distribution of BIFs reaches every continent (e.g., KLEIN, 2005). Algoma-type BIFs are relatively small and commonly less than 10 km in lateral extent (BEUKES & GUTZMER, 2008; BEKKER & others, 2010). Examples of Algoma-type BIFs occur in India, Singhbhum Group (3.5 Ga) (MUKHOPADHYAY & others, 2008), and South Africa, Fig Tree Group, Barberton Greenstone Belt (~3.3 Ga) (HOFMANN, 2005), among other places.

The largest BIFs ( $10^5$  km<sup>2</sup>) are Superior-type BIFs, such as the Brockman Iron Formation of the Hamersley Range of Western Australia (~2.6–2.45 Ga) (TRENDALL & BLOCKLEY, 1970; TRENDALL, 2002; TRENDALL & others, 2004); the Quadrilátero Ferrífero of the Itabira Group, Minas Gerais, Brazil (~2.6–2.4 Ga) (KLEIN, 2005); and the Kuruman, Griquatown, and Penge Iron Formations of the Transvaal Supergroup of South Africa (~2.5–2.3 Ga) (KLEIN & BEUKES, 1989) (Fig. 45.1). Paleogeographic reconstructions and detailed geochronological studies suggest that the Asbestos Hills-Penge Iron Formations, Kaapvaal Craton, South Africa (~2.5–2.45 Ga) and the Brockman Iron Formation, Pilbara Craton, Western Australia, were deposited synchronously in the super continent Vaalbara (CHENEY, 1996; ZEGERS & others, 1998; BEUKES & GUTZMER, 2008). However, some scientists have suggested, that the similarities, including the stratigraphy, reflect synchronized events on a global scale (TRENDALL, 1968; BUTTON, 1976; NELSON, TRENDALL, & ALTERMANN, 1999). Of the late Paleoproterozoic BIFs, the large Gunflint Iron Formation in the Animikie basin of North America (~1.88 Ga) contains the first undisputed microfossils that offer evidence of life on the early Earth (e.g., BARGHOORN & TYLER, 1965; AWRAMIK & BARGHOORN, 1977). See *Clues from Microfossils*, p. 116–118, for elaboration. The youngest of the Paleoproterozoic BIFs include the ~1.7 Ga Baraboo BIF from the Freedom Formation, Wisconsin, USA (e.g., WEIDMAN, 1904), which has not been studied in detail.

Examples of Neoproterozoic BIFs occur between 0.8 and 0.6 Ga in the Rapitan Group, Yukon and the Northwest Territory, Canada (~0.716 Ga); Jacadigo Group in the Urucúm District, Brazil and Bolivia (~0.6 Ga); the Damara Supergroup, Chuos Formation, Namibia (~0.75–0.65 Ga); and Arroyo del Soldado Group, eastern Uruguay (~0.6 Ga) (BREITKOPF, 1988; KLEIN & BEUKES, 1993; KLEIN & LADEIRA, 2004; KLEIN, 2005; PECOITS & others, 2008). An integrated

mapping, stratigraphic, geo-chemical, and geochronological study of Neoproterozoic BIFs and associated rocks in Namibia and South Africa proposed that all Neoproterozoic iron formations may be of Sturtian age (~716.5 Ma) (MACDONALD & others, 2010), instead of some being Marinoan in age (635 Ma) as previously thought (e.g., FRIMMEL, 2008). An association between Neoproterozoic BIFs and mantle plume events has also been proposed to explain their time-related genesis (e.g., BEKKER & others, 2010). See *Hypotheses of BIF Formation*, p. 103–119, for elaboration on this topic.

## MINERALOGY AND GEOCHEMISTRY OF BIFs

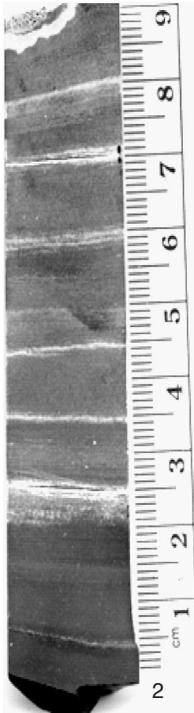
### MINERALOGY AND PRECURSOR PHASES

The main minerals present in banded iron formations (BIFs) include (Table 2): siderite, magnetite, hematite, chert, stilpnomelane, minnesotaite and accessory ankerite, ferroan dolomite, riebeckite, mica (ferri-annite), and chlorite (KLEIN, 2005). Pyrite may be present as a rare accessory mineral. Some of these minerals, such as siderite and minnesotaite, required low oxygen conditions, whereas others, such as hematite or its precursor Fe oxyhydroxides, clearly required at least some oxygen present in the environment of formation. Some magnetite, siderite, ferrosilicates (minnesotaite), ankerite, and pyrite likely formed during diagenesis and metamorphism (e.g., AYRES, 1972; PERRY, TAN, & MOREY, 1973). Ankerite, for example, overgrew early, very thin siderite laminations in the carbonate-rich Kuruman Iron Formation, likely evidencing a late diagenetic origin for ankerite and an early diagenetic origin for siderite (Fig. 45.3–45.4; Fig. 46.1–46.2) (BEUKES & KLEIN, 1990; BEUKES & others, 1990; HEIMANN & others, 2010).

The original mineralogy of BIFs has been debated for decades. The original or early diagenetic mineralogy of BIFs likely included the minerals siderite, amorphous or crystalline ferric hydroxides (ferrihydrite,



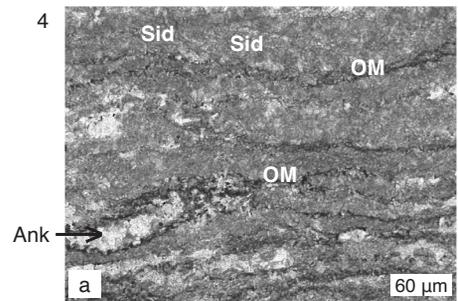
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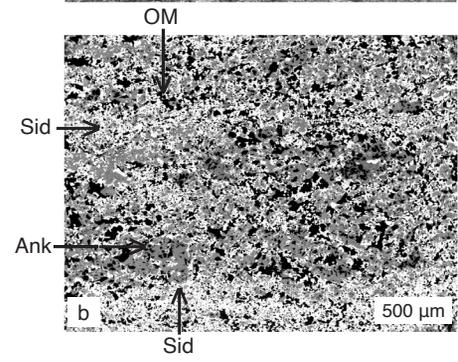
2



3



a



b

FIG. 45. Selected examples of banded iron formation with different mineralogy from the Brockman Iron Formation, Western Australia (1–2) and the Kuruman Iron Formation, South Africa (3–4). 1, View of the Dales Gorge of the giant Brockman Iron Formation (new; image courtesy of Clark M. Johnson). 2, Core slab sample of banded and laminated oxide and carbonate BIF; magnetite (gray), siderite + stilpnomelane (brown), pure siderite (light tan),  
(continued on facing page)

TABLE 2. Mineralogy and chemistry of major mineral constituents in Banded Iron Formations.

Mineral Group	Mineral Species	Chemical Composition
Carbonates	Siderite	FeCO <sub>3</sub>
	Ankerite	Ca(Fe <sup>2+</sup> ,Mg)(CO <sub>3</sub> ) <sub>2</sub>
	Ferroan dolomite	(CaMg,Fe <sup>2+</sup> )(CO <sub>3</sub> ) <sub>2</sub>
Oxides	Magnetite	Fe <sub>3</sub> O <sub>4</sub> (or FeO.Fe <sub>2</sub> O <sub>3</sub> )
	Hematite	Fe <sub>2</sub> O <sub>3</sub>
Silicates	Quartz	SiO <sub>2</sub> (chert or amorphous silica)
	Stilpnomelane	K(Fe <sup>2+</sup> ,Mg,Fe <sup>3+</sup> )(Si,Al) <sub>12</sub> (O,OH) <sub>27</sub> .n(H <sub>2</sub> O)
	Greenalite	(Fe <sup>2+</sup> ,Fe <sup>3+</sup> ) <sub>2-3</sub> Si <sub>2</sub> O <sub>5</sub> (OH) <sub>4</sub>
	Minnesotaite	Fe <sup>2+</sup> <sub>3</sub> Si <sub>4</sub> O <sub>10</sub> (OH) <sub>2</sub>
	Riebeckite	Na <sub>2</sub> (Fe <sup>2+</sup> ,Mg) <sub>3</sub> Fe <sup>3+</sup> <sub>2</sub> Si <sub>8</sub> O <sub>22</sub> (OH) <sub>2</sub>
	Ferriannite	KFe <sup>2+</sup> <sub>3</sub> ((Fe <sup>3+</sup> ,Al)Si <sub>3</sub> O <sub>10</sub> )(OH) <sub>2</sub>
	Chlorite	(Mg,Fe <sup>2+</sup> ) <sub>3</sub> (Si,Al) <sub>4</sub> O <sub>10</sub> (OH) <sub>2</sub> (Mg,Fe <sup>3+</sup> ) <sub>3</sub> (OH) <sub>6</sub>
	Nontronite	Na <sub>0.3</sub> Fe <sub>2</sub> (Si,Al) <sub>4</sub> O <sub>10</sub> (OH) <sub>2</sub> .nH <sub>2</sub> O
Hydroxides	Ferrihydrite	Fe <sup>3+</sup> <sub>2</sub> O <sub>3</sub> .0.5(H <sub>2</sub> O)
	Ferric hydroxide	Fe <sup>3+</sup> (OH) <sub>3</sub>
	Goethite	Fe <sup>3+</sup> O(OH)
Sulfides	Pyrite	FeS <sub>2</sub>

ferric hydroxide, and goethite), greenalite, nontronite, and amorphous silica (Table 2) (e.g., KLEIN, 2005; BEUKES & GUTZMER, 2008). It has also been suggested that most siderite and pyrite in BIFs precipitated within the water column of anoxic basins, but some also formed during early diagenesis (OHMOTO & others, 2006). Silica was also present in the structure of original clays. Thermodynamic calculations and experiments indicate that ferric hydroxides (ferrihydrite or goethite) can

be transformed to hematite by dehydration and recrystallization reactions during early diagenesis (BERNER, 1969; SCHWERTMANN & CORNELL, 1991). Nanoscale hematite inclusions found within siderite in the Kuruman BIF have been interpreted as reflecting the origin of siderite in a reaction involving coupled oxidation of organic matter and reduction of Fe(III) hosted in primary hematite or an original Fe(III) hydroxide by bacterial dissimilatory iron reduction (DIR) (HEIMANN & others, 2010). Similar

FIG 45. (continued from facing page)

Dales Gorge Member, Brockman Iron Formation, Western Australia, sample DDH#44-19. 3, Core sample of banded and finely laminated carbonate BIF with siderite-chert laminations (dark black), pure siderite laminations (light brown), and large, diagenetic ankerite (white), Kuruman Iron Formation, Transvaal, South Africa, sample WB98-815 (2-3, core samples, Geology Museum, University of Wisconsin-Madison; new, photos, Adriana Heimann). 4a-b, Iron-carbonate microlaminations, organic matter remains, and mineralogy typical of the Kuruman Iron Formation; a, photomicrograph showing siderite mud microlaminae (*Sid*, dark gray-brown), organic matter remains (*OM*, black), and diagenetic ankerite (*Ank*, white, coarser grained), plane polarized  $\times 10$ , sample WB98-800A; b, back-scattered scanning electron microscope image of same sample showing siderite (almost white), coarser diagenetic ankerite (gray), and organic matter (black) remains (new). Color version available in *Treatise Online* 147 (paleo.ku.edu/treatiseonline).

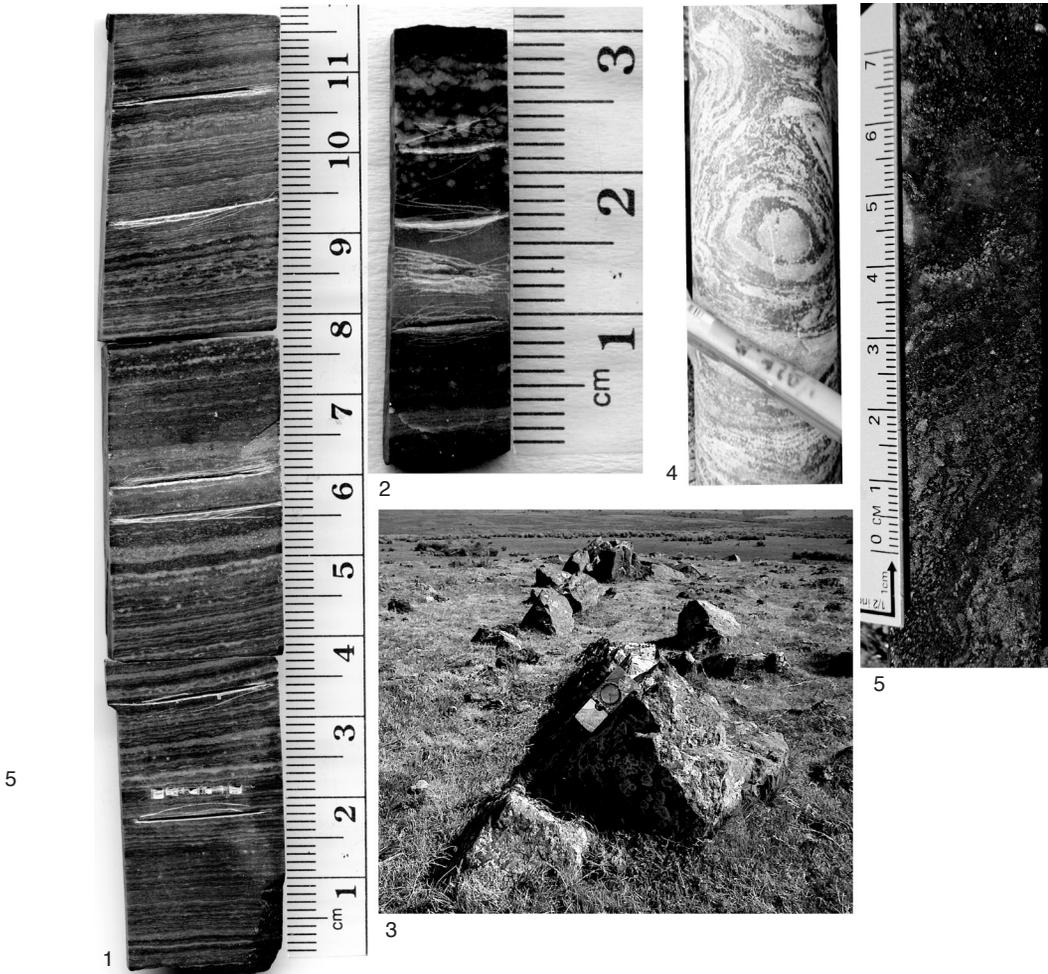


FIG. 46. Selected examples of banded iron formations with different mineralogy from various locations worldwide. 1. Core slab sample showing banded and finely laminated carbonate BIF of the Kuruman Iron Formation, South Africa, pure siderite laminations (light brown/tan), lamination rich in hematite (reddish), sample D11-213.8 (Geology Museum, University of Wisconsin-Madison). 2. Core slab sample of banded carbonate BIF of the Kuruman Iron Formation, siderite (dark), large, late, diagenetic ankerite (white areas), sample AD5-161-9A, Geology Museum, University of Wisconsin-Madison (1–2, new; photos, Adriana Heimann). 3. Field photo of the Valentines Iron Formation, Nico Pérez Terrane, Uruguay, compass for scale (new; photo courtesy of Richard Lateulade). 4. Core sample of deformed and metamorphosed quartz-magnetite iron formation from the Valentines Iron Formation, quartz (white), magnetite (dark, brown). 5. Core slab sample of deformed banded quartz-magnetite-pyroxene iron formation, magnetite (reddish brown), quartz (dark), pyroxene (greenish), quartz (coarse, whitish spots), Valentines Iron Formation (new; photo, Heather Lancaster). Color version available in *Treatise Online* 147.

hematite microspheroids, dusty hematite, or microcrystalline hematite have been reported from other BIFs, including the Bruno's BIF of the Mount Sylvia Formation, Hamersley Basin (Western Australia), and interpreted to be the result of recrystallization of original ferrihydrite (e.g., BEUKES & GUTZMER, 2008).

BIFs were originally classified based on their dominant mineralogy as carbonate, oxide, silicate, and sulfide facies BIFs (JAMES, 1954). Sulfide facies BIFs were originally defined as pyritic and organic carbon-rich black shales with high iron contents (>15 wt%) (JAMES, 1954), although most authors

do not consider these as BIFs but as shales (e.g., BEKKER & others, 2010). These shales commonly occur stratigraphically above, below, or interbedded with oxide or carbonate. More recently, BEUKES and GUTZMER (2008) classified BIF facies into oxide-, hematite-, and siderite-facies BIFs. Some BIFs contain more than one dominant type of mineral. Oxide facies BIFs are comprised predominantly of magnetite, hematite, and chert. The large Superior-type ~2.5 Ga Brockman Iron Formation (Fig. 45.1–45.2) is a good example of an oxide facies BIF but also contains carbonate (siderite)-rich bands and silicates (stilpnomelane) (EWERS & MORRIS, 1981; PECOITS & others, 2009, and herein). The giant ~2.5 Ga Kuruman Iron Formation is an excellent example of a carbonate-rich BIF primarily composed of siderite, ankerite, and minor ferroan dolomite with local laminations of iron oxides (Fig. 45.3–45.4; Fig. 46.1–46.2) (KLEIN & BEUKES, 1989; BEUKES & others, 1990; HEIMANN & others, 2010). It represents the best-preserved, carbonate-rich BIF, as it has only been affected by very low metamorphism and almost no deformation. It is characterized by millimeter-scale laminations of very fine-grained (up to 5  $\mu\text{m}$ ) siderite with interstitial organic matter intercalated with very fine-grained chert (Fig. 45.4a–b). Ankerite appears as an accessory, late diagenetic, medium-grained mineral in the fine-grained, siderite-rich laminations (Fig. 45.4a; Fig. 46.1–46.2). Other BIFs also contain oxide and carbonate minerals. Algoma- and Superior-type BIFs are similar mineralogically, whereas Neoproterozoic BIFs have very simple mineralogies, containing mainly iron oxides and silica (KLEIN & BEUKES, 1992; KLEIN & LADEIRA, 2004).

Most Archean BIFs have experienced metamorphism and deformation and only some have very low or low metamorphic grade mineral assemblages (Fig. 47). Many of the large and most-studied late Archean–early Proterozoic BIFs, such as the Kuruman and Brockman BIFs, have undergone only very low-grade metamorphism and even

preserve diagenetic mineral assemblages (Fig. 45.4a) (KLEIN, 2005). In most cases metamorphism was isochemical, except for dehydration and decarbonation reactions. The BIFs of the Hamersley Basin in Australia have been metamorphosed to sub-greenschist to greenschist facies conditions at estimated burial temperatures of 200–300°C and burial pressures of ~1.2 kbar (KLEIN & GOLE, 1981; KAUFMAN, HAYES, & KLEIN, 1990). The burial temperatures of the BIFs from the Kaapvaal Basin in South Africa have been estimated to be one of the lowest, at 100–150°C (MIYANO & KLEIN, 1983).

The mineralogy of silicate-rich BIFs depends on the metamorphic grade. At low metamorphic grades of the biotite zone, the minerals can include greenalite, stilpnomelane, minnesotaite, chamosite, ripidolite, riebeckite, and minor ferriannite. At medium and high pressures and temperatures, amphiboles (cummingtonite, grunerite, actinolite, hornblende), pyroxenes, fayalite, and minor garnet form (Fig. 46.3–46.5; 48.3–48.4) (KLEIN, 2005).

## GEOCHEMISTRY

Most researchers agree that original iron-rich minerals in banded iron formations (BIFs), except some Fe silicates, formed by oxidation and direct precipitation of iron dissolved in seawater as Fe(III) oxyhydroxides in large water bodies (TRENDALL & BLOCKLEY, 1970; AYRES, 1972; EWERS & MORRIS, 1981; TRENDALL, 2002). If this is the case, the geochemistry of BIFs can help scientists understand the chemistry of the water from which they precipitated. In particular, rare earth elements (REEs) in BIFs could serve as redox proxies (e.g., BAU & MÖLLER, 1993; PLANAVKSY & others, 2010). However, there are still some unknowns regarding the fractionation of elements and isotopes during precipitation and the effects of diagenesis and metamorphism. In addition, some scientists have argued and continue to postulate that the precursor sediments of BIFs were not direct chemical precipitates but microgranular muds or

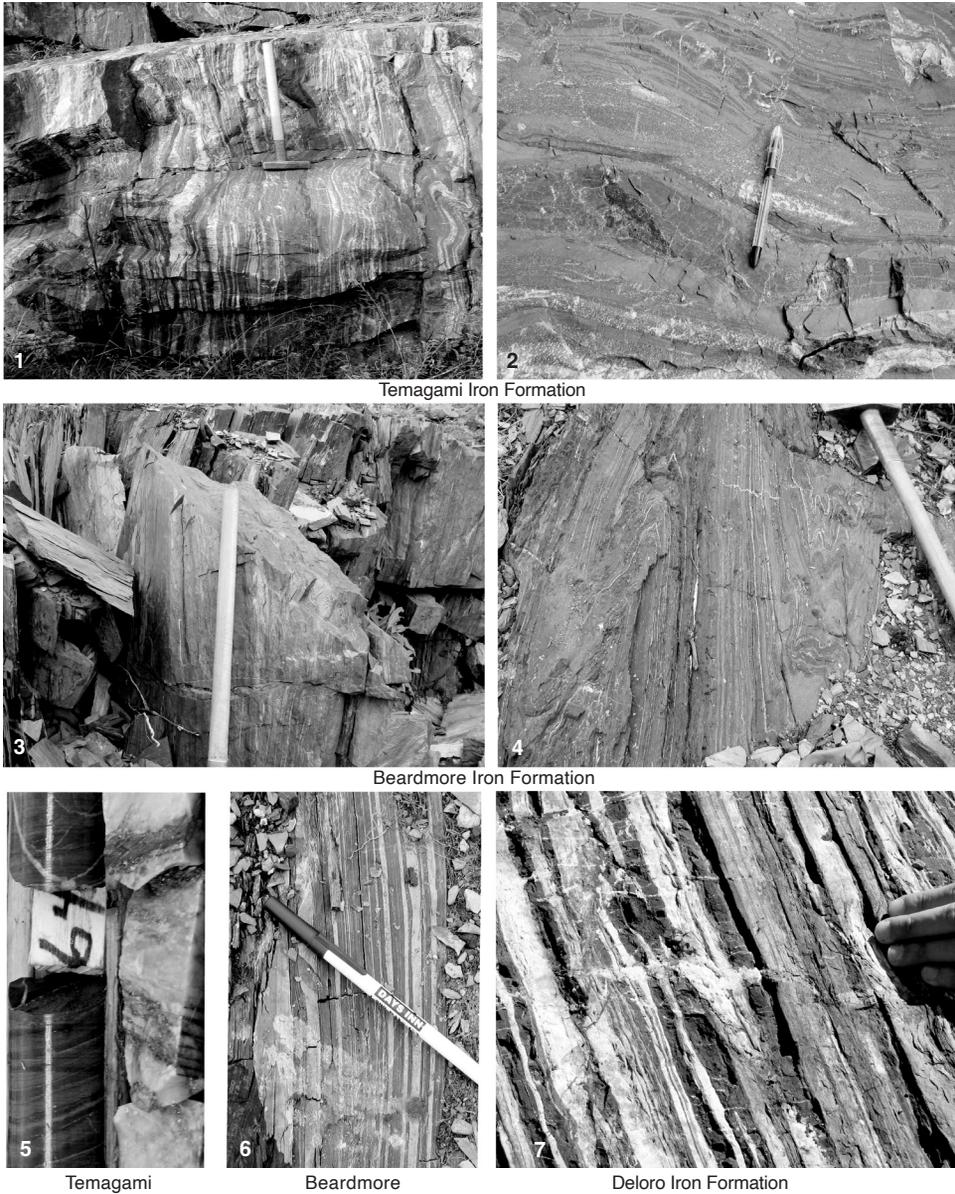


FIG. 47. Photos showing mesoscopic layering and deformation features of various deformed banded iron formations from the southwestern Superior Province, Ontario, Canada (new; all photos, Adriana Heimann). 1, Outcrop of Neoproterozoic, Algoma-type, Temagami Iron Formation (2.7 Ga) showing vertical to subvertical layering and minor folding, layers of gray/black hematite (gray), red jasper (chert and hematite), and chert (white); also present, carbonates and iron silicates, Sherman Mine, Cobalt area. 2, Close-up of outcrop showing deformation features in the Temagami Iron Formation, layers are red jasper (chert with hematite) and black-gray hematite. 3, Neoproterozoic BIF (2.7 Ga), Beardmore area, BIF is red and metallic gray and characterized by vertical to subvertical layering of hematite-magnetite (gray) and jasper (red). 4, Close-up of horizontal face of outcrop of Beardmore iron formation showing thin, deformed, folded layers of specular hematite and magnetite (gray) and chert + hematite (red). 5, Drill core of laminated Neoproterozoic (2.7 Ga) Temagami Iron Formation associated with mafic-intermediate volcanic rocks, laminations composed of red hematite, gray magnetite, also a quartz vein with pyrite, Sherman Mine, sample core ORS\_1-87, 64 feet. 6, Outcrop image from the Beardmore area showing vertical laminations of oxide-rich (magnetite-hematite)

(continued on facing page)

Fe-rich, Al-poor silicate microgranules that were resedimented by dilute density currents. The granules were originally comprised of greenalite, chamosite, or nontronite and are now present as stilpnomelane (Table 2, see p. 99) (KRAPEŽ, BARLEY, & PICKARD 2003; RASMUSSEN & others, 2013).

The iron content of BIFs typically ranges from 15 to 35 wt% Fe, and their silica content varies from 34 to 56 wt% SiO<sub>2</sub> (JAMES, 1954; KLEIN, 2005). The concentrations of CaO, MgO, MnO, Al<sub>2</sub>O<sub>3</sub>, Na<sub>2</sub>O, K<sub>2</sub>O, and P<sub>2</sub>O<sub>5</sub> are typically low. The Ca, Mg, and Mn contents reflect the presence of carbonate (siderite, ankerite, minor calcite), whereas Al, Na, and K are hosted mainly by silicates (riebeckite, greenalite, stilpnomelane; KLEIN, 2005). The CaO and MgO values range from 1.8 to 9.0 wt%, whereas those for Na<sub>2</sub>O and K<sub>2</sub>O are very low (<1.5 wt%). The very low-metamorphic-grade, siderite-rich BIF from the Kuruman Iron Formation has organic carbon contents ranging from 0.05 to 0.2 wt% (see Fig. 45.4) (KLEIN & BEUKES, 1989). Magnetite-rich BIFs from the same sequence have even lower organic carbon contents (KLEIN, 2005), which has been the focus of debate regarding the role of biologic processes in mediating the deposition of BIF minerals.

It is commonly accepted that, under conditions of low fluid/rock ratios, bulk-rock REE contents are not affected by post-depositional processes, such as diagenesis and metamorphism (e.g., TAYLOR & MCLENNAN, 1986; MCLENNAN & TAYLOR, 1991; BAU, 1991). The REE contents and the presence of Ce and Eu anomalies in normalized REE patterns of BIFs have been used to understand their origin and the chemical composition and redox state of the Precambrian oceans. Cerium anomalies are defined as  $Ce/Ce^* = Ce_N / \{ \{ La_N + Pr_N \} / 2 \}$  (where N refers to the normalization value of shale composites or the Chondrite concen-

tration, and Ce\* to the predicted normalized concentration calculated from the equation) and true negative Ce anomalies have  $Ce/Ce^* < 1$  and  $Pr/Pr^* (Pr_N / \{ \{ Ce_N + Nd_N \} / 2 \}) > 1$  (BAU & DULSKI, 1996; PLANAVSKY & others, 2010). Another way of defining the Ce anomaly is using Pr and Nd to avoid utilizing possibly anomalous concentrations of La. Thus, it is defined as  $Ce^*_N = Pr^*_N (Pr_N / Nd_N)^2$  (LAWRENCE & KAMBER, 2006). In modern oxygenated seawater, true negative Ce anomalies develop when Ce<sup>3+</sup> is oxidized and removed as Ce<sup>4+</sup> by Fe-Mn oxides or hydroxides, organic matter, and clays. Consequently, modern oxic seawater is depleted in Ce and has very large negative Ce anomalies. Suboxic and anoxic waters (0.05–5 μmol O<sub>2</sub> and no dissolved sulfide) lack significant negative Ce anomalies, and some have positive Ce anomalies. These anomalies are the result of reductive dissolution of settling Mn-Fe-rich particles that return Ce back to seawater which is then captured by precipitating Fe-Mn oxides (GERMAN & ELDERFIELD, 1990; DE CARLO & GREEN, 2002). Cerium has therefore been used to determine paleoceanic redox conditions. Europium anomalies ( $Eu/Eu^* = Eu_N / \{ \{ Sm_N + Gd_N \} / 2 \}$ ) develop due to an abundance of Eu<sup>2+</sup> in high-temperature (>250 °C), reduced, hydrothermal fluids and reflect the relative contribution of hydrothermal and riverine influx to the oceans (KLINKHAMMER, ELDERFIELD, & HUDSON, 1983; SVERJENSKY, 1984; ELDERFIELD, 1988). For further discussion of the significance of REE compositions of BIFs as indicators of paleoceanic redox conditions, see p. 107–108.

## HYPOTHESES OF BIF FORMATION

The processes responsible for the generation and precipitation of the vast amounts of iron present in banded iron formations

FIG 47. (continued from facing page)

Neoproterozoic iron formation (dark) with interbedded metapelitic rocks (lighter gray). 7, Close-up outcrop view of Neoproterozoic Deloro Iron Formation (2,723 Ma) from the Abitibi Greenstone belt, Canada, exhibiting banded magnetite-hematite (gray/black), siderite (brown), and chert (not visible), with crosscutting veins. Color version available in *Treatise Online* 147 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

(BIFs) have been the subject of extensive study during the last ~60 years (e.g., GROSS, 1988; BROWN, GROSS, & SAWICKI, 1995). A summary of some of the main ideas about BIF formation is presented here, followed by a more detailed view of the recent thinking about the source of iron and silicon, the origin of the banding in BIFs, the paleo-redox ocean structure, and inorganic and biological hypotheses of BIF formation. Table 3 provides a summary of the current thinking of the processes (organic and inorganic) involved in the formation of some well-studied Precambrian BIFs.

The most accepted view of BIF genesis holds that they formed in Archean and Paleoproterozoic oceans that were characterized by extremely low sulfate and sulfide concentrations and oxygen-free deep waters that contained high amounts of dissolved ferrous Fe [(Fe(II)<sub>aq</sub>] (CANFIELD, HABICHT, & THAMDRUP, 2000; CANFIELD, 2005). Most researchers agree that a large reservoir of marine dissolved Fe(II) (~20 ppm; EWERS, 1980; VEIZER, 1983) in the Archean and Paleoproterozoic oceans existed due to a high hydrothermal iron flux and a reduced atmosphere, or one that had a low oxidation potential (HOLLAND, 1973; 1984; 2006; BEKKER & others, 2004; KUMP & SEYFRIED, 2005). The low sulfate and sulfide contents were necessary to maintain the large amounts of dissolved iron (HABICHT & others, 2002). In such an environment, the accumulation of large volumes of iron took place by oxidation of hydrothermally derived Fe(II) and precipitation (JACOBSEN & PIMENTEL-KLOSE, 1988; KLEIN & BEUKES, 1992; HOLLAND & PETERSEN, 1995; ISLEY, 1995). CLOUD (1965) was the first to consider the role of bacterial processes for the generation of Fe(III) in BIFs and invoked oxidation of riverine Fe(II) by O<sub>2</sub> produced by oxygenic photosynthesis (cyanobacteria). A contrasting view of BIF genesis, based on the similarity of ancient BIFs and modern chert-hematite deposits associated with volcanogenic massive sulfide deposits (MSDs), considers that BIFs are the result of local discharge of submarine

hydrothermal fluids under a fully oxygenated atmosphere and oceans (except in local basins) since ~3.8 Ga (OHMOTO, 1997, 2004; OHMOTO & others, 2006; KATO & others, 2006).

Considerable effort in the study of the origin of BIFs has centered particularly on the mechanisms of oxidation of Fe(II) to Fe(III), the latter estimated to account for 40% of the total Fe in BIFs (OHMOTO & others, 2006; KONHAUSER & others, 2007; BEUKES & GUTZMER, 2008). Most models of BIF formation invoke two stages of Fe cycling. First, hydrothermal Fe(II) is oxidized in the photic zone of the oceans resulting in the crystallization and deposition of Fe(III) oxides or oxyhydroxides on the seafloor. Then, Fe(II)<sub>aq</sub> reacts with deposited Fe(III) oxides in the sediment or during diagenesis to produce mixed valence minerals or with carbonate or dissolved silica to produce siderite or Fe(II) silicates (KLEIN, 2005; BEUKES & GUTZMER, 2008; JOHNSON, BEARD, & RODEN, 2008). Possible mechanisms of Fe oxidation include abiologic and biologically mediated Fe(II) oxidation by oxygen (CLOUD, 1965; KONHAUSER & others, 2002), UV Fe(II) photo-oxidation (CAIRNS-SMITH, 1978; BRATERMAN, CAIRNS-SMITH, & SLOPER, 1983), and anoxygenic phototrophic Fe(II) oxidation (or photoferrotrophy) (WIDDEL & others, 1993; KAPPLER & others, 2005). It is more than likely that no single mechanism was responsible for the oxidation, precipitation, and generation of the vast amounts of iron present in Precambrian BIFs (TROUWBORST & others, 2007). The generation of the Fe(II) present in BIF minerals (magnetite, siderite, Fe silicates) has also been debated and ascribed to either direct inorganic precipitation of Fe(II) (e.g., BEUKES & others, 1990) or bacterial DIR (WALKER, 1984; JOHNSON & others, 2003; JOHNSON & others, 2008; JOHNSON, BEARD, & RODEN, 2008).

One of the most striking discoveries is the temporal relationship between the episodic deposition of giant BIFs and major mantle plume events evidenced by the emplace-

TABLE 3. Summary of the current thinking of the processes (organic and inorganic) involved in the formation of some well-studied Precambrian banded iron formations (*ank*, akерite; *cal*, calcite; *goe*, goethite; *hem*, hematite; *mgt*, magnetite; *qtz*, quartz; *sid*, siderite; *stp*, stilpnomelane).

BIF	Mineralogy	Metamorphism	Age	Type	Processes	References
Isua and Akilia, Greenland	Fe silicates, mgt, qtz	Amphibolite-granulite facies	~3.8 Ga	Algoma	Inorganic or organic mediated by anaerobic photosynthetic oxidation	Dauphas & others, 2004; Whitehouse & Fedo, 2007; Czaja & others, 2013
Carajás BIF, Brazil	Hem, ±mgt, goe, qtz, kerogen	Lower greenschist facies	~2.75 Ga	Superior	Inorganic, organic oxidation (from biomats)	Klein & Ladeira, 2002; Fabre & others, 2011; Ribeiro da Luz & Crowley, 2012
Marra Mamba BIF, Australia	Mgt, hem, qtz	Lower greenschist facies	~2.6 Ga	Superior	Organic	Brocks & others, 1999; Summons & others, 1999
Kuruman BIF, South Africa	Sid, ank, mgt, hem, qtz, kerogen	Lower greenschist facies	~2.5 Ga	Superior	Bacterial DIR (siderite); inorganic precipitation from Fe(II) waters	Johnson & others, 2003; Beukes & Gutzmer, 2008; Heimann & others, 2010; Johnson & others, 2013
Dales Gorge Member, Brockman BIF, Australia	Sid, mgt, hem, stp, qtz	Lower greenschist facies	~2.5 Ga	Superior	Bacterial DIR (siderite); chemolithotrophic or photoferrothrophic Fe(II) oxidation (Fe oxides); inorganic (Fe-rich silicates)	Konhauser & others, 2002; Johnson & others, 2003; Pecoits & others, 2009; Cradock & Dauphas, 2011; Li & others, 2013; Rasmussen & others, 2013
Hotazel BIF, South Africa	Mgt, hem, Fe silicates, qtz, ank, cal	Lower greenschist facies	~2.3 Ga	Superior	Inorganic? oxidation	Tsikos & others, 2010
Gunflint BIF, North America	Hem, qtz, carbonates	Lower greenschist facies	~1.88 Ga	Superior	Bacterial oxidation	Planavsky & others, 2009
Rapitan BIF, Canada	Hem, qtz	Lower greenschist facies	~716.5 Ma	Rapitan	Inorganic? oxidation	Halverson & others, 2011

ment of LIPs (KLEIN & BEUKES, 1992; ISLEY, 1995; ISLEY & ABBOTT, 1999). Similarly, a close temporal association of BIFs with volcanogenic MSDs was identified decades ago (e.g., VEIZER, 1976; JAMES, 1983; ISLEY & ABBOTT, 1999; HUSTON & LOGAN, 2004; HUSTON & others, 2010). In a study of BIFs, the association between BIFs of all ages and volcanogenic MSDs was attributed to the interplay among mantle plume events that led to the formation of LIPs, enhanced rates

of midocean ridge spreading, high hydrothermal fluxes in the oceans, and changing surface redox states (BEKKER & others, 2010).

The disappearance of large BIFs from the rock record at ~1.8 Ga ago has been attributed to the increase in seawater sulfate concentration as a result of oxic chemical weathering of the continents due to rising atmospheric oxygen contents, the subsequent expansion of bacterial dissimilatory sulfate reduction (DSR), and the formation

of a sulfidic ocean in the Proterozoic, which would have favored iron sulfide precipitation over iron oxidation (CANFIELD, 1998; HABICHT & others, 2002; POULTON, FRALICK, & CANFIELD, 2004). Alternatively, their disappearance has simply been attributed to the complete oxidation of the atmosphere (e.g., HOLLAND, 1984, 2006), but this is also a topic of debate. The hypothesis of a sulfidic ocean transition at ~1.84 Ga implies one of the most significant changes in ocean chemistry throughout Earth's history and is largely based on sulfur isotope compositions and iron speciation data from sedimentary rocks in the Paleoproterozoic Animikie Basin of North America (e.g., POULTON, FRALICK, & CANFIELD, 2004). This transition to a global sulfidic ocean, however, was challenged on the basis of new sulfur isotope data in the context of recent tectonic and sedimentologic models from a correlative section in northern Michigan, USA. These data and models suggest that the Animikie Basin studied to support the hypothesis actually records a basin with restricted water circulation and not open circulation with the global ocean (PUFAHL, HIATT, & KYSER, 2010). However, there is also debate as to whether this basin was a restricted basin or open ocean (FRALICK, POULTON, & CANFIELD, 2011; PUFAHL, HIATT, & KYSER, 2011).

#### **SOURCES OF IRON AND SILICA AND THE ORIGIN OF THE BANDING**

The source of iron and silicon in banded iron formations (BIFs) is considered to have been oceanic hydrothermal vents mixed with seawater plus a continentally derived freshwater input (SIMONSON, 1985; GROSS, 1993; HAMADE & others, 2003; DELVIGNE & others, 2012). Most studies have focused on the origin of the iron. Earlier ideas proposed a continental-weathering source for iron and that BIFs formed in continental environments by precipitation of iron and silicon due to evaporation of water (GARRELS, 1987). In this model, the banding in BIFs represents cyclic episodes similar to those that produce varves (GARRELS, 1987).

Later studies agree on a hydrothermal iron source (e.g., JACOBSEN & PIMENTEL-KLOSE, 1988; BAU & MÖLLER, 1993). More recent studies based on the chemical composition of mesobands of the Dales Gorge Member of the Brockman Iron Formation of Western Australia proposed that metal/Si ratios could help distinguish a continental versus a hydrothermal source for the silica (HAMADE & others, 2003). Iron-rich mesobands have Ge/Si ratios that reflect a hydrothermal source for the silicon. In contrast, chert-rich mesobands and mesobands with varved laminations have ratios that fall within the continental end-member range of compositions, which suggests a continental source and weathering of a landmass as the predominant source for the silica.

Precambrian ocean waters were silicon-saturated (~120 ppm Si) due to the absence of silica-secreting microorganisms (e.g., diatoms, radiolarians) at that time, which allowed the precipitation of large quantities of amorphous silica (SIEVER, 1992). The role of microorganisms in generating the silicon component of BIFs has not received much attention because silicon cannot be metabolized by prokaryotes (archaea and bacteria), the only organisms available during the formation of early BIFs (e.g., KOEHLER, KONHAUSER, & KAPPLER, 2010). However, a biological role for silica precipitation has also been proposed because bacteria are known to promote silicification through their metabolic activity (BIRNBAUM & WIREMAN, 1985). Some scientists have suggested that all the chert in BIFs is of early diagenetic origin and not a primary precipitate or diagenetic replacement of earlier silica precipitated from seawater (KRAPEŽ, BARKEY, & PICKARD, 2003; PICKARD, BARKLEY, & KRAPEŽ, 2004). In this model, chert was more likely a pore-filling cement and a replacement of sediments.

A later model proposed that silica could have been adsorbed onto the surface of hydrous ferric oxides, which precipitated on the bottom of the ocean along with organic matter (FISCHER & KNOLL, 2009). Then, once in the sediment pile, reduction of

Fe(III) by bacterial respiration released most of the iron as  $\text{Fe(II)}_{\text{aq}}$  and liberated silica to the sediment pores, which ultimately precipitated as a diagenetic mineral (FISCHER & KNOLL, 2009). Similarly, based on coupled Ge/Si ratios, REE+Y, and silicon isotope studies, a hypothesis for a two-stage precipitation of silica was proposed by DELVIGNE and others (2012). They envisioned a first stage of silicon adsorption onto Fe oxyhydroxides followed by early diagenetic release of silica to pore fluids from the Fe oxyhydroxides and consequent silica precipitation upon silica saturation at the sediment-water interface. These ideas have important consequences for the interpretation of oxygen and silicon isotope compositions in chert as indicative of a high seawater temperature in the Archean and Proterozoic (KNAUTH & LOWE, 2003; ROBERT & CHAUSSIDON, 2006).

During BIF formation, precipitation of iron probably took place episodically, which caused the development of alternating Fe- and Si-rich bands. The origin of these alternating bands, including their presumed lateral continuity for hundreds of kilometers, has also been the matter of extensive research for more than 50 years (TRENDALL, 1968; GARRELS, 1987; POSTH & others, 2008). Based on recent detailed studies including modeling, bacteria incubations, and petrographic studies, the main hypotheses currently being considered to explain the banding include: 1) seasonal stratification or yearly climatic cycles, which would allow for periodic upwelling or pulses of hydrothermal Fe(II)-rich waters interrupted by seasonal evaporation and precipitation of silica (HOLLAND, 1973; GARRELS, 1987; JACOBSEN & PIMENTEL-KLOSE, 1988; SIEVER, 1992; MORRIS, 1993); 2) temperature fluctuations, which would allow the maximum biogenic Fe(III) precipitation by iron oxidizing microbes (Fe(II)-oxidizing phototrophs) at 20–25 °C and lower Fe oxide precipitation and abiotic silica precipitation at higher or lower temperatures (POSTH & others, 2008); and 3) formation and deposition of silt-size iron-rich silicate microgran-

ules accompanied by alternating seafloor silicification during nondeposition and burial compaction of non-silicified lamina sets (RASMUSSEN & others, 2013). Based on the first two hypotheses, it seems that temperature could have been an important factor controlling BIF formation in the Archean and Proterozoic oceans. However, because temperature estimates for the Archean and Proterozoic are still a matter of considerable debate, this requires further studies.

#### PALEOOCEANIC REDOX STRUCTURE AND THE FORMATION OF BIFS

Secular variations of cerium (Ce) and europium (Eu) anomalies in banded iron formations (BIFs) have been used to understand the redox state and hydrothermal versus riverine input to the Precambrian oceans and the bio-geochemical evolution of Earth (KLEIN, 2005; KATO, YAMAGUCHI, & OHMOTO, 2006; BEKKER & others, 2010; PLANAVSKY & others, 2010). In general, the concentration of REEs and the size of the positive Eu anomaly in BIFs seem to decrease with decreasing BIF age (KLEIN, 2005; KATO, YAMAGUCHI, & OHMOTO, 2006; PLANAVSKY & others, 2010). Pre-2.7 Ga, Algoma-type BIFs (Isua BIF) have very strong positive Eu anomalies. Middle Archean BIFs (Cleaver-ville, Australia, and Sargur, India BIFs) have distinct positive Eu anomalies but they are smaller than those in the early Archean BIFs (RAO & NAQVI, 1995; HUSTON & LOGAN, 2004; KATO, YAMAGUCHI, & OHMOTO, 2006). Late Paleoproterozoic BIFs have smaller Eu anomalies. Neoproterozoic BIFs have REE patterns with no or slightly positive Eu anomalies (FRYER, 1976; KLEIN & BEUKES, 1993; KLEIN & LADEIRA, 2004). This trend in Eu anomalies suggests a declining hydrothermal input into the deep ocean from the Eoarchean to the Early Proterozoic, likely linked to falling temperatures of the hydrothermal solutions as a result of lowering upper-mantle temperatures (BAU & MÖLLER, 1993). In addition, no or slightly positive Eu anomalies in Neoproterozoic BIFs indicate

the dilution of local hydrothermal fluids by mixing with mildly oxidized seawater in semi-isolated basins (e.g., MAYNARD, 2003).

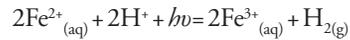
New studies show that bulk Archean and early Paleoproterozoic BIFs lack significant shale-normalized negative Ce anomalies, and that strong positive Ce anomalies are only present in BIFs younger than 1.9 Ga (PLANAVSKY & others, 2010). Some earlier studies of smaller samples have suggested that Ce anomalies were also present in Archean and early Paleoproterozoic BIFs (e.g., KATO, YAMAGUCHI, & OHMOTO, 2006; OHMOTO & others, 2006). However, bulk-rock studies reflect the overall chemistry of the water mass and the latest findings have been used to propose that late Paleoproterozoic BIFs record the shuttle of metal and Ce oxides from oxic shallow seawater to deeper anoxic waters, similar to the process taking place in modern redox-stratified basins (e.g., PLANAVSKY & others, 2010). In this scenario, as the Ce-bearing oxides (mainly Mn) are transported to the deeper part of the water column, they dissolve under anoxic conditions and release Ce to the water, which is later incorporated in Fe oxides that precipitate at the redoxcline or in the shallow oxygenated water, thus resulting in a positive Ce anomaly (PLANAVSKY & others, 2010; BEKKER & others, 2010). In contrast, Archean BIFs do not show the effects of an oxide shuttle, implying the absence of a redoxcline before the rise of atmospheric oxygen (PLANAVSKY & others, 2010; BEKKER & others, 2010). This model supports the idea that Archean BIFs formed by metabolic oxidation of iron and not by oxidation of iron by free oxygen in shallow ocean environments (PLANAVSKY & others, 2010; CZAJA & others, 2013).

#### INORGANIC HYPOTHESES FOR BIF FORMATION

##### UV Photo Oxidation of Fe(II) by Radiation of a Young Sun

An inorganic mechanism to explain Fe(II) oxidation in the Archean is photo oxidation by UV radiation (CAIRNS-SMITH,

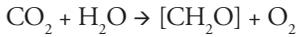
1978; BRATERMAN, CAIRNS-SMITH, & SLOPER, 1983). This process could have been possible due to the high levels of ultraviolet radiation that reached Earth prior to the formation of the protective ozone layer (CAIRNS-SMITH, 1978). UV photolysis would not have required free oxygen to oxidize dissolved ferrous Fe but instead requires absorption of radiation (wavelengths in the ~200–400 nm range) to form dissolved ferric iron:



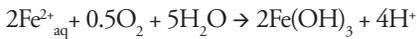
Dissolved ferric iron is subsequently hydrolyzed to form solid ferric hydroxide at circumneutral pH (CAIRNS-SMITH, 1978; BRATERMAN, CAIRNS-SMITH, & SLOPER, 1983). This mechanism has been demonstrated in laboratory experiments (BRATERMAN, CAIRNS-SMITH, & SLOPER, 1983), although only for simple aqueous solutions in which other ions were not available for reactions with original dissolved ferrous iron. Experiments with silica- and calcite-saturated solutions that mimic deep water conditions suggested that the process of photo oxidation would have been slower than and inhibited by the formation of ferrous silicate minerals (such as greenalite) and carbonates (siderite) in the silica-saturated Precambrian ocean waters from which BIF minerals precipitated (KONHAUSER & others, 2007). In addition, the calculated precipitation rates of ferric iron oxides through photo-oxidation obtained from the earlier experiments yield an annual amount of Fe(II) oxidized from  $2.3 \times 10^{13}$  to  $1.8 \times 10^{14}$  mol/yr (BRATERMAN & CAIRNS-SMITH, 1986; FRANÇOIS, 1986). These precipitation rates, however, are faster than sedimentation rates calculated for the Kuruman and Brockman BIFs (compacted sedimentation rates = 22–33 m/myr) (PICKARD, 2002, 2003). The consensus seems to be that UV photo oxidation was not likely a dominant process in the formation of ferric oxide minerals in BIFs older than 2.5 Ga (e.g., KOEHLER, KONHAUSER, & KAPPLER, 2010). However, more detailed experiments would help understand the role of this process in the generation of BIFs prior to the rise of atmospheric oxygen.

### Abiotic Fe(II) Oxidation by O<sub>2</sub> Produced by Cyanobacteria

A traditional view of Fe(II) oxidation considers inorganic oxidation of dissolved Fe(II) with oxygen produced by photosynthetic cyanobacteria (CLOUD, 1965). Prokaryotic microbes, such as oxygenic photosynthesizing cyanobacteria, were likely abundant in the nutrient- and Fe(II)-rich photic zones of nearshore Archean oceans, where Fe(II) and nutrients originated by a combination of continental weathering and upwelling of deep hydrothermal waters (CLOUD, 1973). This model envisions an anoxic atmosphere where Fe could have been oxidized by a reaction with O<sub>2</sub> in so-called oxygen oases via oxygenic photosynthesis:



followed by:



Other studies considered a stratified ocean with a thin upper oxic zone and a lower anoxic ferruginous layer (e.g., JAMES, 1954; KLEIN & BEUKES, 1989). In this model, earlier views considered that Fe<sup>2+</sup> was provided by continental weathering under an anoxic atmosphere and transported to chemically stratified oceans by rivers (JAMES, 1954), whereas in most modern hypotheses, the Fe<sup>2+</sup> is derived from hydrothermal alteration of oceanic crust in the deep ocean (e.g., ISLEY, 1995). Both of these models require the existence of oxygenic photosynthesizers, and several studies have suggested their existence by the Neoproterozoic (see also *Clues from Molecular Biomarkers*, p. 118). A new model of BIF genesis was recently proposed to explain the formation of BIFs in the ~1.8 Chiall Formation, North America, by inorganic precipitation of Fe oxyhydroxides in riverine systems from Fe derived from terrestrial weathering and coastal upwelling (PUFAHL, PIRAJNO, & HIATT, 2013).

Low δ<sup>13</sup>C values (-57 to -28‰) in preserved organic carbon in ~2.7 to 2.57 Ga shales and carbonates from the Hamersley Province in Western Australia were interpreted as evidence of oxygenated microbial

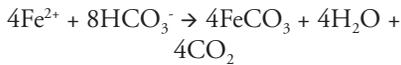
ecosystems comprised of cyanobacteria and aerobic methanotrophs (EIGENBRODE & FREEMAN, 2006; EIGENBRODE, FREEMAN, & SUMMONS, 2008). Additionally, 2.7 Ga stromatolites from the Tumbiana Formation in the same stratigraphic sequence were considered to be evidence of a microbial mat community of cyanobacteria (BUICK, 1992). However, this does not explain the formation of earlier BIFs. Further, no single type of bacteria can be assigned unequivocally to the construction of the mats and stromatolites.

*In situ* observations and quantitative geochemical modeling of oxidation of Fe(II) by cyanobacterial oxygenic photosynthesis in high-Fe(II) anoxic waters buffered by bicarbonate and silica at Chocolate Pots hot springs, Yellowstone National Park, USA, also supported the CLOUD (1965, 1973) hypothesis (PARENTEAU & CADY, 2010). In the PARENTEAU and CADY study, the contributions to *in situ* Fe(II) oxidation by oxygenic photosynthesis (by cyanobacteria), anoxygenic photosynthesis (by *Chloroflexus* PIERSON & CASTENHOLZ, 1974, purple bacteria, plus any other bacteriochlorophyll-containing phototrophs), and chemolithotrophy (by e.g., *Gallionella* EHRENBERG, 1838) were assessed, and the results suggest that oxygenic photosynthesis was the sole mechanism of Fe(II) oxidation in the anoxic vent waters. Light intensity was the primary variable affecting the rate of oxygen production and subsequent Fe(II) oxidation in the benthic cyanobacterial mats that are surrounded by anoxic water (PARENTEAU & CADY, 2010). However, a large body of evidence suggests that molecular oxygen was very scarce before ~2.4 Ga (FARQUHAR & JOHNSTON, 2008) and this would have made abiotic Fe(II) oxidation extremely slow (KONHAUSER, NEWMAN, & KAPPLER, 2005). Biological oxidation of Fe(II) at low oxygen partial pressure is much faster (SØGAARD, MEDENWALDT, & ABRAHAM-PESKIR, 2000), and Fe(II) chemoautotrophic metabolic oxidation is known to occur in modern microaerophilic environments (e.g., CROWE

& others, 2008a, 2008b). However, this mechanism also requires the supply of O<sub>2</sub> (see *Bacterial Metabolic Iron Oxidation*, below, for elaboration).

#### Direct Precipitation from Seawater

It is possible that some siderite (e.g., spheroidal siderite) formed directly by precipitation from anoxic water by mixing of ferrous iron and bicarbonate originating from a combination of hydrothermal fluids and microbial respiration of sedimented organic carbon (BEUKES & others, 1990; TICE & LOWE, 2004; KLEIN, 2005) via:



By this mechanism, siderite precipitates along the chemocline where there is supply of some organic carbon. Magnetite and hematite can precipitate in deeper areas where the organic supply is low and some oxygen is available (BEUKES & others, 1990). However, it is difficult to envision enough oxygen to form magnetite and hematite in the deeper parts (below the redoxcline) of the Archean–Paleoproterozoic oceans where BIFs precipitated.

A later petrographic study of the Dales Gorge Member of the Brockman Iron Formation found silt-sized microgranules comprised of stilpnomelane and proposed the inorganic origin of 2.5 Ga BIFs as Fe-rich, Al-poor silicates that formed in the water column or ocean floor (RASMUSSEN & others, 2013).

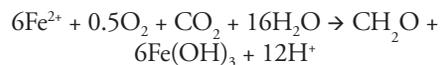
#### BIOLOGICAL HYPOTHESES FOR BIF FORMATION

The hypothesis that biological processes could have an important role in the deposition of iron-rich sediments was first proposed by EHRENBERG (1836). WINOGRADSKY (1888) later showed that a bacterium (*Leptothrix* KÜTZING, 1843) was able to live and grow only in the presence of ferrous iron in solution. CLOUD (1965, 1973), while studying the microfossils of the Paleoproterozoic banded iron formations of the Lake Superior area, suggested that cyanobacteria could have

participated in the oxidation and precipitation of Fe. Others proposed that BIF formation was related to carbon-cycling processes in which oxidation of Fe(II) driven by photosynthesis (oxygenic or anoxygenic) led to the contemporaneous deposition of Fe(III) oxides and organic matter. In this model, the formation of BIFs was ultimately the result of coupled organic carbon oxidation and iron reduction by anaerobic bacteria, such as iron-reducing bacteria (WALKER, 1984; KONHAUSER, NEWMAN, & KAPPLER, 2005; KAPPLER & others, 2005). Based on new observations of microbes and biofilms living in extreme conditions, such as near hydrothermal vents or deep in boreholes, the realization has occurred that prokaryotes probably also thrived in similar hostile environments in shallow Archean ocean waters and that they also likely utilized iron.

#### Mechanisms of BIF formation: Fe(II)-oxidizing and Fe(III)-reducing bacteria

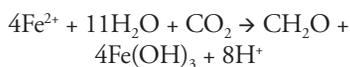
*Bacterial metabolic iron oxidation.* Bacterial microaerophilic (chemolithotrophy) Fe(II) oxidation was likely an important process for the generation of banded iron formations (e.g., HOLM, 1989; KONHAUSER & others, 2002). Iron-metabolic (chemolithotrophy) proteobacteria, such as *Leptothrix* and *Gallionella* are common in iron-rich freshwater streams and groundwater seeps (e.g., HARDER, 1919). In addition, microaerophilic Fe(II) oxidizers are widespread in marine environments, including iron-rich hydrothermal vents (EMERSON & MOYER, 2002) and at the chemocline of ferruginous lakes, such as Pavin Lake (France), where Fe-rich sediments are being deposited (e.g., LEHOURS & others, 2007). These bacteria use oxygen, carbon dioxide, and water to form ferric iron hydroxides, possibly by reactions such as:



This microbial Fe(II) oxidation reaction by microaerophilic bacteria could have dominated the redox Fe cycle in the low-oxygen conditions of the Precambrian oceans because its rate can be 60 times

faster than abiotic oxidation reactions (e.g., SØGAARD, MEDENWALDT, & ABRAHAM-PESKIR, 2000). The limitation, however, is that sulfur isotope studies have demonstrated that the oxygen levels of the atmosphere ( $<10^{-5}$  present levels) and the surface ocean water layer ( $<0.003 \mu\text{mol/liter}$  at  $25^\circ\text{C}$ ) in the Archean were too low to sustain abiotic oxidation (FARQUHAR, BAO, & THIEMENS, 2000; PAVLOV & KASTING, 2002). These low  $\text{O}_2$  levels could also have restricted the availability of  $\text{O}_2$  for biologic oxidation (FARQUHAR, BAO, THIEMENS, 2000; PAVLOV & KASTING, 2002).

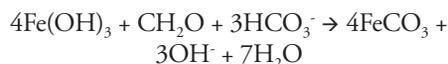
Another metabolic Fe(II) oxidation mechanism that has been proposed to explain the origin of Fe(III) deposition in BIFs is anoxygenic photosynthetic oxidation, or photoferrotrophy, in which Fe(II) is used instead of  $\text{H}_2\text{O}$  as an electron donor to produce Fe(III) and biomass (GARRELS & PERRY, 1974; EHRENREICH & WIDDEL, 1994; KAPPLER & others, 2005) via:



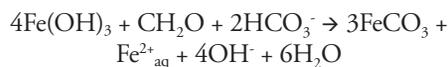
The presence of enormous amounts of Fe(II) in Archean seawater suggests that these bacteria could have existed and oxidized ferrous iron to ferric iron within the photic zone of the oceans through photosynthesis involving  $\text{CO}_2$  fixation fueled by light energy (KONHAUSER & others, 2002). Until recently, all Fe(II)-oxidizing anoxygenic phototrophs had been cultured in the laboratory from iron-rich springs, ditches, and other shallow, ephemeral environments (WIDDEL & others, 1993; EHRENREICH & WIDDEL, 1994; HEISING & SCHINK, 1998; HEISING & others, 1999; STRAUB, RAINEY, & WIDDEL, 1999). In particular, laboratory cultures of green *Chlorobium ferrooxidans* (HEISING & others, 1999) (a green sulfur bacterium) and purple bacteria ( $\alpha$  and  $\gamma$  *Proteobacteria*) have shown that they can phototrophically oxidize dissolved Fe(II) for carbon dioxide fixation by using Fe(II) as a reductant (WIDDEL & others, 1993; HEISING & others, 1999; STRAUB, RAINEY, & WIDDEL, 1999). Later, phototrophic

Fe(II)-oxidizing bacteria were found in the photic zone of the water column in two Fe(II)-rich lakes (Lake Matano, Indonesia, and Lake La Cruz, Spain) (CROWE & others, 2008a, 2008b; WALTER & others, 2009). Finally, although physical and chemical evidence for the existence of phototrophic Fe(II)-oxidizing bacteria in the Archean is yet to be found, phylogenetic studies of the enzymes that are involved in the biosynthesis of bacteriochlorophyll showed that anoxygenic photosynthetic lineages are more deeply rooted than oxygenic cyanobacterial lineages (XIONG, 2006; POSTH, KONHAUSER, & KAPPLER, 2011). The main takeaway from these studies is that the anoxygenic photoferrotrophy mechanism of Fe(II) oxidation could have been dominant in the Precambrian oceans when molecular oxygen was absent and could have aided in the formation of BIFs.

*Bacterial dissimilatory iron reduction (DIR).* Based on evidence from natural observations, a role for DIR in the formation of banded iron formations, such as the Brockman and Kuruman BIFs, has been proposed by several researchers (WALKER, 1984; NEALSON & MYERS, 1990; LOVLEY, 1991; COLEMAN & others, 1993; BEARD & others, 1999; JOHNSON & others, 2003; JOHNSON & others 2008; JOHNSON, BEARD, & RODEN, 2008; KONHAUSER & others, 2002; KONHAUSER, NEWMAN, & KAPPLER, 2005). It is known that magnetite and siderite, two abundant Fe minerals present in Archean and Proterozoic BIFs, are common products of DIR (LOVLEY & others 1987). Under complete reduction of iron oxides, the reaction to form siderite proceeds via:

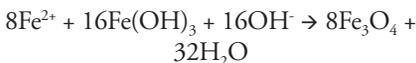


This reaction requires two sources of carbon, organic carbon and seawater carbon, to form siderite. If bicarbonate is not present in excess, Fe reduction is incomplete and  $\text{Fe}^{2+}_{\text{aq}}$  is also formed as a product via:

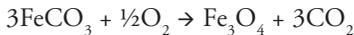


Similar reactions can be written for different organic carbon vs. inorganic carbon ratios. Mass balance considerations using the values of  $\delta^{13}\text{C}$  for organic matter and carbonate carbon in the ~2.5 Ga Kuruman BIF, estimated Archean–early Paleoproterozoic seawater  $\delta^{13}\text{C}$  and  $\delta^{56}\text{Fe}$  values, and the stoichiometric coefficients of these equations show that the predicted C (~-8‰) and Fe (+1‰ to -1‰) isotope compositions for siderite actually match those measured in siderite BIFs (HEIMANN & others, 2010) (see *Clues from Iron Isotope Investigations*, p. 114–116, for elaboration). By this mechanism, DIR produces aqueous Fe(II), which was likely present in relatively high concentrations in the Fe(III)-reducing precursor sediments to BIFs (e.g., JOHNSON & others 2008; JOHNSON, BEARD, & RODEN, 2008). High concentrations of Fe(III) present in the sedimentary pile along with organic matter would have suppressed DSR and allowed DIR to dominate and generate the Fe(II) present in BIFs (WALKER, 1984).

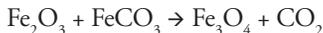
Magnetite present in BIFs could have also formed by the reaction of  $\text{Fe}^{2+}_{\text{aq}}$  generated by DIR with original ferric oxyhydroxides in an anaerobic setting (LOVLEY & others, 1987; LOVLEY, 1991; BROWN, GROSS, & SAWICKI, 1995; JOHNSON & others, 2003, JOHNSON & others 2008; JOHNSON, BEARD, & RODEN, 2008) via:



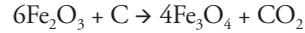
In addition, magnetite could have originated during diagenesis (or metamorphism) through oxidation of Fe(II) in siderite by  $\text{O}_2$  via:



or by reaction of siderite with hematite via:



The very low organic carbon content of most BIFs, in particular oxide facies, has been used in support of an inorganic origin for BIFs (e.g., KLEIN, 2005), or to explain the metamorphic origin of magnetite or siderite by a reaction between organic carbon and iron oxides (e.g., PERRY, TAN, & MOREY, 1973; TRENDALL, 2002) such as:



Other mechanisms proposed to explain the loss of organic carbon from the sediment pile include transformation by hydrolysis and fermentation and utilization of some of the organic matter by methanogens (HAYES, 1983; KONHAUSER, NEWMAN, & KAPPLER, 2005).

Bacterial DIR provides an alternative and consistent explanation for the formation of the large amount of Fe(II) present in BIFs, the formation of mixed-valence minerals, such as magnetite, as well as the negative  $\delta^{13}\text{C}$  values measured in BIF carbonates (JOHNSON & others, 2003, 2008; HEIMANN & others, 2010; CRADOCK & DAUPHAS, 2011; LI & others, 2013). This mechanism also explains the low amount of organic carbon present in BIFs if organic carbon was the limiting factor in the reactions (WALKER, 1984; HEIMANN & others, 2010). Moreover, the finding of a variety of deeply branching, presumably very ancient, hyperthermophilic bacteria and archaea that can reduce Fe(III) to Fe(II) reinforces the idea that DIR is a deeply rooted metabolism (VARGAS & others, 1998; LOVLEY, 2004) that was likely active and played a role during the formation of Archean and Paleoproterozoic BIFs.

### Clues from Biological Experiments and Cell Calculations

Two studies investigated the size of the bacterial communities and oxidation rates necessary to oxidize Fe(II) to Fe(III) in ancient marine settings and form the vast amounts of Fe oxides in Precambrian BIFs (KONHAUSER & others, 2002; KAPPLER & others, 2005). These studies showed that these settings would have had enough nutrients and light to sustain a community large enough to generate the necessary iron. It was also shown, by ecophysiological experiments and quantitatively by modeling, that direct chemolithotrophic or photoferrotrophic Fe(II) oxidation by phototrophic bacteria would have been capable of generating most, if not all, of the original ferric iron hosted in BIFs (KONHAUSER & others, 2002).

KONHAUSER and others (2002) calculated the number of metabolizing cells required to form an annual BIF deposit (layer) based on: 1) the Fe content of iron-rich mesobands in the 2.5 Ga Dales Gorge Member of the Brockman Iron Formation; 2) the density of the layers; 3) estimated maximum annual Fe depositional rates for the Hamersley Basin of ~1 m/700 yr (MORRIS, 1993), or 1 mm of hematite per year; 4) the area of the basin; and 5) cell production from iron oxidation by *Gallionella* and *Chromatium* PERTY, 1852. KONHAUSER and others (2002) showed that bacterial oxidation could account for most, if not all, of the ferric iron present in BIFs. KAPPLER and others (2005) also demonstrated experimentally using radiation at wavelengths that penetrate to 100 meters depth in the water column at only 1% surface radiance, that photoferrotrophs could have oxidized Fe(II) down to a few hundred meters of water depth and generate enough Fe(III) to account for all the ferric iron in BIFs. This means that photoferrotrophs could have potentially oxidized all the Fe(II) during upwelling before they reached shallow levels and possibly shallow oxygenated waters (KAPPLER & others, 2005). These studies also calculated the amount of reduced Fe necessary to produce during diagenesis all the magnetite present in BIFs. Finally, they proposed that a complex bacterial community likely existed on the Archean seafloor, including Fe(III) reducers and possibly methanotrophs that could link Fe(III) reduction to methane oxidation (KONHAUSER, NEWMAN, & KAPPLER, 2005).

#### Clues from Carbon Isotope Studies

Numerous studies have investigated the carbon isotope composition of BIFs (expressed as  $\delta^{13}\text{C}$ , in per mil, ‰, relative to Pee Dee Belemnite (PDB)—a standard for carbon) as a way of understanding their genesis. In particular, abundant data exist for the carbonates and organic matter from the low metamorphic grade ~2.5 Ga Kuruman BIF (KLEIN & BEUKES, 1989; BEUKES & KLEIN, 1990; KAUFMAN, HAYES, & KLEIN,

1990; JOHNSON & others, 2003; HEIMANN & others, 2010), the ~2.5 Ga Brockman BIF (BECKER & CLAYTON, 1972; BAUR & others, 1985; CRADDOCK & DAUPHAS, 2011), and the ~1.88 Ga Gunflint and Biwabik BIFs (Fig. 48.1) (PERTY, 1852; PERRY, TAN, & MOREY, 1973; WINTER & KNAUTH, 1992). Iron formation carbonates have very negative carbon isotope compositions as low as -12‰, and organic carbon isotope values are extremely negative with values as low as -40‰ (BECKER & CLAYTON, 1972; WALKER, 1984; BAUR & others, 1985; KAUFMAN, HAYES, & KLEIN, 1990; JOHNSON & others, 2003; 2008; BEUKES & GUTZMER, 2008; FISCHER & others, 2009; HEIMANN & others, 2010; CRADDOCK & DAUPHAS, 2011). In contrast, most Ca-Mg-rich carbonates have near-zero  $\delta^{13}\text{C}$  values (BEUKES & others, 1990; SHIELDS & VEIZER, 2002; FISCHER & others, 2009; HEIMANN & others, 2010; CRADDOCK & DAUPHAS, 2011).

The negative carbonate C isotope values in BIF carbonates have been interpreted in various ways as a result of: 1) direct precipitation of siderite from an iron-rich water column that was stratified with respect to the carbon isotope composition of inorganic carbon (e.g., BEUKES & KLEIN, 1990); 2) a fermentative mechanism and anaerobic respiration in the water column (PERRY, TAN, & MOREY, 1973; WALKER, 1984; FISCHER & others, 2009; JOHNSON & others, 2003; HEIMANN & others, 2010); 3) a hydrothermal flux dominated by mantle-derived carbon (e.g., BEUKES & KLEIN, 1990); and 4) methane oxidation linked to ferric iron reduction (KONHAUSER, NEWMAN, & KAPPLER, 2005). Inorganic mechanisms, such as Fischer-Tropsch processes, can also produce large carbon isotope fractionations (between -50 and -100‰), which make it difficult to be certain that the negative  $\delta^{13}\text{C}$  values measured in BIF carbonates reflect biologic processes. Most researchers, however, interpret the negative  $\delta^{13}\text{C}$  values of carbonates as reflecting diagenetic siderite precipitation by microbial oxidation of organic matter derived from photosynthesis coupled to reduction of ferric

oxides via DIR (WALKER, 1984; JOHNSON & others, 2003; HEIMANN & others, 2010; CRADDOCK & DAUPHAS, 2011).

### Clues from Iron Isotope Investigations

Investigations of the iron isotope composition (expressed as  $\delta^{56}\text{Fe}$  in units of per mil, ‰, relative to igneous rocks) of ancient marine sedimentary rocks have been undertaken in the last 20 years to understand the formation of BIFs and the biogeochemical cycling of iron in the early oceans (BEARD & others, 1999, 2003; JOHNSON & others, 2003; JOHNSON & others, 2008; JOHNSON, BEARD, & RODEN, 2008; DAUPHAS & others, 2004, 2007; ROUXEL, BEKKER, & EDWARDS, 2005; WHITEHOUSE & FEDO, 2007; PLANAVSKY & others, 2009, 2012; HEIMANN & others, 2010; TSIKOS & others, 2010; STEINHOEFEL, HORN, & VON BLANCKENBURG, 2009; CRADDOCK & DAUPHAS, 2011; FABRE & others, 2011; HALVERSON & others, 2011; CZAJA & others, 2013; LI & others, 2013). This is possible because iron isotopes fractionate during redox changes when iron species are separated, and iron cycling was extensive in the Archean–Proterozoic Earth. In modern marine environments, DSR is the dominant pathway for the oxidation of sediment organic carbon (THAMDRUP, 2000). The sulfide produced by this process reacts with sediment or hydrothermal iron with near-zero  $\delta^{56}\text{Fe}$  values to form iron sulfides that have near zero or slightly positive  $\delta^{56}\text{Fe}$  values (e.g., SEVERMANN & others, 2006). In contrast, in the Archean and early Proterozoic oceans, high rates of reactive iron flux and low sulfate and sulfide concentrations, as evidenced by the compositions of BIFs (KLEIN, 2005), would have favored bacterial DIR over bacterial DSR (e.g., JOHNSON & others, 2008; JOHNSON, BEARD, & RODEN, 2008). This, in turn, would have favored extensive bacterial redox iron cycling and phase separation that resulted in iron isotope fractionation.

Iron isotope studies of millimeter scale samples reveal processes that took place in the sediment pile during the formation of BIFs

prior to lithification (JOHNSON & others, 2003; HEIMANN & others, 2010). Bulk-rock analyses (e.g., PLANAVSKY & others, 2009, 2012), however, give an average of different processes that possibly operated in various places and at different scales, and provide an estimate of the bulk or average iron isotope composition of BIFs. The record through time of iron isotope compositions of marine sedimentary rocks, including pyrite in shales, bulk BIFs, and BIF minerals, shows a large, slightly positive to highly negative ( $\sim -3\%$ ) excursion at  $\sim 2.7\text{--}2.5$  Ga (ROUXEL, BEKKER, & EDWARDS, 2005; JOHNSON & others, 2008; JOHNSON, BEARD, & RODEN, 2008; PLANAVSKY & others, 2012; LI & others, 2013). In contrast,  $\delta^{56}\text{Fe}$  values are mostly near zero to positive in the Eoarchean 3.8 Ga BIFs from Isua in Greenland, the Nuvvuagittuq greenstone belt in northern Quebec, Canada (DAUPHAS & others, 2004, 2007; WHITEHOUSE & FEDO, 2007; JOHNSON & others, 2008; JOHNSON, BEARD, & RODEN, 2008; CZAJA & others, 2013), and the  $\sim 1.88$  Ga late Paleoproterozoic Gunflint and Biwabik BIFs from the Animikie basin of North America (PLANAVSKY & others, 2009). The majority of younger rocks have near-zero  $\delta^{56}\text{Fe}$  values. These variations in iron isotope compositions have been interpreted as reflecting inorganic processes and direct precipitation of iron-rich minerals from seawater or the dominance of bacterial DIR in the Precambrian oceans and their role during BIF formation. Specifically, the iron isotope variations in Precambrian marine sedimentary rocks have been interpreted by some authors to reflect inorganic oxidation of Fe(II) and precipitation of iron oxides and to record changes in the  $\delta^{56}\text{Fe}$  values of ancient seawater (ROUXEL, BEKKER, & EDWARDS, 2005) and not the interplay of biologic and geologic processes in the sedimentary pile prior to lithification (e.g., YAMAGUCHI & others, 2005). The negative  $\delta^{56}\text{Fe}$  values of minerals (siderite, magnetite, and pyrite) could result from partial abiotic oxidation of near-zero  $\delta^{56}\text{Fe}$  iron in the water column (ROUXEL, BEKKER,

& EDWARDS, 2005) or partial Fe(II) utilization during abiotic pyrite precipitation (GUILBAUD, BUTLER, & ELLAM, 2011), which would leave behind low- $\delta^{56}\text{Fe}$   $\text{Fe}^{2+}$  to form these minerals. A counter argument to the abiotic partial oxidation hypothesis is that the wide range in  $\delta^{56}\text{Fe}$  values of marine precipitates at small scales cannot directly record the iron isotope composition of seawater due to the large size of the iron pool and its expected long residence time in Archean and early Paleoproterozoic seawater (JOHNSON & others, 2008). Finally, although abiotic pyrite formation may explain some iron isotope variations, the idea has been questioned for most low- $\delta^{56}\text{Fe}$  samples on the grounds of detailed studies of the depositional setting, mineralogy, and geologic history of Precambrian sedimentary rocks (CZAJA & others, 2012).

The positive  $\delta^{56}\text{Fe}$  values of Eoarchean BIFs from Greenland have been interpreted to reflect incomplete oxidation of near-zero  $\delta^{56}\text{Fe}$  hydrothermal Fe(II), possibly via anaerobic photosynthetic oxidation by bacteria (DAUPHAS & others, 2004; JOHNSON, BEARD, & RODEN, 2008; CZAJA & others, 2013), although the Fe isotope fractionations alone could not be taken as a biosignature (BULLEN & others, 2001; DAUPHAS & others, 2004). Similarly, the positive  $\delta^{56}\text{Fe}$  values in the late Paleoproterozoic Gunflint and Biwabik BIFs seem to reflect the cycling of Fe by iron-oxidizing microbial ecosystems in redox-stratified oceans (PLANAVSKY & others, 2009). The excursion in Fe isotope compositions toward negative values at  $\sim 2.7$ – $2.5$  Ga, as measured in the giant  $\sim 2.5$  Ga Kuruman and Brockman BIFs, has been interpreted to represent the expansion of DIR bacteria in the Precambrian oceans starting as early as 2.9 Ga (JOHNSON & others, 2003; JOHNSON & others, 2008; JOHNSON, BEARD, & RODEN, 2008), which points to the antiquity of this anaerobic respiratory pathway. The decrease in iron isotope variations in BIFs after the GOE at  $\sim 2.4$  Ga (ROUXEL, BEKKER, & EDWARDS, 2005) has been interpreted as indicating a change from the peak of

DIR activity at 2.7–2.5 Ga to an increase in seawater sulfate and the expansion of DSR bacteria in the oceans with the consequent removal of Fe(II) by pyrite after that (JOHNSON & others, 2008; JOHNSON, BEARD, & RODEN, 2008). This interpretation is also consistent with the change in sulfur isotope composition of sulfides in marine sedimentary rocks toward negative values and disappearance of strong sulfur mass-independent fractionation effects at  $\sim 2.4$  Ga, which are evident at  $> \sim 2.5$  Ga (e.g., CANFIELD, 2001; ONO & others, 2003; FARQUHAR & WING, 2003; 2005; JOHNSON, BEARD, & RODEN, 2008). Furthermore, this change in isotope compositions also coincides with a shift from extremely negative carbon isotope compositions of kerogens ( $\delta^{13}\text{C}$  down to  $-60\text{‰}$ ) toward less negative values, which all together suggest some major changes in geobiological processes and isotope pathways at this time (JOHNSON, BEARD, & RODEN, 2008).

Experimental work on iron isotope fractionation during iron oxidation and reduction with and without bacteria and observations of natural environments provide the needed basis for the interpretation of the large iron isotope excursion toward negative  $\delta^{56}\text{Fe}$  values at 2.7–2.5 Ga. Based on laboratory experiments and evidence from natural environments, the majority of highly negative  $\delta^{56}\text{Fe}$   $\text{Fe}^{2+}_{\text{aq}}$  is derived from biogenic reduction of Fe(III) by DIR (BEARD & others, 1999, 2003; CROSBY & others, 2005, 2007; CROAL & others, 2004; JOHNSON & others, 2005; TANGALOS & others, 2010; WU & others, 2012). Experiments show that the iron isotope fractionation factor between  $\text{Fe}^{2+}_{\text{aq}}$  in a simulated Archean seawater analog and Fe(III) in iron-silica co-precipitates (analogous to the ones assumed to have formed BIFs) is up to  $-4\text{‰}$  (WU & others, 2012). These experiments indicate that the highly negative  $\delta^{56}\text{Fe}$  values ( $\sim -2.0\text{‰}$ ) measured in BIF minerals (magnetite, siderite) and pyrite in black shales could have resulted from a multi-stage process involving the generation of low- $\delta^{56}\text{Fe}$   $\text{Fe}^{2+}_{\text{aq}}$  by bacterial DIR

[Reaction:  $4\text{Fe}(\text{OH})_3 + \text{CH}_2\text{O} + 2\text{HCO}_3^- \rightarrow 3\text{FeCO}_3 + \text{Fe}^{2+}_{\text{aq}} + 4\text{OH}^- + 6\text{H}_2\text{O}$  (see p. 111)] and its mobilization (e.g., JOHNSON & others, 2003; JOHNSON & others, 2008; JOHNSON, BEARD, & RODEN, 2008; HEIMANN & others, 2010). In a second stage, the  $\text{Fe}(\text{II})_{\text{aq}}$  produced by DIR would have reacted with bicarbonate to form siderite, or could have been mobilized in the sediment pile and reacted with near-zero  $\delta^{56}\text{Fe}$  ferric oxides to form magnetite [Reaction:  $8\text{Fe}^{2+} + 16\text{Fe}(\text{OH})_3 + 16\text{OH}^- \rightarrow 8\text{Fe}_3\text{O}_4 + 32\text{H}_2\text{O}$  (see p. 112)] or reacted with sulfur to form pyrite. These minerals would have retained the negative  $\delta^{56}\text{Fe}$  value of the  $\text{Fe}(\text{II})_{\text{aq}}$  generated by DIR (JOHNSON & others, 2003; JOHNSON & others, 2008; JOHNSON, BEARD, & RODEN, 2008; HEIMANN & others, 2010). In this view, the original  $\text{Fe}(\text{III})$  oxyhydroxides had near-zero  $\delta^{56}\text{Fe}$  values that resulted from complete or near-complete oxidation, either biologic or abiologic, of hydrothermal  $\text{Fe}(\text{II})$  with  $\delta^{56}\text{Fe}$  values similar to modern-day hydrothermal  $\text{Fe}(\text{II})$  at  $\sim 0\text{‰}$  (BEARD & others, 2003; JOHNSON, BEARD, & RODEN, 2008).

Near-complete reduction of  $\sim 0\text{‰}$   $\delta^{56}\text{Fe}$   $\text{Fe}(\text{III})$  oxides by DIR would result in  $\text{Fe}(\text{II})$  with negative  $\delta^{56}\text{Fe}$  values, as noted above, and would leave behind ferric oxides enriched in the heavy iron isotopes. A study of coupled iron, carbon, and oxygen isotope compositions of millimeter scale samples of carbonates from the  $\sim 2.5$  Ga Kuruman BIF found that in laminations where the carbonates (siderite) did not have negative but positive  $\delta^{56}\text{Fe}$  values, they had micrometric inclusions of hematite, which were interpreted as remains of the original iron oxides (HEIMANN & others, 2010). All carbonates had negative  $\delta^{13}\text{C}$  values ( $> -8\text{‰}$ ) indicative of incorporation of oxidized organic matter. The iron and carbon isotope values of these carbonates do not reflect precipitation in equilibrium with ancient seawater but are exactly what is expected from near-complete reduction of  $\text{Fe}(\text{III})$  in original ferric hydroxides by bacterial DIR coupled to organic matter

oxidation (HEIMANN & others, 2010) (see *Bacterial Dissimilatory Iron Reduction*, p. 111). Furthermore, Sr isotope studies of the same siderite BIF samples also indicate that the carbonates did not precipitate in equilibrium with seawater (JOHNSON & others, 2013). Therefore, these data point to the likely participation of bacterial DIR in the formation of at least these BIF carbonates.

### Clues from Microfossils

Microfossils have been found in chert layers of Precambrian banded iron formations (e.g., TYLER & BARGHOORN, 1954), as well as in other older cherts not associated with BIFs (e.g., SCHOPF, 2006b). This section deals only with the former. The first assemblage of structurally preserved microorganisms was discovered in dense black cherts of the 1.88 Ga Gunflint Iron Formation of southern Ontario, Canada (Fig. 48.1–48.2) (TYLER & BARGHOORN, 1954; BARGHOORN & TYLER, 1965; CLOUD, 1965; AWRAMIK & BARGHOORN, 1977). The Gunflint BIF also contains siliceous and calcitic stromatolites of various morphologies (Fig. 48.2) (HOFFMAN, 1969; FRALICK, 1989; SOMMERS, AWRAMIK, & WOO, 2000; PLANAVSKY & others, 2009). The microorganisms were described in detail in the black cherts that owe their color to the presence of fine-grained pyrite and organic matter (BARGHOORN & TYLER, 1965). Spherical structures, filaments, spore-like bodies, and other organic structures are preserved (BARGHOORN & TYLER, 1965).

The most abundant microfossils in the Gunflint chert are filaments ranging from 0.5 to 6.0  $\mu\text{m}$  in diameter. The best-preserved filaments appear to be both septate and nonseptate. The grossly septate filaments were placed in a new genus, *Gunflintia* BARGHOORN & TYLER, 1965 and divided by the authors into two species (*G. grandis* and *G. minuta*). These are the most abundant microfossils, are characterized by randomly oriented filaments, and occur preferentially in stromatolites (PLANAVSKY & others, 2009).

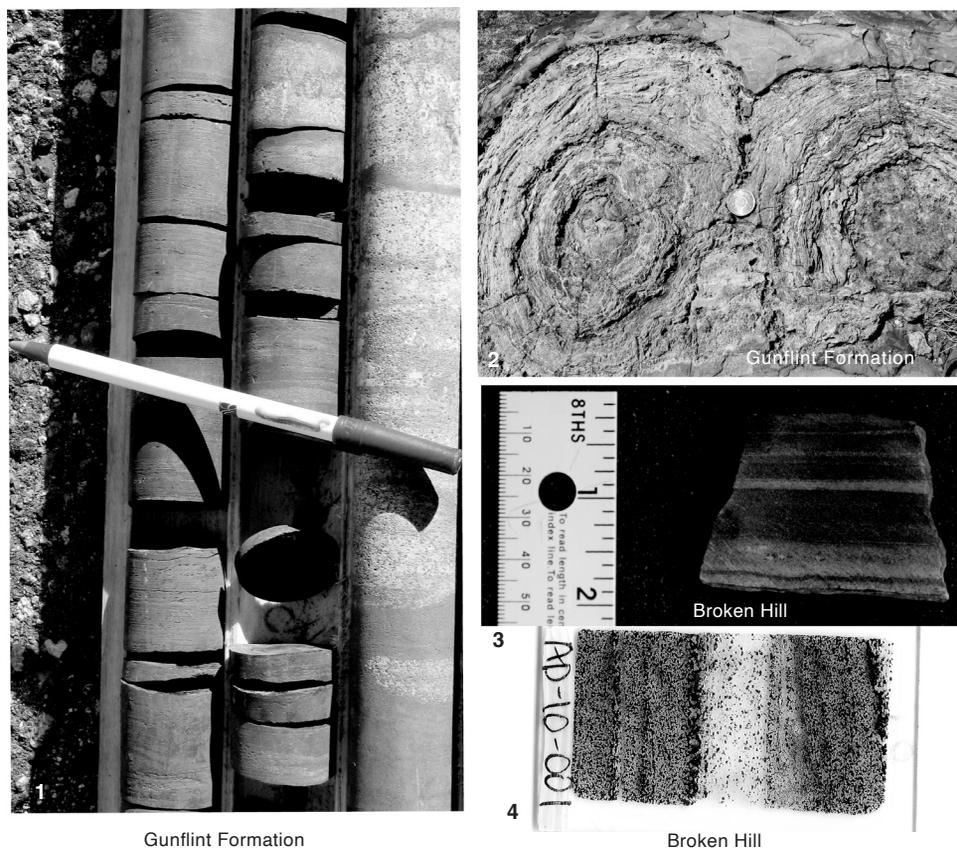


FIG. 48. 1, Drill core of the Gunflint Formation, Ontario, Canada, showing red, magnetite-rich laminated chemical sediments of the late Paleoproterozoic (1,878 Ma) Gunflint Iron Formation (red/brown) overlaying light color, coarse grained sandstone with magnetite-rich laminations (reddish), drill core 89-mc-1 at -160 m, Ministry of Northern Development and Mines Core Library (new; photo, Adriana Heimann). 2, Stromatolites of the Gunflint Formation, coin for scale (new; photo, Adriana Heimann). 3, Core slab sample of metamorphosed banded iron formation spatially associated with massive sulfide mineralization near the giant late Paleoproterozoic (1.69 Ga) Broken Hill Pb-Zn-Ag deposit, Curnamona Province, Australia, metamorphosed to granulite facies; brown is garnet in quartz, black is magnetite and minor quartz and/or garnet, sample AD-10-010, sample provided by Paul G. Spry (new; photo, Erica Serna). 4, Scanned polished thin section of BIF near the Broken Hill deposit showing the delicate nature of the magnetite-rich laminations; black is magnetite, clear is quartz, brownish is garnet, sample AD-10-001 (new; image, Erica Serna). Color version available in *Treatise Online* 147 ([paleo.ku.edu/treatiseonline](http://paleo.ku.edu/treatiseonline)).

Some of the finely septate types of filaments exhibit a basic morphology comparable to that present in extant filamentous blue-green algae (cyanobacteria), such as *Oscillatoria* GOMONT, 1892b and *Lyngbya* GOMONT, 1892 and were grouped into a new taxon, *Animikiea septata* by BARGHOORN and TYLER, 1965. Some of the non-septate filaments include very uncommon forms that contain spores and endogonidia and were grouped by the authors into a new taxon, *Entosphæroides amplus*. These structures have

a morphology comparable to a few extant genera of cyanobacteria and the iron bacteria *Crenothrix* COHN, 1870. The spheroidal spore-like organisms that are ubiquitous in the chert exhibit a variety of sizes (1–16  $\mu\text{m}$ ), structures, and shapes and were grouped into a new genus, *Huroniospora* BARGHOORN & TYLER, 1965, and subdivided by the authors into three species based on the wall-sculpturing pattern.

Other organisms were also found and assigned to a genus, but these were more rare

and of unclear relationship to any known living group. In particular, some types of organisms are characterized by segmented or septated filaments radiating from a central structure of poorly defined morphology, and are grouped into the new genus *Eoastrion*, defined by BARGHOORN and TYLER, 1965. Rare spiral threads (<1  $\mu\text{m}$  in diameter, <35  $\mu\text{m}$  length), either single corkscrew-like filaments or interwoven pairs, have a gross morphology that resembles spiral threads secreted by the living iron bacterium *Gallionella* (see CLOUD, 1965). Although some of the biota appear to be planktonic (coccoidal forms), other forms, such as dense intertwined filaments of *Gunflintia*, appear to be benthic (PLANAVSKY & others, 2009).

Earlier studies considered that the microfossils reflected the dominance of oxygenic photosynthesis in the early Precambrian (BARGHOORN & TYLER, 1965; CLOUD, 1965; AWRAMIK & BARGHOORN, 1977). However, a later study concluded that many of the Gunflint-type microfossils that were interpreted as oxygenic photosynthesizers were more likely to be metabolic iron oxidizers (GOLUBIC & SEONG-JOO, 1999). A more recent study that combined iron isotope compositions and REEs in microfossil-rich stromatolites from the Gunflint BIF also suggested that the late Paleoproterozoic environment likely hosted an iron-oxidizing microbial ecosystem and not cyanobacteria (PLANAVSKY & others, 2009). It is more likely that the ecosystem present during the formation of the Gunflint BIF was actually quite complex.

#### Clues from Molecular Biomarkers

Biomarkers are fossil remains of chemically stable organic molecules derived from the carbon skeletons of precursor lipids preserved in the rock record (WALDBAUER & others, 2009). They have been found in sedimentary rocks associated with BIFs and in BIFs themselves, and have been used to infer the presence and role of bacteria during their formation (BROCKS & others, 1999, 2003a, 2003b; SUMMONS & others, 1999; WALDBAUER & others, 2009). For example, fossil

hopanes and steranes (biomarkers typically present in eukaryotes) were found in the 2.6 Ga sedimentary rocks of the Transvaal Supergroup, South Africa (WALDBAUER & others, 2009). The biosynthesis of steranes requires free oxygen, implying that oxygen was readily available at 2.6 Ga, or about 0.1 Ga before the formation of the Kuruman BIF, one of the largest BIF deposits that occurs in the same Transvaal Supergroup, and 0.2 Ga before the full oxygenation of the atmosphere took place (NOFFKE, 2009). In another study, 2 $\alpha$ -methylhopanes, organic molecules present in membranes of modern cyanobacteria, were extracted from bitumen in the ~2.6 Ga very low metamorphic grade shales of the Marra Mamba Iron Formation and underlying 2.7 Ga rocks of the Hamersley Group, Western Australia (BROCKS & others, 1999; SUMMONS & others, 1999). This finding was interpreted as indicative of the existence of cyanobacteria, or oxygen-producing bacteria, 300–200 million years before the rise of atmospheric oxygen. This may also indicate that the BIFs of the Hamersley Group formed as the result of bacterial production of oxygen (BROCKS & others, 1999). However, the hopane molecules found in the Marra Mamba shales were also identified in anoxygenic phototrophic Fe(II)-oxidizing bacteria (RASHBY & others, 2007) and, therefore, are not an unequivocal fingerprint for the presence of cyanobacteria at the time the rocks formed. Furthermore, it was later found that the carbon isotope composition of pyrobitumen and kerogen extracted from the same rocks is 10–20‰ lighter than the extracted hydrocarbons, providing a strong argument against the indigenous origin of the biomarkers (RASMUSSEN & others, 2008). Thus, there is much work to be done on molecular biomarkers to determine unequivocally the first appearance of oxygenic photosynthesis and the role of this bacterial metabolism in the formation of BIFs.

#### The Possible Role of Iron-enriched Biofilms

Iron-enriched biofilms or mats can be considered as possible precursors to the

formation of finely laminated banded iron formation deposits. For example, recent studies of ~2.75 Ga BIFs in the Carajás mining district, Carajás Formation, Grão Pará Group, Brazil, presented evidence (morphology, carbon content, and very negative C isotope compositions) for the biogenicity of stromatolitic structures present in these Neoproterozoic BIFs that strongly suggests that the BIFs could have originated as biomats (RIBEIRO DA LUZ & CROWLEY, 2012). The hypothesis is that Fe(III) precipitation would have taken place through Fe oxidation by contact of Fe(II) with bacterial slime and chemical reactions with organic compounds (RIBEIRO DA LUZ & CROWLEY, 2012). The Fe(III) is considered to have been available later for dissimilatory Fe(III) reduction.

Bacterial processes related to iron oxide deposition in some modern bacterial mats give us clues about similar processes in the Archean–Proterozoic oceans where BIFs formed. In nutrient-limited environments, bacteria form biofilms that preferentially grow as slime-encased microbes on the surface of rocks instead of as free-swimming (planktonic) organisms (ZOBELL, 1943). For example, in modern environments, photosynthetic bacteria and filamentous bacteria form laminated mats next to hydrothermal vents and hot springs, where they can be several millimeters thick (WALTER, BAULD, & BROCK, 1972; WALTER & others, 1992; DOEMEL & BROCK, 1977; BROWN, GROSS, & SAWICKI, 1995; LITTLE, GLYNN, & MILLS, 2004). Bacteria act as substrate or poly-ionic trap for the precipitation of minerals, promote mineral crystallization by metabolically generating products (e.g.,  $\text{OH}^-$ ,  $\text{CO}_2$ ,  $\text{H}^+$ ) that combine with dissolved metallic ions, or mediate enzymatic oxidation of others (e.g.,  $\text{Fe}^{2+}$  to  $\text{Fe}^{3+}$ ) (KONHAUSER, 1997, 1998; THOMPSON & FERRIS, 1990; GHIORSE & EHRLICH, 1992; BROWN, GROSS, & SAWICKI, 1995).

Modern microbial mats are commonly associated with the formation of iron hydroxides where bacterial biomineralization takes place (PIERSON, PARENTEAU, &

GRIFFIN, 1999; KONHAUSER, 2000; LITTLE, GLYNN, & MILLS, 2004; PARENTEAU & CADY, 2010). Studies of hot springs, active black smokers, and deep hydrothermal areas indicate: 1) that the iron-rich mats are mainly comprised of living cells and remains of bacteria (for example, *Gallionella ferruginea* EHRENBERG, 1836) (BOSTRÖM & WIDENFALK, 1984; HOLM, 1987); 2) that microbial mats are the favored sites of deposition of iron hydroxides; and 3) that iron oxides form biogenically in areas of low  $\text{O}_2$  and slightly acidic pH (BAROSS & DEMING, 1985; TUNNICLIFFE & FONTAINE, 1987; KARL, BRITAIN, & TIBROOK, 1989; PIERSON, PARENTEAU, & GRIFFIN, 1999; LITTLE, GLYNN, & MILLS, 2004; PARENTEAU & CADY, 2010).

Earth's possibly oldest fossil cyanobacterial mats found in sandy deposits of a tidal environment in the 2.9 Ga Nhlazatse Section, Pongola Supergroup, South Africa, suggest the existence and diversification of cyanobacteria as early as the Mesoarchean (NOFFKE, 2010). Therefore, modern microbial mats and biofilms, including relatively young (Pleistocene) fossilized mat-forming prokaryote examples, are possibly one of the keys to understanding ancient benthic microbial communities and their habitats (BROWN, GROSS, & SAWICKI, 1995; NOFFKE, 2010). Particular examples are described below. The question still remains, however, as to exactly what kind of microbial communities formed these mats, because the mechanism of formation of the mats is not unique to oxygenic photosynthetic bacteria (TICE, 2008).

### POSSIBLE PHANEROZOIC AND MODERN ENVIRONMENT ANALOGS

There is no perfect analog for Archean–Proterozoic banded iron formations (BIFs) in chemical composition, environment of formation (physicochemical conditions), and genesis. Paleozoic siliceous iron oxyhydroxide deposits, Phanerozoic hematite-quartz ironstones, and iron-oxide chemical precipitates that form in the modern oceans and deep

lakes from hydrothermal fluids and brines are the closest analogs and are described below. In addition, continental sites where iron oxyhydroxides currently form under somewhat similar environmental conditions (low sulfate, low oxygen) as those in Archean–Proterozoic oceans and where biogenic Fe(III) reduction takes place are also included because even though they are terrestrial environments, they have been considered modern environmental analogs to those in Archean–Proterozoic times where BIFs formed.

### MODERN SILICEOUS IRON OXYHYDROXIDE MARINE DEPOSITS

#### Iron Deposits of Marine Hydrothermal Vents

In modern marine environments, siliceous iron oxyhydroxide deposits commonly form spatially and genetically related to hydrothermal activity; examples occur at the Juan de Fuca Ridge (northeast Pacific Ocean), the Lilliput hydrothermal field on the Mid Atlantic Ridge, Trans-Atlantic Geotraverse (TAG), Loihi seamount hydrothermal vents (Hawaii), Coriolis Troughs (southwest Pacific), Red Sea Mount, and the Jan-Mayen vent fields in the Arctic-Ocean Ridge System (RONA & others, 1986; ALT, 1988; EMERSON & MOYER, 2002; LITTLE, GLYNN, & MILLS, 2004; TONER & others, 2009; DEKOV & others, 2010; MOELLER & others, 2013). In some of these settings, for example at the Jan-Mayen vent field, iron oxyhydroxides precipitate at depths greater than 1,000 m from diffuse, low-temperature hydrothermal fluids that emanate at the seafloor through fissures and faults distal to high-temperature hydrothermal vents (e.g., MOELLER & others, 2013). The siliceous iron oxyhydroxide layers in all these locations consist of iron-rich amorphous phases or ferrihydrite and minor amounts of crystalline iron oxides, such as goethite, with up to 50 wt% Fe<sub>2</sub>O<sub>3</sub> in bulk analysis and are intimately associated with filamentous structures of biogenic origin (e.g., LITTLE, GLYNN, & MILLS, 2004; MOELLER & others, 2013).

All of the vents, for example at Loihi, are surrounded by microbial mats with a gelatinous texture and are encrusted with iron oxides (EMERSON & MOYER, 2002; LITTLE, GLYNN, & MILLS, 2004).

It has been shown that iron-oxidizing bacteria—for example species similar to *Mariprofundus ferrooxidans* EMERSON & others, 2007—play a key role in mediating the oxidation of Fe(II) derived from the low temperature hydrothermal fluids (EMERSON & MOYER, 2002; TONER & others, 2009; MOELLER & others, 2013). At TAG, the filaments have been described as identical to the iron oxide encrusted stalks of *Gallionella* spp and *Leptothrix ochracea* KÜTZING 1843 (e.g., LITTLE, GLYNN, & MILLS, 2004). What is more, studies at Loihi showed that up to 60% of the iron oxyhydroxides occur as filaments or sheaths interpreted to be direct deposition by bacteria (EMERSON & MOYER, 2002).

Because of their similarities, modern deep-sea hydrothermal vent iron deposits are considered analogs of Ordovician to late Eocene jaspers, which points to a record of bacteriogenic iron oxide precipitation at marine hydrothermal vent sites of at least 490 million years (LITTLE, GLYNN, & MILLS, 2004). Even though there are environmental differences between the origin of ancient BIFs and modern siliceous Fe oxyhydroxides, their Si-Fe enrichment and chemical precipitation from hydrothermal fluids makes them the closest modern analogs to ancient BIFs. If we consider the setting near hydrothermal vents, then these Si-Fe precipitates can be considered closer analogs to Algoma-type BIFs, which formed in tectonically active areas and probably close to hydrothermal vents, than to Superior-type BIFs, which formed in stable platforms away from hydrothermal vent sites. The fact that biologic oxidation mediates the precipitation of these iron oxyhydroxides in modern settings also supports the idea of a link between iron precipitation and organic mediation during the formation of BIFs in the ancient oceans.

### Iron Deposits of the Red Sea

The Red Sea rift system is characterized by active tectonics and igneous and hydrothermal activities and by a stratified body of water comprised of denser, saline anoxic bottom water overlain by lighter, less saline, cooler, oxic surface water. The deep water that penetrates the sediments achieves a high salinity by leaching of Miocene evaporates and a high temperature by a geothermal gradient and interaction with hot basaltic rocks (e.g., COCHERIE, CALVEZ, & OUDIN-DUNLOP, 1994). The hot brine that discharges into the basin creates a stratified system with a lower hot (56–67 °C) layer enriched in Fe and Mn (81 mg/kg for each) and with a pH between ~5.5 and 6.4 depending on the location, and an upper, cooler (44°–56 °C) water layer (TAITEL-GOLDMAN, EZRSKY, & MOGILYANSKI, 2009). The Discovery Deep and the Atlantis II Deep are 5 km apart and separated by a sill at a depth of ~1990 m below sea level (TAITEL-GOLDMAN, EZRSKY, & MOGILYANSKI, 2009, and references therein). The lower layer brine flows into various deeps through a fracture and fissure system. The Fe oxyhydroxide minerals crystallize as authigenic minerals and occur at water depths between 2000 and 2216 m.

The mineralogy of the Atlantis II Deep includes Mn-Fe carbonates and rounded particles of Si-associated Fe oxyhydroxides, including well crystallized hematite ( $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>), goethite ( $\alpha$ -FeO(OH)), and clusters of ferrihydrite (Fe<sub>5</sub><sup>3+</sup>OH<sub>8</sub>.4H<sub>2</sub>O), as well as feroxyhyte ( $\delta$ FeO(OH)), lepidocrocite ( $\gamma$ -Fe<sup>3+</sup>O(OH)), and Mn oxyhydroxides (TAITEL-GOLDMAN, EZRSKY, & MOGILYANSKI, 2009). Pure hematite is thought to result from the recrystallization of a former phase, whereas the other oxides are original Si-associated Fe and Mn oxyhydroxides (TAITEL-GOLDMAN, EZRSKY, & MOGILYANSKI, 2009). A lepidocrocite-goethite association crystallizes out of the hot hydrothermal brine with no Mn impurities, whereas the presence of Mn components reflects precipitation from

the upper layer or the transition zone. In addition, silicon also discharges from the brine system and precipitates in association with Fe and Mn authigenic phases (TAITEL-GOLDMAN, EZRSKY, & MOGILYANSKI, 2009).

The clusters of hematite, or hematite microspheroids, and the Si-associated rounded particles of Fe oxyhydroxides that form in the Red Sea are similar to fine-grained hematite microspheroids, some containing pore-filling inclusions of early diagenetic silica, present in banded iron formations (TRENDALL & BLOCKLEY, 1970). This similarity suggests that the formation of the Red Sea Fe oxyhydroxides can be considered a close analog to that of BIFs. Because the Fe oxides also coexist with Mn oxides, these modern deposits can be compared with the BIFs and Mn deposits of the 2.4–2.2 Ga Hotazel Formation of South Africa that formed after the Kuruman Iron Formation and close to the timing of the GOE (e.g., TSIKOS & others, 2010).

### LAKE MATANO, INDONESIA

Lake Matano is located on Sulawesi Island, Indonesia (CROWE & others, 2008a), and is the eighth deepest (>590 m) lake in the world. The steep margins, great depth, and the geographic location, characterized by the lack of strong seasonal temperature changes, allow the existence of a persistent pycnocline at ~100 m depth that separates an oxic surface layer from anoxic bottom waters. Sulfate concentrations are low (<20  $\mu$ mol/liter) in the surface mixed layer and the rates of sulfate reduction within the anoxic waters of the chemocline are slow (<0.015  $\mu$ mol/liter/day) (CROWE & others, 2008a). The slow sulfate reduction rates within the chemocline are fast enough to reduce all the sulfate and remove it from the surface waters, which results in deep waters with sulfate concentrations below detection limits. This also results in very low but detectable sulfide concentrations (including free sulfide, sulfide-bearing colloids, and larger particles of NiS and FeS). The low

sulfur results in the accumulation of high concentrations of dissolved ferrous iron ( $\sim 150 \mu\text{mol/l}$ ). A low, suspended load of inorganic particulate matter, scavenging of phosphate by allochthonous and authigenic iron (hydr)oxides, and low primary productivity in the surface mixed layer allows light to penetrate well into the anoxic bottom waters (CROWE & others, 2008a, 2008b).

The presence and abundance peaks with depth of the dominant photosynthetic pigment bacteriochlorophyll *e* (BChl *e*), a light-harvesting pigment used by brown-colored phototrophic green sulfur bacteria (GSB) of the family Chlorobiaceae, which are specially well adapted to low light conditions, indicate that GSB are an important component of the phototrophic bacterial community in Lake Matano (CROWE & others, 2008a). Molecular fingerprinting by CROWE and others (2008a) indicated the existence of an abundant and mixed bacterial community between 110 and 120 m depth, including several phylogenetically distinct members of Chlorobiaceae. Lake Matano clones have up to 95% sequence similarity to a known photoferrotroph, *Chlorobium ferrooxidans* HEISING & others, 1999 (CROWE & others, 2008a). In contrast to most known Chlorobiaceae (obligate photolithoautotrophs that fix carbon using sulfide as an electron donor), *C. ferrooxidans* is an exception that uses ferrous iron as electron donor (HEISING & others, 1999).

Unlike other water bodies, such as anoxic sulfidic lakes and euxinic marine basins like the Black Sea, the extremely low dissolved sulfide concentrations in Lake Matano suggest that the community of GSB is sustained by using the abundant concentration of Fe(II) as electron donor (CROWE & others, 2008a). The concentration of free sulfide is considered too low to sustain sulfide-fueled anoxygenic phototrophy by GSB. What is more, calculations of the irradiance in the lake at  $\sim 110$  m show that the light flux is sufficient to phototrophically oxidize the entire Fe(II) flux through the chemocline (CROWE & others, 2008a).

The rates of Fe(II) oxidation are also consistent with the rates of oxidation of known photoferrotrophs. Therefore, the population of GSB is largely sustained by photoferrotrophy, and this mechanism could explain BIF deposition in Archean and Proterozoic oceans. This hypothesis remains to be fully proven, however, because Fe(II) oxidizing GSB have yet to be isolated and cultured in the laboratory (CROWE & others, 2008a). Studies also concluded that iron oxides currently precipitate from the water column in Lake Matano, including the mixed ferrous-ferric mineral green rust, at the oxycline, and that authigenic magnetite formation takes place in the water column and during diagenesis (POULTON, 2011). This has been used to argue that similar processes of formation under anoxic, ferruginous conditions could have formed BIFs in ancient oceans (POULTON, 2011). Further investigations of the paths of formation of these minerals will also help improve our understanding of the cycling of iron in the Archean–Proterozoic oceans and the formations of BIFs.

Because of its high ferrous iron concentration, low sulfate content, deep light penetration, and presence of a mixed upper layer and bottom anoxic layer, as well as other physical and chemical characteristics, Lake Matano is a good modern analog for the chemistry and biology of Archean and early Proterozoic oceans (CROWE & others, 2008a). This setting at Lake Matano can be compared to that of the Archean–Proterozoic oceans where Superior-type BIFs formed in stable basins from a stratified water column. It is important to note, however, that the size of the system is small and the salinity is much lower compared to Archean–Proterozoic marine environments where BIFs formed.

### PHANEROZOIC IRONSTONES

Phanerozoic iron-rich sedimentary rocks, called ironstones, are rocks with relatively high iron contents ( $>15\%$  Fe) and some of them can be considered younger analogs to banded iron formations. Ironstones are rare,

temporally related to marine anoxic events and mainly restricted to the Ordovician–Devonian and Jurassic–Paleogene and to modern local areas of closed to semi-closed basins (VAN HOUTEN, 1985; VAN HOUTEN & ARTHUR, 1989; BEKKER & others, 2010; CIOBOTĂ & others, 2011; SALAMA, AREF, & GAUPP, 2012, 2013). Many are temporally associated with peaks in abundance of volcanogenic massive sulfide deposits (MSDs), sea level rise, major anoxic events, and volcanic episodes (VAN HOUTEN & ARTHUR, 1989; MAYNARD & VAN HOUTEN, 1992; BURKHALTER, 1995; TAYLOR & others, 2002; PETER, 2003; FRANKLIN & others, 2005; GARZANI, 1993; BEKKER & others, 2010; CIOBOTĂ & others, 2011; SALAMA, AREF, & GAUPP, 2012, 2013). The temporal association between ironstones and volcanogenic MSDs has been used to suggest a hydrothermal origin for the iron and that the deposition of ironstones was linked to global ocean anoxic periods and superplume events (see BEKKER & others, 2010 and references therein).

Ironstones are commonly small (most <2 m thick, some 20 m thick) but large examples (>1,000 km) occur along ancient continental margins in Fennoscandia (covers present-day Finland, Norway, Sweden, and the Kola Peninsula in Russia) and the Himalayas (GARZANI, 1993; STURESSON, DRONOV, & SAADRE, 1999; STURESSON, 2003). Large examples of Phanerozoic oolitic ironstones include the Jurassic Minnette deposits of central and western Europe, and the Silurian Clinton ores of North America. Phanerozoic ironstones are comprised of oolites of Fe oxyhydroxides (goethite and limonite), Fe silicates (chamosite and berthierite), and minor amounts of amorphous silica (less chert than BIFs) and are typically enriched in phosphorous. Some ironstones are non-cherty, sandy, fine-grained siliciclastic or siliciclastic-carbonate rocks (e.g., PETRÁNEK & VAN HOUTEN, 1997).

Even though the genesis of some ironstones is likely different than that of BIFs, others, for example the Phanerozoic deposits from the Løkken ophiolite and the Eocene

ironstones of Egypt, have similarities to older jaspers and modern Fe-Si deposits with origins closely linked to Fe(II) oxidation by bacterial processes (SALAMA, AREF, & GAUPP, 2012; MOELLER & others, 2013). This suggests that they can be considered younger equivalents of BIFs. The Phanerozoic Ordovician hematite-quartz deposits from the Løkken ophiolite complex in Norway, which have been metamorphosed to lower greenschist facies, are related to volcanogenic MSDs and associated hydrothermal feeders (e.g., GRENNE & SLACK, 2005). The rocks consist of fine-grained hematite micro-spheroids comprised of cryptocrystalline hematite and quartz in a quartz matrix (e.g., GRENNE & SLACK, 2005). The jasper deposits have soft-sediment deformation structures that along with the presence of the cryptocrystalline hematite reflect their formation as gel-like amorphous iron oxyhydroxides, such as ferrihydrite (GRENNE & SLACK, 2003). They are interpreted as siliceous ferrihydrite fallout deposits formed from a hydrothermal plume during times of oxic or suboxic conditions in a preponderantly widespread anoxic period (GRENNE & SLACK, 2005). Therefore, this kind of Phanerozoic ironstone may be somewhat similar to BIFs in its formation. If we take into account the association with volcanogenic MSDs and the occurrence in the ophiolite, the genesis of these ironstones would resemble that of Algoma-type BIFs rather than that of Superior-type BIFs.

Some recent studies also suggest that at least some Phanerozoic ferruginous and stromatolitic ironstones, such as those in the Eocene ironstones of the Western Desert in Egypt, formed by similar processes as those described for hot springs and other hydrothermal venting areas (e.g., CIOBOTĂ & others, 2011; SALAMA, AREF, & GAUPP, 2013). The Egypt ironstones are interpreted to be genetically linked to iron-oxidizing bacteria and their biofilms, where oxidation of  $\text{Fe}^{2+}$  in solution by these bacteria in shallow water with near acidic pH and low  $f\text{O}_2$  precipitated a hydrous ferric gel that was also colonized by bacteria (SALAMA,

AREF, & GAUPP, 2013). Ferruginous ooids and oncoids, now comprised of goethite, which coexist with ferruginous stromatolitic microbialites, seem to have been formed *in situ* and later reworked from shallow marine areas during storms to form the ironstones (SALAMA, AREF, & GAUPP, 2013). Based on the association with stromatolitic rocks, the genesis of these ironstones can be compared with that of Superior-type BIFs, such as the ~2.75 Ga Carajás (Brazil) BIF (RIBEIRO DA LUZ & CROWLEY, 2012).

### IRON MOUNTAIN MINE DRAINAGE SITE, NORTHERN CALIFORNIA

Iron Mountain Mine is a group of mines on Iron Mountain, Shasta County, northern California, USA (ALPERS, NORDSTROM, & SPITZLEY, 2003). The acid drainage effluent from Iron Mountain Mine has extremely low pH (-3.6) within the Richmond mine portal, ranging to pH values of +1 to +4 in drainage tributaries, such as Spring Creek (NORDSTROM & ALPERS, 1999; EDWARDS, GIHRING, & BANFIELD, 1999; NORSTROM & others 2000; ALPERS, NORDSTROM, & SPITZLEY, 2003). Concentrations of total dissolved solids in the effluent can exceed 900 g/L, and the waters are iron rich (NORDSTROM, 2000). Mixing of neutral pH waters from an upstream reservoir with the iron-rich water of the acid mine drainage from Spring Creek has formed three large surface accumulation piles (>260,000 m<sup>3</sup> total volume) comprised of fine-grained Fe(III) oxide-rich sediment. Minerals present in the piles include ferrihydrite [Fe(OH)<sub>3</sub>], goethite [ $\alpha$ -FeO(OH)], and minerals with structures similar to synthetic schwertmannite [Fe(III)<sub>8</sub>O<sub>8</sub>(OH)<sub>6</sub>(SO<sub>4</sub>)<sub>4</sub>] (NORDSTROM & ALPERS, 1999). The concentration of iron in the wet sediments ranges from 4% to 47% and the pore waters have extremely high concentrations of aqueous Fe(II) up to 36 mM (NORDSTROM & ALPERS, 1999). The pore waters have pH values of 5.5–6.5 and sulfate concentrations of 10 mM (NORDSTROM & ALPERS, 1999).

Iron Mountain iron-rich sediments represent a potential modern analog to early

diagenetic BIF minerals formed in Archean and Proterozoic marine environments (TANGALOS & others, 2010). Although the setting where the sediments form is an aerobic continental environment, it is characterized by high concentrations of reactive Fe(III) oxide that result in the dominance of dissimilatory iron reduction (DIR) over dissimilatory sulfate reduction (DSR) in early sediment diagenesis and large quantities of mobile Fe(II) in the pore waters. Additionally, the sediments contain significant concentrations of sulfate (4–23 mM), but there is an absence of acid volatile sulfides and a very low content of Cr(II)-extracted reduced inorganic sulfur (pyrite and/or elemental sulfur) compared with dilute HCl-extractable Fe(II). The sediments also have a relatively high ratio of nonsulfide-associated reactive iron to reduced inorganic sulfur, which are significantly higher than those in most modern marine sediments but similar to oxide and siderite BIFs from the Kuruman BIF and the Dales Gorge Member of the Brockman BIF (TANGALOS & others, 2010). These characteristics and the chemical composition of the Iron Mountain sediments make the environment a good analog to study the processes that operated in the sedimentary pile prior to diagenesis and authigenic formation of magnetite and siderite in Archean BIFs (TANGALOS & others, 2010). In particular, this site is a natural example of the diagenetic process of bacterial DIR that likely took place in the sedimentary pile during the formation of siderite and magnetite in Superior-type BIFs, such as the Kuruman Iron Formation (HEIMANN & others, 2010).

TANGALOS and others (2010) considered that the high amounts of Fe(II)<sub>aq</sub> in the Iron Mountain sediment pore water were generated by bacterial DIR of Fe(III) minerals (goethite and ferrihydrite) in the sediments. This is based on the assumption that DIR predominates over DSR due to the high concentration of reactive Fe(III) oxides, which allows dissimilatory iron-reducing microorganisms to outcompete dissimilatory sulfate-reducing bacteria for organic electron

donors (LOVLEY & PHILLIPS, 1987; TANGALOS & others, 2010). The sediments also contain 1.5%–4% (dry weight) particulate organic carbon, derived from primary production in the overlying water or inputs of organic matter from the surrounding terrestrial environment, which is thought to serve as electron donors for DIR. The dominance of DIR was confirmed by gene sequencing of cultures of the material in the sediments and pore waters that showed that the sediments contained gene sequences closely related (97% similarity) to known dissimilatory iron-reducing microorganisms (*Geobacter* LOVLEY & others 1993 and *Geothrix* COATES & others, 1999). Four different culture isolates of *Geothrix fermentans* COATES & others, 1999 were also obtained, which also confirm that dissimilatory iron-reducing microorganisms are active in the Iron Mountain materials (TANGALOS & others, 2010).

Iron isotope analysis indicates that  $\text{Fe(II)}_{\text{aq}}$  from the sediments pore water at Iron Mountain has negative  $\delta^{56}\text{Fe}$  values (-0.8% to -1.2‰), in contrast to the near-zero  $\delta^{56}\text{Fe}$  values for the bulk Fe sediments that are isotopically similar to the average crust (TANGALOS & others, 2010). The near-zero  $\delta^{56}\text{Fe}$  values of the bulk sediments indicate that complete oxidation of Fe(II) took place in the near-neutral -6.5 pH environment prior to the deposition of the Fe(III) oxide sediment. Isotopic fractionations between  $\text{Fe(II)}_{\text{aq}}$  and Fe(III) extractable are similar to those measured in pure culture DIR experiments with Fe(III) oxides that showed the generation of low- $\delta^{56}\text{Fe}$  Fe(II) generated by DIR (CROSBY & others, 2005, 2007). These *in situ* results were also reproduced in the laboratory with cultured iron oxides (CROSBY & others, 2005, 2007). The less negative isotopic composition of  $\text{Fe(II)}_{\text{aq}}$ , compared to those measured in modern marine sediments (-1.3‰ to -3‰) (e.g., SEVERMANN & others, 2006; BERGQUIST & BOYLE, 2006) or stratified water bodies (TEUTSCH & others, 2009), are likely due to differences in the iron redox cycle and redistribution, which are more limited in the Iron Mountain

sedimentary piles than they were in Archean and Proterozoic marine environments. At Iron Mountain, therefore, DIR is linked directly to the generation of large quantities of isotopically light, mobile  $\text{Fe(II)}_{\text{aq}}$ , which suggests that DIR could have led to the formation of low- $\delta^{56}\text{Fe}$  iron-bearing minerals (siderite, magnetite) during early diagenesis of Precambrian BIFs (TANGALOS & others, 2010).

#### CHOCOLATE POTS HOT SPRINGS, YELLOWSTONE NATIONAL PARK

Iron-rich sediments are actively being deposited at Chocolate Pots hot springs, Yellowstone National Park, USA (PIERSON, PARENTEAU, & GRIFFIN, 1999). Colorful, iron-rich phototrophic microbial mats form a boundary layer at the interface between the iron-rich sediment surface and flowing spring water that contains high concentrations (~100  $\mu\text{M}$ ) of ferrous iron at the source (PIERSON, PARENTEAU, & GRIFFIN, 1999; KLATT & others, 2013; WU & others, 2013). The source waters have a near-neutral pH and lack sulfide. Beneath the surface of the microbial mat-water interface the environment is anoxic and rich in Fe(II). Although in a terrestrial surficial location, this site serves as an analog for the extensive anoxic environments of and processes operating in the Precambrian oceans where iron oxides formed (e.g., PIERSON, PARENTEAU, & GRIFFIN, 1999; WU & others, 2013).

The microbial mats are comprised mainly of filamentous gliding phototrophs that stabilize oxidized iron and enhance the accumulation of sediments that are later compacted to form the iron deposits (PIERSON, PARENTEAU, & GRIFFIN, 1999). The intimate association between the filamentous phototrophs and the iron minerals, as well as the observation that the motility and orientation of the filaments may be important in trapping and stabilizing the sediments to produce the iron formation, is most evident in an olive green-color mat consisting of a narrow (cyanobacteria)

*Oscillatoria* sp. (PIERSON, PARENTEAU, & GRIFFIN, 1999).

Measurements in the olive mat indicate that both under light and in the dark, ferrous iron stimulates bicarbonate uptake (photosynthesis), with the highest stimulation taking place at Fe contents of 1.0 mM, whereas Fe(II) concentrations of 5 mM inhibited photosynthesis (PIERSON, PARENTEAU, & GRIFFIN, 1999). What is not known with certainty is whether Fe(II)-stimulated photosynthesis in anoxygenic phototrophs (*Chloroflexus* filaments) occurs in the cyanobacterial mat suspensions or only in the cyanobacteria themselves (PIERSON, PARENTEAU, & GRIFFIN, 1999). Isolation of Chocolate Pots mat phototrophs and experiments performed with pure cultures may help resolve which bacteria are stimulated by Fe(II) (PIERSON, PARENTEAU, & GRIFFIN, 1999). Newer studies indicate that the Fe isotope compositions measured at Chocolate Pots could be important to predict those on a limited-oxygen early Earth or on Mars (WU & others, 2013). This is because the range of Fe isotopic compositions (-1.57‰ to +0.88‰) measured in the iron oxides and hot springs at Chocolate Pots do not reflect simple equilibrium oxidation or Rayleigh oxidation of Fe(II) but rather reflect different extents and rates of Fe(II) oxidation as well as the possible reduction of iron by dissimilatory Fe(III)-reducing bacteria (WU & others, 2013). Because of the link between iron oxide precipitation, microbial mats, and bacterial iron oxidation, the processes operating at Chocolate Pots hot springs can be considered analogs to those taking place during BIF formation.

## FUTURE DIRECTIONS

The following are a few lines of research that will help improve our understanding of the genesis of banded iron formations (BIFs) and the role of various biological processes directly and indirectly involved in their formation. The search for new physical biosignatures in low-metamorphic grade oxide-facies BIFs older than

the Gunflint BIF in North America—for example, permineralized cells similar to those present in iron-rich modern microbial mats (e.g., KLEIN, 2005; PARENTEAU & CADY, 2010)—will help elucidate the role of bacterial processes in the generation of BIFs (WALTER & HOFFMAN, 1983). Further search for stromatolitic structures and organic matter in BIFs, similar to the ones described from the ~2.75 Carajás BIFs from Brazil (RIBEIRO DA LUZ & CROWLEY, 2012), will help determine if biomats and BIFs could have a strong genetic link. A common aspect to most, if not all, modern water environments where iron oxide precipitation takes place is their intrinsic association with bacterial mats and biofilms. Further studies of modern environments and BIFs will help to understand the likely role of these bacterial structures in the formation of BIFs, especially the striking extremely fine-scale laminations of iron oxides and chert.

The search in Archean BIFs for chemical fingerprints unique to Fe(II)-oxidizing phototrophs will provide the physical evidence for the existence of these organisms in Archean oceans. For example, the discovery of biomarkers of pigments involved in photosynthesis and radical scavenging (radicals that form during Fe-Fenton reactions), which are two processes important in systems where photosynthetic Fe(II) oxidizers exist, would provide definite clues about their presence during the Archean (KOEHLER, KONHAUSER, & KAPPLER, 2010). Similarly, isolation and culture in the laboratory of Fe(II) oxidizing green sulfur bacteria (GSB) that occur in modern analogs to Archean marine environments, such as the deep Lake Matano, are needed (CROWE & others, 2008a). Culturing of Fe(II)-oxidizing GSB will improve our understanding of the physiology and metabolism of the GSB, help prove that phototrophy is the responsible bacterial process oxidizing iron in the lake (CROWE & others, 2008a), and provide clues as to what bacterial metabolism likely existed and played a role during the formation of BIFs.

Geochemical investigations of iron isotopes to fill the gaps in the iron isotope record through time as well as in modern natural environments will help prove that large variations in iron isotope compositions, observed particularly at ~2.7–2.5 Ga, indicate the expansion of bacterial dissimilatory iron reduction (DIR) in the Precambrian oceans and its likely role during BIF formation. More detailed iron isotope studies similar to the ones conducted at Chocolate Pots, Yellowstone National Park (WU & others, 2013) will help to understand the processes responsible for the fractionation of iron isotopes in oxygenated and oxygen-limited environments and the implications for the formation of iron deposits on early Earth and Mars. In addition, studies of iron, carbon, and sulfur isotopes on the same rocks in Archean–Proterozoic sequences, including multiple sulfur isotopes to detect mass-independent sulfur isotope effects, will help test the hypothesis that these isotopic records are coupled and reflect photosynthesis and heterotrophic respiration (e.g., JOHNSON, BEARD, & RODEN, 2008). Further-

more, basin-wide scale iron isotope studies of BIFs, similar to those undertaken in other sedimentary rocks (mostly shale and carbonate) from Western Australia (e.g., CZAJA & others, 2010), will help improve our understanding of the biogeochemical cycling of iron in ancient oceans. Finally, new rare earth element studies of BIFs, coupled with iron and carbon isotopes, as well as isotopes of other redox metals, may also help elucidate the presence or absence of a redoxcline in Archean oceans and the role of bacterial iron oxidation and reduction in the formation of different BIFs in the Archean–Paleoproterozoic and in the late Paleoproterozoic (PLANAVSKY & others, 2010).

#### ACKNOWLEDGEMENTS

I wish to thank Steve Culver, Terri Woods, Jens Gutzmer, Christopher Fedo, and Nora Noffke for their comments, suggestions, and revisions, which greatly improved this manuscript. I also thank Clark Johnson for kindly providing an image of BIFs.



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